

Nominal Morphology in Caodeng rGyalrong*

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rGyalrong is an important Tibeto-Burman language of western Sichuan. The language is extremely diverse with several mutually unintelligible dialects; both its internal subclassification and its position in the Tibeto-Burman family remain unsettled. This article presents a detailed analysis of the nominal morphology of Caodeng, a little-documented major subdialect of northwestern rGyalrong, on the basis of fresh data collected in recent field work conducted in China.

Key words: Tibeto-Burman, rGyalrong, Nominal morphology

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0. Introduction

This paper outlines nominal morphology in Caodeng rGyalrong¹ (hereafter: Caodeng), a Tibeto-Burman language of western Sichuan. Caodeng is a representative variety of the little-studied **Stodpa** or **Northwestern** dialect of rGyalrong.² The Northwestern dialect diverges sharply from the Eastern dialect (including such well-known varieties as Suomo and Zhuokeji or lCogrtse) with which it is mutually unintelligible. The importance of rGyalrong in Tibeto-Burman is now widely recognized, yet knowledge on this language to date has been based almost exclusively on the Eastern dialect. It will be the objective for the research endeavors currently undertaken by the present author to remedy this imbalance.

Demographic and sociolinguistic information on Caodeng and other varieties of Northwestern rGyalrong is provided in Lin (1993: 526-610) and Sun (to appear). Lin (1993: 526-610) elaborates on the structure of the Caodeng syllable; Sun (1995; to appear) treat various aspects of the phonology of Caodeng. A more comprehensive presentation of the Caodeng phonological system is in preparation (Sun: forthcoming (a)).

The organization of this paper will be as follows. An outline of the major morphological processes in Caodeng given in §1 serves as a backdrop for the ensuing discussions. The properties of the two main types of nominals, nouns and pronouns, will be introduced in §2, followed by a description of nominal adjuncts in §3. Section §4 deals with morphological categories that operate in nominal derivation and inflection. The final section, §5, is devoted to various patterns of nominalization.

¹ The proper transliteration of the Tibetan name of this language should be *rGyalrong* instead of the popular but erroneous form *rGyarong*. The linguistic position of rGyalrong in Tibeto-Burman remains a moot point. Based on the latest demographic statistics released in China, Lin (1993: 411-412) estimates that the language is presently spoken by around 150,000 ethnic Tibetans.

² Caodeng is one of the two major subdialects of Northwestern rGyalrong, the other being Ribu. The Caodeng data reported herein represent the speech of Gagiuli village in Caodeng township, Ma'erkang county, Aba prefecture, Sichuan. I wish to thank my principal language consultant Mr. Shi Danluo (in Tibetan: *bsTan-'dzin Blo-gros*) for his enthusiastic and painstaking collaboration. The transcription is phonemic.

1. Morphological processes in Caodeng: An overview

1.1 Affixation

Caodeng word-formation displays a strong tendency for agglutination. A typical Caodeng word comprises a root plus a string of clearly segmentable affixal formatives. Portmanteau affixes, as shown in (1) below, are rare:

- (1) a. tə-ⁿdze(-jə) ‘You (singular) eat (it)!’

IMP-eat-TR:2/3S³

- b. jə-kə-ó-xsək-aŋ ‘You will hit me’

FUT-2>1-INV-hit-1S

The optional -jə suffix in (1a) signals not only transitivity, but also non-first person, singular number, imperfective aspect, as well as direct viewpoint, whereas the kə- prefix in (1b) represents a special scenario with a second-person agent acting on a first-person patient.

The language is unusual in Tibeto-Burman for its propensity toward prefixation. Words carrying long strings of prefixes are quite common. The following conjugated form of the verb *kə-wi* ‘to come’, for example, contain as many as five prefixes:

³ The following abbreviations occur in the grammatical glosses in this paper:

1	first person	2	second person	3	third person
ANA	anaphor	AUX	Auxilliary	CAUS	causative
CL	classifier	COMP	comparative	COMT	comitative
CONJ	conjunction	CONN	connective	COP	copula
D	dual	DET	determiner	DIST	distal
ERG	ergative	EV	evidential	EXCL	exclusive
FUT	future	GEN	genitive	INDEF	indefinite
INF	infinitive	INV	inverse	IMP	imperative
IMPF	imperfective	LOC	local (case)	NOM	nominalizer
OR	orientation marker	P	plural	PAT	patient
PF	perfective	POSS	possessive	PROG	progressive
PUR	purposive	REFL	reflexive	S	singular
NEG	negator	SMBL	semblative	SPC	specific
SUB	subordinator	SFP	sentence-final particle	TOP	topicalizer
TR	transitive				

(2) *ɐ-mə-tʰɐ-tə-sə-wɐ*

DIST-NEG-IMP:DOWNSTREAM-2-CAUS-come

‘Don’t make him come downstream!’⁴

1.2 Reduplication

This prevalent morphological device in Caodeng involves a partially reduplicated syllable consisting of the initial of the root plus the vowel *-ə*.⁵ Consider the derivation of reciprocal (3) and intensive process verbs (4) exemplified below:⁶

- | | | | | |
|-----|--------------------------|----------------|--|-----------------------------------|
| (3) | <i>kɛ-stʰə</i> | ‘to push’ | <i>kɐ(-mə)-stʰə-stʰə</i> | ‘to push each other’ |
| | <i>kɛ-ⁿge</i> | ‘to call’ | <i>kɐ(-mə)-ⁿgə-ⁿge</i> | ‘to call each other’ |
| (4) | <i>kə-rkaŋ</i> | ‘to be strong’ | <i>kɐ-nə-rkə-rkaŋ</i> | ‘to become stronger and stronger’ |
| | <i>kə-vde</i> | ‘to be good’ | <i>kɐ-nə-vdə-vde</i> | ‘to become better and better’ |

Reduplication in lexical categories other than verbs is less common. It is found, for example, in the formation of temporal phrasal idioms meaning ‘X after X’ and ‘...X running’:

- | | | | | |
|-----|------------------------|--------------------|----------------------|----------------------|
| (5) | <i>sɲi</i> | ‘day’ | <i>pe</i> | ‘year’ |
| | <i>kʰe-sɲi</i> | ‘each day’ | <i>kʰe-pe</i> | ‘each year’ |
| | <i>kʰe-sɲi-sɲi</i> | ‘day after day’ | <i>kʰe-pə-pe</i> | ‘year after year’ |
| | <i>kʰe-ɬne-sɲi-sɲi</i> | ‘two days running’ | <i>kʰe-vde-pə-pe</i> | ‘four years running’ |

Reduplication is also utilized to derive certain complex nominals. Many terms expressing binary human relations are formed by prefixing *kɐ-ⁿdʒə-* to the nominal root signifying one of the binary relations, followed optionally by a partially reduplicated syllable:⁷

⁴ The distal prefix *ɐ-* denotes that the command/request is to be executed at a later time in a different location than where the hearer is at the moment.

⁵ This vowel may become /i/ and /u/ under assimilation to high root vowels of the same quality.

⁶ The optional prefix *mə-* is a reciprocal marker.

⁷ In the alternant form where the reduplicated syllable does not occur, penultimate accent, if any, is realized on the *-ⁿdʒə* syllable.

(6) tɛ-tʃu	‘son’	kɛ- ⁿ dʒə(-tʃə)-tʃu	‘father and son’
tɛ-mej	‘daughter’	kɛ- ⁿ dʒə(-mɛ)-mej	‘mother and daughter’
tɛ-lɲaʔ	‘(small)child’	kɛ- ⁿ dʒə(-lɲə)-lɲaʔ	‘parent and child’
tɛ-rdʒep	‘wife’	kɛ- ⁿ dʒə(-rdʒɛ)-rdʒep	‘married couple’
tɛ-nma	‘husband’	kɛ- ⁿ dʒə(-nmɛ)-nma	‘married couple’

Closely related is the phrasal idiom shown in (7), where a personal name or term of interpersonal relation co-occurs with the root-form of an associated relational term prefixed by the pronoun *ko-* and a partially reduplicated syllable:⁸

(7) tɛ-lɲaʔ	‘small child’	rtenlo-ko(-lɲə)-lɲaʔ	‘the rTanlo’s (husband, wife, and child(ren))’
ɛjɔʔ	‘servant’	rjɛlpo-ko(-ɛjə)-ɛjɔʔ	‘chieftain and (his) servants’
slome	‘student’	ɛgerɣen-ko-slomə-me	‘teacher and (his)students’
ɛgɛpru	‘disciple’	vlɛrɣen-ko-ɛgepru-pru	‘master and (his) disciples’

1.3 Stem modification

1.3.1 Segmental alternation

Allomorphy by consonantal alternation is best illustrated by the few remnant lexical causative verbs in (8) where the causatives contain plain or aspirated voiceless initials whereas the corresponding non-causatives contain voiced prenasalized ones:

(8) Non-causative	Causative
"brɛt ‘to break (as of ropes)’	prɛt ‘to break (ropes)’
"jjɔp ‘to break (as of bowls, sticks, etc.)’	ɕɕ ^h ɔp ‘to break (bowls, sticks)’

Sporadic instances of segmental alternation are noted elsewhere in the lexicon. The most fascinating example is the morpheme *mer* ‘night’, which acquires a glottal stop in *jo-merʔ* ‘tonight’, optionally loses the coda -r in *for-me~for-mer* ‘last night’, and has the coda shifted to the initial position in *kɛ-nɛ-rme* ‘to spend night’. Equally

⁸ With multisyllabic morphemes, the final syllable alone is reduplicated and inserted between this and previous syllables like an infix, see the last two examples in (7).

striking is the case where voiceless plain stops in the demonstrative *kəkɔʔ* ‘this’ turn into aspirated ones in the derived locational adverb *kʰəkʰɔ(-s)* ‘here’.

1.3.2 Flip-flop of the glottal-stop coda

This unusual type of stem-alternation process is applied regularly in Caodeng to derive perfective stems from the corresponding imperfectives (see Sun to appear: §2.1 for details):⁹

(9) Imperfective	Perfective	Gloss
-tsi	-tsiʔ	‘to understand’
-nəfkro	-nəfkroʔ	‘to peek’
-nts ^h aʔ	-nts ^h a	‘to set out’
-rqəjeʔ	-rqəje	‘to untie’

1.3.3 Ablaut

Vocalic alternation, or ablaut, is a distinctive trait of Caodeng. Ablaut occurs extensively in the derivation of irregular verb stems, a topic which merits a separate study. For our present purposes, it will suffice to illustrate two kinds of ablaut utilized in stem-formation. In one type, modification of the stem-vowel is required in addition to final glottality reversal (as shown in (9) above) in the formation of perfective stems:

(10) ‘to walk’	-nkɐ [IMPF]; -nkeʔ [PF]
‘to measure weight’	-skɐr [IMPF]; -skerʔ [PF]

Many transitive verbs also employ ablaut in other restricted grammatical environments, namely in second/third person singular non-perfective forms (represented below by the imperative). Such verbs, therefore, display as many as three distinct stems, for example:

⁹ This highly peculiar morphological rule, totally overlooked in the previous reports on northwestern rGyalrong, is shared by other northwestern dialects (such as Ribu), as well as by Guanyinqiao, a neighboring rGyalrongic language.

- (11) ‘to buy’ -χtu [IMPF]; -χtu? [PF]; -χte [IMP]
 ‘to cause to come’ -səwi [IMPF]; -səwe? [PF]; sə-wə [IMP]

Ablaut is found sporadically in other word classes as well. Many nouns have an ablauted alternant occurring word-internally in compounds. Numerals, likewise, display such alternations (see §3.3 below):

- | | | | | |
|------|-------------------|---------------------------|-----------------------|-----------------|
| (12) | 'k ^h e | 'house' | k ^h ɛ-rmiʔ | 'house-name' |
| | jle | 'male dzo', ¹⁰ | jlɛ-ɕɛrʔ | 'male dzo calf' |
| | sqeʔ | 'ten' | sqɛ-mɲo | 'fifteen' |
| | kɔ-vdɛ | 'four' | vdɛ-sqe | 'forty' |

1.3.4 Suprasegmental alternation

Suprasegmental alternation in Caodeng refers to allomorphy characterized by the manipulation of (pitch-)accent placement. Accent shift occurs sporadically in lexical derivation. An interesting set of examples is provided below where the deictic adverbs ‘here’ and ‘there’ are derived respectively from the demonstratives ‘this’ and ‘that’ with preposed accent (and, in one case, with segmental alternation also, see §1.1.3.1 above).

- (13) kəkəʔ 'this' kʰəʔkʰo(-s) 'here'
 nənəʔ 'that' nənə(-s) 'there'

The stative verb *kə-tʃorʔ* ‘to be sour’ and its derived noun *kɛ-tʃor* ‘pickled radish leaves (dried)’ further illustrate this kind of unproductive alternation. More systematic application of accent shift is made use of in various areas of Caodeng grammar, including vocatives (see below) and interrogative verb forms (for details, see Sun to appear §4).

1.4 Compounding

Compounding is another major word-formation process in Caodeng. Compounds, almost all of which are nominal, may be composed entirely of unaffixed roots:

¹⁰ A hybrid bovine produced from male yaks and female cattle.

- (14) pa 'pig'
 fe 'meat; flesh'
 pa-fe 'pork'
- qɛj? 'wheat'
 tɛ-ɣve? 'awn'
 qɛj-ɣve? 'awn of wheat'

As a rule, inherent nominal prefixes of non-initial compound components (if any) are dropped. Thus, in the second example above, the prefix *tɛ-* of *tɛ-ɣve?* 'awn' does not occur in the compound 'awn of wheat'. On the other hand, prefixes of initial compound components are obligatorily retained. These constitute a subtype of the **affixed compounds** in this language:

- (15) tɛ-se? 'ramie'
 tɛ-ro? 'stalk'
 tɛ-se-ro? 'ramie stalk'
- qɛ-rtse 'deer'
 tɛ-q^hru 'antler'
 qɛ-rtsɛ-q^hru 'deer-antler'
- kə-tʃor-cçi? 'juice of sour pickles'
 NOM-sour-water
- sɛ-sə-vlu 'fuel'
 NOM:INST-CAUS-burn

Compounds containing more than two components are not common:

- (16) rjɛ-pɛ-lɔ? 'henhouse'
 Chinese-fowl-nest
- pa-sno-fe 'meat of crazy pigs'
 pig-crazy-flesh

1.5 Incorporation

Lexical incorporation, a subtype of compounding (Sapir 1911), is a rather common word-formation process in Caodeng whereby culturally important transitive activities involving generic patient arguments get detransitivized by making the patient part of the verb stem, prefixed by the verbalizing morphemes *nə-* (with the alternant *~n-* before stops and affricates) or *nɐ-* (if the original nominal prefix is *tɐ-*):

- (17) *ʃɐpɛtsət* ‘catfish sp.’
 kɐ-nə-ʃɐpɛtsət ‘to catch catfish; to go catfishing’

 tʃaɲɛ ‘loach’
 kɐ-nə-tʃaɲɛ ‘to catch loaches’

 tɕ-tso ‘choma (a species of starchy plant)’
 kɐ-nɕ-tso ‘to dig for choma’

 tɐ-priʔ ‘supper’
 kɐ-nɐ-priʔ ‘to eat supper’

In another type of incorporation, shown in (18) below, a potential agent or instrument argument is turned into part of the stem, resulting in a transitive verb:

- (18) *rtʃaxpe* ‘robber’ (< WT *jag.pa*)¹¹
 kɐ-nə-rtʃaxpe ‘to rob’

 rtɛltʃaʔ ‘whip’ (< WT *rta-lcags*)
 kɐ-nə-rtɛltʃaʔ ‘to whip’

2. Nominals

Nominals include nouns and pronouns. They can be distinguished from other lexical categories by their ability to inflect for case and possession, or be marked with derivational morphology for such categories as number and gender.

¹¹ Tibetan forms cited in this paper will be given according to the Wylie’s system of Written Tibetan (WT) transliteration.

2.1 Nouns

The majority of nouns in Caodeng are polymorphemic, consisting either of prefixed nominal roots or (prefixed) compounds. Monomorphemic, unaffixed nouns such as those in (19) are relatively few:

- | | | | | |
|------|--------|---------|---------|-----------|
| (19) | smi | ‘fire’ | ʃkótse | ‘stone’ |
| | ʃe | ‘flesh’ | cçotpoʔ | ‘bladder’ |
| | ʔnʔbri | ‘horse’ | ʃkúru | ‘takín’ |

2.1.1 Noun prefixes

A great many (non-derived) nouns carry either one of the three nominal prefixes in this language, *tə-*, *tɕ-*, and *qɕ-*. These prefixes are semantically empty and distributionally random, except that most body part terms take *tə-* while *qɕ-* often occurs in animal and plant names:

- | | | |
|------|---------|-------------|
| (20) | tə-mkɕ | ‘neck’ |
| | tə-rmu | ‘hailstone’ |
| | tɕ-sɕ | ‘blood’ |
| | tɕ-jlop | ‘steam’ |
| | qɕ-ʃpe | ‘frog’ |
| | qɕ-ljje | ‘soot’ |

As mentioned above in §1.4, the nominal prefix of the first component in a compound is retained, but that of the second component drops.

2.2 Pronouns

In Caodeng, pronouns include personal, possessive, demonstrative, interrogative, reflexive, and anaphoric pronouns

2.2.1 Personal pronouns

The Caodeng system of personal pronouns is the following:

	SINGULAR	DUAL	PLURAL
1:inclusive	ɐ-jjiʔ	tsə-jjə-niʔ	jə-jjə-rɐʔ
1:exclusive		tsə-jjə	jə-jjə
2	nɐ-jjiʔ	ⁿ dzə-jjə-niʔ	nə-jjə-rɐʔ
3	(kə-)koʔ; nənəʔ; o-jjiʔ ¹²	jjɐ-niʔ	jjɐ-rɐʔ

Personal pronouns distinguish singular, dual, and plural number in all persons. As a salient trait of the Caodeng pronominal system, composite pronominal forms are used in place of monomorphemic ones as found in the Eastern dialects.¹³ In the first-person dual and plural, inclusive and exclusive forms are distinguished. In one rather remarkable usage, conjunction of singular personal pronouns and some other nominal can be expressed alternatively by these pronouns in the dual or plural. Thus, instead of *ɐjjiʔ scɕʰənəʔ sonəm* ‘I and Sonam’ (*scɕʰənəʔ* being the conjunction), one can say the following (lit. ‘Sonam we two’).¹⁴

(21) a. tsəjjə sonəm-ni

1D:EXCL Sonam-D

or:

b. sonəm tsəjjə-ni

Sonam 1D:EXCL-D

¹² The third person pronoun *o-jjiʔ* has a deliberate-style variant *wəjjiʔ*.

¹³ Compare ICogtse *ŋa* ‘I’, *no* ‘you (singular)’, and *mə* ‘s/he’. The Longerjia variety of Northeastern rGyalrong, another major rGyalrong dialect, also utilizes composite pronominal forms in the first-person singular: *a-ʒo* ‘I’, *na-ʒo* ‘you (singular)’, and *ə-ʒo* ‘s/he’ (personal research). Note that the initial morphemes in the Caodeng composite personal pronouns (except in the third person non-singulars) are the main indices of person and number. The same forms occur also in possessive pronouns (§2.2.2), and as nominal possessive prefixes (§4.2.2). They are, furthermore, highly similar to person/number agreement suffixes on verbs, as observed in Sun 1984: 84 and Anderson 1985: 188.

¹⁴ This is to be compared with Amdo Tibetan (personal research), where the only grammatical way of saying ‘Sonam and I’ is by conjoining Sonam and the first-person dual exclusive pronoun: *sonəm ŋə-ŋæ* (lit. ‘Sonam we-two’).

Of the four third-person singular forms shown above, *(kə)koʔ* and *nənəʔ* (and their dual and plural forms with the respective suffixes *-niʔ* and *-reʔ*) are really the demonstratives ‘this’ and ‘that’ and can only refer, often with finger-pointing, to someone present at the speech-act location whereas *oʃʃiʔ* indicates referents that are not.

2.2.2 Possessive pronouns

A number of Caodeng expressions related to possession contain the morpheme *’-yu* which, in some contexts, still means ‘body’. The usage of this morpheme is illustrated in (22a-b):

- (22) a. *é-pe-kə* *piru* *é-yu* *ne-səntəp*
 1S:POSS-father-ERG coral 1S:POSS-possession PF:TR-bequeath:PF
 ‘My father bequeathed me the coral.’
- b. *tʃʰəʔ* *né-yu* *reʔ-cçə*
 what 2S:POSS-possession need-EV
 ‘What do you need?’

The language has a whole set of possessive pronouns derived apparently from coalescing this particular possessive morpheme and the respective personal pronouns (see § 4.2.2 below).¹⁵

	SINGULAR	DUAL	PLURAL
1:inclusive	<i>v-jjuʔ</i>	<i>tsə-jjənu</i>	<i>jə-jjəro</i>
1:exclusive		<i>tsə-jju</i>	<i>jə-jju</i>
2	<i>nə-jjuʔ</i>	<i>ⁿdzə-jjənu</i>	<i>nə-jjəro</i>
3	<i>o-jjuʔ</i>	<i>jjənu</i>	<i>jjəro</i>

The possessive pronouns are used only as nominals, never attributively. One example is given in (23):

¹⁵ Note that the lexical accent of *’-yu* is lost in the derived possessive pronouns.

- (23) kəkoʔ ʔʌbri ʔnes nəʔ ɛʝjuʔ ŋoʔ
 this horse two DET mine COP
 ‘These two horses are mine.’

Notably, the reference in the sentence above is **unique** (i.e. there are only two horses present at the speech-act location). In contrast, (24) below expresses **partitive (selective) reference** by means of the personal pronoun together with the possessed forms of the morpheme ʔ-yu:

- (24) kəkoʔ ʔʌbri ʔnes ɛʝjiʔ ɛ-yu ŋoʔ
 this horse two 1S 1S:POSS-possession COP
 ‘These two horses (among others) are mine.’

2.2.3 Demonstrative and orientational pronouns

Caodeng, like other dialects of rGyalrong, employs a remarkably rich system of spatial deixis. A speaker-oriented set of demonstrative pronouns as well as a geographically determined set of orientational pronouns are distinguished.

2.2.3.1 Demonstrative pronouns

Caodeng employs the following two-term system of demonstrative pronouns:

	SINGULAR	DUAL	PLURAL
Proximal	(kə)koʔ	(kə)ko-niʔ	(kə)ko-rɛʔ
Distal	nənəʔ	nənə-niʔ	nənə-rɛʔ

The distinction between the proximal and distal forms is based, as in English, on relative distance from the speaker:

- (25) kəkoʔ ɛʝjuʔ ŋoʔ nənəʔ ɛʝjuʔ maʔ
 this mine COP that mine COP:NEG
 ‘This is mine; that is not mine.’

The demonstratives have nominal as well as attributive uses (see §3.2 below).

2.2.3.2 Orientational pronouns

The category of orientation represents an intricate area in rGyalrong morphosyntax, to which a separate study will be devoted (Sun forthcoming b.). The discussion in this subsection is restricted to a set of derived orientational pronouns in Caodeng.

The Caodeng category of orientation, or geographically-based spatial deixis, involves three distinct dimensions, which we will call the solar, the river (or valley), and the vertical subsystems, each of which comprises two opposing terms as shown in the table below:

Subsystems	Terms	
Solar	eastward (i.e. toward where the sun rises)	westward
River	upstream	downstream
Vertical	uphill; upstairs	downhill; downstairs

A distinct system, however, is utilized inside the traditional rGyalrong stone houses where spatial orientation is determined by arbitrary conventions completely unrelated to the geographical features in the environment. The deictic center in this system is the hearth in the living room, and orientational values from the solar and river subsystems are mapped onto the four sides of the hearth in the following fashion:

Side of Hearth (viewer standing at the door facing the hearth)	Caodeng terms	Orientation Terms Mapped
Top	k ^h é-p ^h ək	eastward
Bottom	k ^h é-ŋi	westward
Right	k ^h é-lɿ	upstream
left	k ^h é-jmɔ	downstream

Orientational pronouns, which represent either locations or entities occupying such locations, are morphologically complex deictic nominals of the structure: possessive prefix + orientation morpheme (indicating the six contrasting orientation terms) + locational morpheme (either '-k^ho or '-ta(-kó-ta); '-p^hi; '-cɕo). These pronouns are given in the table below:

	Up	Down	Upstream	Downstream	Eastward	Westward
speaker-oriented	ɐ-tó-k ^h o	ɐ-kí-k ^h o	ɐ-lí-k ^h o	ɐ-t ^h í-k ^h o	ɐ-kú-k ^h o	ɐ- ⁿ dí-k ^h o
neutral	ˈ-ta; -kó-ta	ˈ-p ^h i	-lé-cçɔ	-t ^h é-cçɔ	-kǎ-cçɔ	- ⁿ dǎ-cçɔ

Caodeng orientational pronouns

Ordinary possessive prefixes are used for marking the three deictic centers (speaker, hearer(s), non-participant(s)). However, Caodeng distinguishes a special set of speaker-oriented locational morphemes which can only go with the first-person singular possessive prefix *ɐ-*. For instance, either *ɐ-tó-k^ho* or *é-ta/ɐ-kó-ta* can be used to refer to an entity or location situated above the speaker himself,¹⁶ but when the deictic center is the hearer or a non-participant, *né-ta* and *ó-ta* must occur instead of **nɐ-tó-k^ho* and **o-tó-k^ho*.

In the illustrative sentence below (taken from the story [The goiter]), a demon asked its comrades to remove the goiter from the person who was located at the downstream side of the demons addressed. Note how the orientation pronoun *nɐ-t^hé-cçɔ* is used for designating this referent:

- (26) tǎjjo kǎ-rdɔʔ-kǎ nɐ-t^hé-cçɔ
demon one-CL-ERG 2P:POSS-the one downstream
o-sqɛzdʒe lé-p^hət-nɐ te-tsəʔ-cçɔ
3S:POSS-goiter IMP-pluck-2P PF:TR-say:PF-EV
‘One demon said: “The one at your downstream side, pluck out his goiter!”’

2.2.4 Interrogative pronouns

The primary interrogative pronouns in Caodeng are given in (27) and illustrated in (28a-e):

- (27) ʃo ‘who’
ʃə ‘whose’
tʃ^həʔ ‘what’
ʃǎjǎ(-nə); ʃǎjǎi(-nə) ‘when’
tʃ^hɐ ‘why’

¹⁶ My consultant feels that the referents of speaker-oriented locational pronouns (e.g. *ɐ-tó-k^ho*) are more distant than that of the corresponding neutral ones (e.g. *é-ta*).

- (28) a. nəjji? (ə́n-)ʃo tə-ŋo
 2S EMPH-who 2-COP
 ‘Who (in the world) are you?’
- b. ʃə ó-ⁿbri te-zmərku? ŋo-cçə
 whose 3S:POSS-horse PF:TR-cause to steal-PF COP-EV
 ‘Whose horse got stolen?’
- c. st^həre tʃ^həʔ-cçə te-kə-ⁿdze reʔ-cçə
 first what-INDEF OR-INF-eat should-EV
 ‘What should I eat first?’
- d. nəjjəre? ʃəjjəno tə-nəwe-nə
 2P when 2-come back-2P
 ‘when will you come back?’
- e. tʃ^hə mē-k^hut-cçə
 why NEG:IMPF-be allowed-EV
 ‘Why can’t it be?/Why is it not allowed?’

There are, in addition, a number of derived interrogative pronouns which are formed out of *tʃ^həʔ* ‘what’.

- (29) ŋé-tʃ^hə(-s) ‘where; whereto; wherefrom’
 tʃ^hə-scçet ‘how many/much’
 tʃ^hə-kə-scçi ‘what kind of’
 ŋə- tʃ^hə-nə ‘which’
- (30) a. jəye ŋétʃ^həs t^hə-to ŋo?
 book where PROG-exist:PF COP
 ‘Where is the book?’
- b. nəjji? nə-ʃəχkər tʃ^həscçet to?
 2S 2S:POSS-money how much exist
 ‘How much money do you have?’
- c. jəye tʃ^həkəscçi tə-ta-χtu
 book what kind PF-2-buy:PF
 ‘What kind of a book did you buy?’

Note that the Caodeng expressions for ‘how’ are single phonological words

composed of *tʃʰəʔ* ‘what’ in collocation with a finite form of the verb *kə-soʔ* or *kə-fsət* ‘to do in a certain way’. In true information questions, such as (31) below, the accent is borne by *tʃʰəʔ*.¹⁷

- (31) *ʃəχkər kótsəjji kə-lɛn nəʔ kə-nəpóro*
 money so NOM-exist in quantity DET INF-take care of
tʃʰəʔ-te-so-ŋ
 what-IMP-1s
 ‘How should I take care of so much money?’

2.2.5 Reflexive pronoun

There is a reflexive pronoun *təjjiʔ* in Caodeng which, however, is rarely used except in the indefinite meaning ‘oneself’, e.g.

- (32) a. *təjjiʔ tó-ⁿbri* ‘one’s own horse’
 oneself REFL:POSS-horse
 b. *kʰərme kə-pe mə-vde ⁿdenəʔ*
 curse INF-do NEG:IMP-1s-be good CONJ
təjjiʔ tó-ta ʃə
 oneself REFL:POSS-top go
 ‘Cursing is no good for it backfires (lit. goes onto oneself).’

Reflexiveness in this language is normally marked by a distinct prefix *-jʃə* on the verb.

2.2.6 Anaphoric pronouns

Anaphoric reference by means of specific pronouns is a prevalent referent-tracking strategy in Caodeng connected speech. Like the demonstratives, anaphoric pronouns can also be used attributively. The main anaphoric pronouns are *qʰəʔ* and *nəʔ*.¹⁸ Both can take the postposed determiner *nəʔ*, and can be used interchangeably to signal identity between what is being said and what has been said before.

¹⁷ With the accent shifted to word-final position (i.e. *tʃʰə-te-soʔ-ŋ*), (31) turns into a rhetorical question: ‘How can I (possibly) take care of so much money?’

¹⁸ There is a third anaphoric pronoun, *ko*, whose usage seems much more restricted. One of its uses in word-formation is illustrated in (7) above.

Reference can be made to a segment of prior discourse. Examples are:

- (33) a. stat^hər q^həʔ tɛ-tsəʔ nəʔ ɐʝjiʔ nə-səsi-aŋ
 Stathar ANA PF:TR-say:PF DET 1S PF-think:PF-1S
 ʃteʔ ʃənəʔ mə-ⁿdzək-cçə
 COP:EMPH CONJ NEG-correct:PF-EV
 ‘I don’t think **that** which Stathar said was right.’
- b. o-zdeʔ-ntʃ^hon tə-rəroʔ wɐʝjo ŋoʔ-cçə q^hoʔ
 3S:POSS-companion-also PF-get up:PF exist:EV COP-EV CONN
 χʃikə nə-nəscçerʔ-cçə
 very PF-be frightened:PF-EV
 nəʔ tʃ^həʔ-cçə ŋoʔ kə nə-səsi-cçə
 ANA what-INDEF COP SFP PF:TR-think:PF-EV
 ‘He was much frightened to find that his (deceased) companion had also
 gotten up, and he thought: “What is **this** (about)?”’

Anaphoric pronouns can also point back to previously mentioned noun phrases, as in (34):

- (34) vlərgən nəʔ [χʃikə o-kə-snəje χserzge-cçə]
 master TOP very 3S-NOM:PAT-cherish gold saddle-INDEF
 nə-to ŋoʔ-cçə q^hoʔ nə/q^hə-nəʔ nəʔ
 PF-exist:PF COP-EV CONN ANA-DET TOP
 ⁿdzə-vzər nə-te-cçə
 3D-side PF:TR-put:PF-EV
 ‘The master had a gold saddle which he cherished greatly, and **that** (he) put
 beside (the two of) them.’

Anaphoric pronouns have another usage with a disambiguating function. In a segment of discourse concerning two third-person referents, the speaker can choose to empathize with one of them, and use anaphoric pronouns to refer specifically to the other entity.¹⁹ In (35) below, narrated from the angle of Krashi, reference to the

¹⁹ Reference to the referent the speaker empathizes with, on the other hand, can be made via using the regular third-person pronoun *oʝiʔ*. This reference-tracking device appears to be akin to the system of *obviation* in Algonquian languages (such as Blackfoot, Pustet 1994) or that of the “*fourth person*” in Navajo (Comrie 1994), but seems much less rigorous than in the latter

beggar is signaled consistently by *q^hʷ?* throughout the text:

- (35) *krɛʃi* *tʃoⁿbɛjʔ-s* *kənɐp^húp^hu* *ne-mti-cçə*
 Krashi road-LOC beggar PF-see:PF-EV
 ‘Krashi saw the beggar by the road.’

q^hoʔ *q^hʷ?* *kənɐp^húp^hu* *nə-kə* *krɛʃi* *ó-p^ha-s*
 CONN ANA beggar DET-ERG Krashi 3S:POSS-location-LOC
ʃɔχkər *nə-sɐⁿbi-cçə*
 money PF-beg:PF-EV
 ‘The beggar asked Krashi to give him money.’

q^hoʔ *krɛʃi-kə* *q^hʷʔ-ó-p^ha* *ʃɔχkər* *kə-lvaʔ* *ne-ⁿbi-cçə*
 CONN Krashi ANA-LOC money one-CL PF-give:PF-EV
 ‘Krashi gave him a dollar.’

ʃənəʔ *q^hʷnɔʔ-kə* *krɛʃi* *tɛ-nəkdaɬduʔ-cçə*
 CONN ANA-ERG Krashi PF-scold:PF-EV
 ‘But he scolded Krashi.’

Anaphoric pronouns are also used extensively in deictic expressions, a sample of which is given in (36) below:

- (36) *nə-o-fsɔʃsi* ‘the next day’
nə-o-fsɛⁿdɛ ‘the day after the next’
nə-o-q^hóⁿdɛ ‘the second day after the next’

nə-o-ʃorʔ ‘the day before’
nə-o-ʃórⁿdʒi ‘the second day before’
nə-nə-o-ʃórⁿdʒi ‘the third day before’

nə-o-q^hu ‘after that; afterwards’
nə-o-sɛʃsət ‘in that manner’
nə-o-rjaŋ ‘at that time’

languages since the subdivision of third persons in Caodeng is not marked on the verb morphology. “Fourth person” of the Caodeng rGyalrong type is also found elsewhere in Tibeto-Burman, for example in Lahu (David Bradley; personal communication).

There are several things to note about the foregoing examples. First, this is one context where the anaphoric pronoun *nə* is preferred to *q^hɛ*. Second, the anaphoric pronoun *nə* is normally pronounced *no* under assimilation to the following *-o*. The latter morpheme, in fact, is none other than the third-person singular possessive prefix. This is an archaic pattern with possessed heads following demonstratives retained in these lexicalized expressions but no longer operative elsewhere in Caodeng.²⁰

3. Nominal adjuncts

3.1 Referentiality markers

Definite nominals show zero-marking or take the determiner *nəʔ*, while the indefinite marker in Caodeng is *-cɕə* followed often by the classifier phrase *kə-rdɔʔ*. An example, taken from the folktale [The rabbit, the fox, and the leopard], is given as follows:

- (37) *kə-qərŋiʔ* *tʃo^hbəjʔ-s* *vlərge* *nɛ-kə-nəvlɛmtʃɔt-cɕə*
 PUR-hunt way-LOC monk PF-NOM-chant sutra:PF-INDEF
ne-t^hək-nə-cɕə
 PF-meet:PF-3P-EV
q^hoʔ *vlərge* *o-tɛlen* *ne-nsək^hi-nə-cɕə*
 CONN monk 3S-saddlebag PF-rob:PF-3P-EV

‘On the way hunting, they came across a monk who had gone chanting sutras and they robbed the monk of his saddlebag.’

Indefinite specific reference, on the other hand, is indicated by attaching the indefinite marker rather to *kə-rdɔʔ*. Contrast the following:

²⁰ The older structure is still fully functional in Eastern rGyalrong. Contrast the following:

I Cogrtse	Caodeng
ʃtə wə-tʃəm	kəkoʔ k ^h e
this 3S:POSS-house	this house

- (38) a. $\text{ɕji}^?$ $^{\text{h}}\text{bɛrk}^{\text{h}}\text{em}^?$ -s ɕgergɛn-cɕə $\text{kə-rdɔ}^?$ $\text{ʃə-nɛŋoro}^?-\text{aŋ}$
 1S PN-LOC teacher-INDEF one-CL go and-look for-1S
 'I will go and look for a (i.e. any one) teacher at Ma'erkang.'
- b. $\text{ɕji}^?$ $^{\text{h}}\text{bɛrk}^{\text{h}}\text{em}^?$ -s ɕgergɛn $\text{kə-rdɔ}^?-\text{cɕə}$ $\text{ʃə-nɛŋoro}^?-\text{aŋ}$
 1S PN-LOC teacher one-CL-INDEF go and-look for-1S
 'I will go and look for a specific teacher at Ma'rkang.'

There is a second marker of specificity of much narrower scope, *-cɕə*, used only with temporal and locational terms. This form is exemplified in (39) below:

- (39) kə-sŋi-cɕə $\text{nə}^?$ ʃɛli-cɕə lɛ-zɣɔt-nə $\text{q}^{\text{h}}\text{o}^?$
 one-day-INDEF DET upstream-INDEF PF-arrive:PF-P CONN
 rgonbe-cɕə wɛjjo $\text{ŋo}^?-\text{cɕə}$
 monastery-INDEF exist:EV COP-EV
 'One day, as they arrived at a certain place upstream, they found a monastery.'

Importantly, the two indefinite morphemes illustrated in (39) above are not interchangeable.

3.2 Attributive demonstratives

When used attributively, demonstratives (i.e. demonstrative adjectives) must precede their noun heads which in turn may be followed by modifiers, quantifiers and the optional determiner *nə?*.

- (40) a. $\text{kəko}^?$ $^{\text{h}}\text{bri}$ ɕnes $(\text{nə}^?)$
 this horse two DET
 'these two horses'
- b. $\text{kəko}^?$ $^{\text{h}}\text{bri}$ $\text{kəzro}^?$ ɕnes $(\text{nə}^?)$
 this horse fine two DET
 'these two fine horses'
- c. $\text{kəko}^?$ $\text{ʃor}^?$ $\text{nɛjji}^?-\text{kə}$ $^{\text{h}}\text{bri}$ $\text{kəzro}^?$ ɕnes tə-ta-χtu $(\text{nə}^?)$
 this yesterday 2S-ERG horse good two PF-2-buy:PF DET
 'these two fine horses that you bought yesterday',²¹

²¹ The internally headed relative clause shown here represents an unmarked relativization pattern in Caodeng.

3.3 Cardinal numerals

The Caodeng cardinal numerals from one through ten are:

(41) cçet	'one'	ɤne(s)	'two'
χsəmʔ	'three'	ká-vdɐ	'four'
ká-mŋo	'five'	ká-tʃə	'six'
ká-ʃnes	'seven'	ká-rcçet	'eight'
ká-n ⁿ gət	'nine'	sqeʔ	'ten'

The form *cçet* 'one' rarely occurs outside of counting. The form *kə-rdɔʔ*, found frequently in indefinite nominal reference (see §3.1 above), is structurally [one+classifier]²² with the morpheme *kə-* representing the numeral 'one' in classifier phrases. The numerals from four to nine contain a *kə-* prefix, which is omitted when these numerals occur in numeral phrases and non-initially in compound numerals, exemplified below by the numerals from eleven to nineteen (structurally 10-1; 10-2, etc.):

(42) sqé-fte	'eleven'	sqé-mne	'twelve'
sqé-tʃəmʔ	'thirteen'	sqé-vdɐ	'fourteen'
sqé-mŋo	'fifteen'	sqé-ftʃə	'sixteen'
sqé-ʃnes	'seventeen'	sqé-rcçet	'eightteen'
sqé-n ⁿ gət	'nineteen'		

The extensive stem modification shown in the compound numerals in (42) (and earlier in (12) above) constitutes a striking characteristic of the language.²³ The decades (structurally 2-10; 3-10, etc.) below supply more examples of this phenomenon:

(43) ɤne-sqe	'twenty'	χsɔ-sqe	'thirty'
vde-sqe	'forty'	mŋo-sqe	'fifty'
kə-tʃə-sqe	'sixty'	kə-ʃne-sqe	'seventy'
kə-rcçe-sqe	'eighty'	kə-n ⁿ gə-sqe	'ninety'

²² With numerals higher than one, however, the application of the classifier *-rdɔʔ* is much more restricted.

²³ For a discussion of stem alternation in the numerical systems of other Qiangic languages, see Huang 1991: 288-932.

The word for ‘one hundred’ is *o-rjje*, probably a loan from Tibetan (cf. WT *brgya*). In combination with the other unit numerals, however, a distinct morpheme *-ri* replaces *-rjje*:

(44) <i>ɣné-ri</i>	‘two hundred’	<i>ɣsɔ́-ri</i>	‘three hundred’
<i>vdé-ri</i>	‘four hundred’	<i>mŋɔ́-ri</i>	‘five hundred’
<i>kə-tʃɔ́-ri</i>	‘six hundred’	<i>kə-ʃné-ri</i>	‘seven hundred’
<i>kə-rcɕé-ri</i>	‘eighty hundred’	<i>kə-nⁿgɔ́-ri</i>	‘nine hundred’

Consider also:

(45) <i>o-rjje scɕ^hənəʔ kə-rdɔʔ</i>	‘one hundred and one’
<i>o-rjje scɕ^hənəʔ ɣnes</i>	‘one hundred and two’
<i>ston-tso kə-rdɔʔ</i>	‘one thousand’
<i>k^hro-tso kə-rdɔʔ</i>	‘ten thousand’

3.4 Classifiers and measure words

The rGyalrong language, like Tibetan, has few true classifiers. Numerals combine freely with their head nouns, as in (46):

(46) <i>k^hezeʔ</i>	<i>ɣne(s)</i>	‘two dogs’
<i>dog</i>	<i>two</i>	

Three of the handful of true classifiers in the language are given below:

(47) Classifier	Referents
<i>-ɣⁿbyeʔ</i>	sticks; logs; trees; hairs
<i>-lvaʔ</i>	dollars; sheets (of paper); leaves
<i>-rdɔʔ</i>	humans; insects; small rounded objects

Classifiers and **measure words** combine with numerals to form numeral phrases, which must follow the head nouns they modify:

(48) <i>tʃeʔ</i>	<i>kə-tʃúŋtʃuŋ</i>	‘one cup of tea’
<i>tea</i>	<i>one-cup</i>	

Numerals used in numeral phrases constitute a special set:

(49) kə-	‘one’	ɛne-	‘two’
χso-	‘three’	vde-	‘four’
mŋo-	‘five’	kə-tʃə-	‘six’
ʃne-	‘seven’	rcçe-	‘eight’
n ⁿ gə-	‘nine’	sqe-	‘ten’

4. Morphological categories of nominals

4.1 Derivational categories

4.1.1 Gender

Caodeng, and Tibeto-Burman languages in general, lack grammatical gender. Thus, we mean by “gender” derivationally marked distinctions in natural (semantic) gender. The unmarked male and female gender suffixes are respectively *-mo* and *-p^ho*:

(50) preʔ	‘Asiatic black bear’
pré-mo	‘female black bear’
pre-p ^h o	‘male black bear’
ɾjɣe-pɣe	‘chicken’
ɾjɣe-pɣé-mo	‘hen’
ɾjɣe-pɣe-p ^h o	‘rooster’

Moreover, some (culturally important) animal names have lexicalized the two genders, using in some cases Tibetan loans:

(51) ⁿ boleʔ	‘male common cattle’	qə ⁿ bruʔ	‘male yak’
ŋe	‘female common cattle; cow’	qre	‘female yak’
ɟle	‘male dzo’	ɛlɛrtsi	‘male musk deer’ (WT <i>gla.rtsi</i>)
ftso	‘female dzo’	cçé-mo	‘female musk deer’
p ^h o-rtə	‘male horse’ (WT <i>rta.pho</i>)		
rgonme	‘mare’ (WT <i>dgon.ma</i>)		

4.1.2 Number

Number specification, likewise, is a non-obligatory derivational category in Caodeng. Any countable nominal may be optionally marked with the dual *-ni* or plural *-rɐ*.

- (52) qɛjjeʔ-**ni**/-**rɐ** ʔbrətʃu-**ni**/-**rɐ**
 tiger-D/P knife-D/P

Plurality, however, can often be left unmarked. Thus, the referent(s) of the patient argument ʔbri ‘horse’ in (53) below can be one or more than one:

- (53) kómtʰɔ-s ʔbri ɐ-tʰɐ-tə-səvjjoskeʔ-nə
 doorway-LOC horse DIST-IMP-2-saddle-3P

‘You (go and) saddle the horse(s) at the door!’

Furthermore, number enclitics do not occur if quantification is explicitly expressed:

- (54) a. ʔboleʔ kə-mərku-cçə χsəmʔ jɐ-weʔ-nə-cçə
 male cattle NOM:AGT-steal-INDEF three PF-come:PF-3P-EV
 ‘Three thieves came to steal the male cattle.’
 b. káde nə-tsoʔ nə tójjo mtsʰòt jɐ-weʔ-nə-cçə
 a while PF-elapse:PF SUB demon many PF-come:PF-3P-EV
 ‘After a while, many demons came.’

The plural marker *-rɐ* has developed a secondary **associative** meaning ‘and the like; and associates’. Thus, ʔbrətʃu-*rɐ*, in (52) above can also mean ‘knife/knives and the like’. In this important usage,²⁴ the marker *-rɐ* applies to all nominals. For further illustration, consider (55) below (taken from the story [The unknown beast]) where *-rɐ* obviously does not mark plurality per se, as the noun ‘his flesh’ is uncountable:

²⁴ Plural marking used associatively seems quite common in Tibeto-Burman languages, some examples are Guanyinqiao *-ʃi* (Mu'erzong variety; personal research), Limbu *-haʔ* (van Driem 1987: 30), and Dumi *-mɪl* (van Driem 1993: 61). For a recent discussion of typological issues related to associativity and number systems, see Corbett and Mithun 1995.

- (55) ó-ⁿge-scç^hənə o-^fé-rə p^hóffet t^he-lét-cçə
 3S:POSS-clothes-CONJ 3S:POSS-flesh-P pieces PF:TR-render:PF-EV
 ‘It tore his clothes and flesh (and what not) all to pieces.’

Being enclitics (i.e. phrasal suffixes), they must be added to the whole nominal phrase. Phrase-internal or double marking results in ungrammaticality:

- (56) kəko? ɐ-tʃu-rə ‘these sons of mine’
 this 1S:POSS-son-P
 *kəko?-rə ɐ-tʃu
 *kəko?-rə ɐ-tʃu-rə

The following example shows that number enclitics must precede case markers, which are also enclitics:

- (57) rtʃaxpe-rə-kə ɐrtʃówə kə-nərtʃaxpe mə-nə-cc^hə?-nə-cçə
 robber-P-ERG mendicant friar INF-rob NEG:PF-PF-be capable-P-EV
 ‘The robbers did not succeed in robbing the mendicant friar.’

4.1.3 Diminutives

Many Caodeng nouns, both animate and inanimate, can take the diminutive suffix *-pu* which comes transparently from the noun *tɐ-pu* ‘son’.²⁵

- (58) pye ‘fowl’ pyə-pu ‘chick’
 qəjji? ‘sheep’ qəjji-pu ‘lamb’
 təcçi? ‘river’ təcçi-pu ‘rivulet’
 ʰbrətʃu ‘knife’ ʰbrətʃu-pu ‘dagger; small knife’

Diminutive formation is by no means productive. For one thing, diminutive meaning is lexicalized in quite a few nouns, as in (59):

- (59) qəⁿbru? ‘yak (male)’ pəju? ‘yak calf’
 ʰbri ‘horse’ t^hor; t^hor-pu ‘colt’
 tərpe ‘ax’ vzoste ‘small ax’
 ɣⁿbi ‘drum’ kɿə-rɲə ‘small drum’

²⁵ This is strikingly paralleled by the Tibetan diminutive suffix *-’u*, which is likewise grammaticalized from *bu* ‘son’.

Other idiosyncratic restrictions apply; thus, the word *tʂⁿge* ‘clothes’ cannot take the diminutive suffix---the meaning ‘small clothes’ is conveyed syntactically:

- (60) *tʂⁿge* *kəxtʃiʔ*
 clothes small

4.2 Inflectional categories

4.2.1 Case

The Caodeng system of nominal case-marking is far from rigorous, compared with some other Tibeto-Burman languages. The two basic case forms in the language, the ergative-instrumental *-kə* and the locative *-s*, are frequently subject to ellipsis. Nominals bearing either patient or recipient roles, furthermore, are usually not case-marked:

- (61) *ɕjiʔ-kə* *sonəm* *jəye* *kə-rdɔʔ* *nə-ⁿbi-aŋ*
 1S-ERG Sonam book one-CL PF-give as gift:PF-1S
 ‘I gave Sonam one book.’

Case-marking morphology in Caodeng is heterogeneous, employing enclitics, agreement prefixes, as well as stem modification by accent relocation. The various core and oblique cases in Caodeng are presented in the following subsections.

4.2.1.1 Ergative

Caodeng can be regarded as an ergative language in the standard sense that the agent in transitive clauses takes distinct case-marking while the patient in transitive clauses as well as the only core argument in intransitive clauses are left unmarked. In fact, what Caodeng has is a **split ergative** system where the applicability of the ergative-instrumental marker *-kə* is determined by the relative ranking of the agent and patient-recipient arguments on an animacy-empathy hierarchy (cf. also Silverstein 1976; Dixon 1994:§ 4.2; Foley and Van Valin 1985:§ I.2; Ebert 1987):

- (62) **speaker > hearer > non-participant > non-human animate > inanimate**

The major function of the ergative case in the Caodeng system, then, is to indicate **marked agency** such that ergative marking is required if and only if the patient argument outranks the agent argument on the hierarchy (62). Thus, in the sentences

- (63) a. sonəm tʃeʔ tʰe-tʰi
 Sonam tea PROG:TR-drink:PF
 ‘Sonam has been drinking tea.’
 b. sonəm qəjje ne-ntʃʰeʔ
 Sonam tiger PF:TR-kill:PF
 ‘Sonam killed the tiger.’

ergative marking is not needed because they are about a human agent (Sonam) acting on non-human patients (tea, tiger).²⁶ Another factor contributing to the omissibility of ergative morphology in (64b) is the lexical semantics of the verb *kə-ntʃʰeʔ* ‘to kill’, which, unlike the English verb to kill but paralleling the Chinese verb 殺, necessarily implies a human agent. Observe (64) below, where not only is the ergative case required on the marked agent ‘tiger’, but a different verb *kə-sə-set* ‘to cause to die’ has to be used:

- (64) qəjje*(-kə) sonəm ne-sə-set
 tiger-**ERG** Sonam PF:TR-CAUS-die:PF
 ‘The tiger killed Sonam (lit. caused Sonam to die).’

The rich verbal morphology in this language helps to further clarify the semantic roles of the clausal arguments. This can be illustrated by (61), repeated below as (65):

- (65) ɛjjiʔ sonəm jəye kə-rdɔʔ nə-ⁿbi-aŋ
 1S Sonam book one-CL PF-give as gift:PF-1S
 ‘I gave Sonam one book (as a gift).’

²⁶ In these sentences, the ergative case-marker can still be used if agency is emphasized. In contrast, in such consistently ergative Tibeto-Burman languages as Amdo Tibetan the subjects of most transitive clauses, agent or not, are obligatorily marked with the ergative case. In the Amdo equivalent of the same sentence, the ergative *-kə* on the agent is mandatory despite the transparent semantics:

sonəm*(-kə) tʃæ ntʰoŋ-kə jod-kə
 Sonam-ERG tea drink-PROG AUX: IMPF-EV

Several morphological markings are present in this sentence to indicate that the argument configuration involved is unmistakably 1>3 (i.e. a first-person agent acting on a third-person patient) rather than the other way around. The absence of the ergative case, first of all, supplies important clue that the sentence predicates an unmarked transitive scenario, one in which the agent is higher ranked on the empathy hierarchy. Morphology inside the verb phrase corroborates this interpretation via the category of direction (DeLancey 1981) whereby marked-agency scenarios require the verb to be marked as *inverse*. The absence of inverse marking in (65), then, is another reliable indicator that the directionality of the transitive event is 1>3. Contrast the above with the marked-agency sentences below where the ergative case *-kə* and the inverse prefix *o(ʔ)-* are obligatory:

- (66) a. sonəm*(-kə) ɕjiʔ jəye kə-rdɔʔ nə-oy-ⁿbi-aŋ
 Sonam-ERG 1S book one-CL PF-INV-give:PF-1S
 ‘Sonam gave me one book.’
 b. sonəm*(-kə) nəjiʔ nə-tə-o-ɸⁿduʔ
 Sonam-ERG 2S PF-2-INV-beat:PF
 ‘Sonam beat you up.’

Ergative case marking, however, is required whenever the patient precedes the agent, as in (67):

- (67) preʔ sonəm*(-kə) ne-ntʃ^he
 black bear Sonam-ERG PF:TR-kill:PF
 ‘(lit.) The black bear Sonam killed.’

However, if the patient is topicalized, carrying a topic-marker *nəʔ* or separated from the rest of the sentence by a pause, ergative case-marking becomes omissible:²⁷

- (68) preʔ nəʔ sonəm(-kə) ne-ntʃ^he
 black bear Top Sonam-ERG PF:TR-kill:PF
 ‘The black bear, Sonam killed it.’

²⁷ DeLancey (1977: 3-4) also observes that in Classical Tibetan and Rawang, ergative marking is omitted after topicalizing particles.

4.2.1.2 Instrumental

Instrumental arguments carry the ergative-instrumental case-marker *-kə*:

- (69) a. *né-ja-kə* *mə-tə-tə-sə-nəⁿdzét^hi* *kə*
 2S:POSS-hand-INST NEG-OR-IMP-CAUS-eat meal SFP
ⁿdʒəŋo-kə *tə-sə-nəⁿdzét^hi*
 chopsticks-INST OR:IMP-CAUS-eat meal
 ‘Don’t eat with your hands, eat with chopsticks.’
- b. *kəmərku-rə-(kə)* *qəjje* *o-mkə* *təⁿbreʔ-kə*
 thief-P-ERG tiger 3S:POSS-neck rope-INST
te-sə-vraʔ-nə-cçə
 PF:TR-CAUS-tie:PF-3P-EV

‘The thieves put a rope on the neck of the tiger.’

Importantly, the presence of the instrumental case marker increases the valency of the verb by causativizing it. Note the causative prefix *sə-* in the above examples. In some circumstances, the instrumental suffix as well as the causative prefix can both be omitted. Thus, as a syntactic alternative to (69b), one can say (70) below:

- (70) *kəmərku-rə-kə* *qəjje* *o-mkə* *təⁿbreʔ* *te-vraʔ-nə-cçə*
 thief-P-ERG tiger 3S:POSS-neck rope PF:TR-tie:PF-3P-EV
 ‘The thieves put a rope on the neck of the tiger.’

Moreover, Caodeng abhors two adjacent nominals case-marked by the isomorphic agentive-instrumental *-kə*, hence the ill-formedness of the sentence below:

- (71) **sonəm-kə* *tomde-kə* *preʔ* *ne-sə-ntʃ^he*
 Sonam-ERG gun-INST black bear PF:TR-CAUS-kill:PF
 ‘Sonam killed the black bear with the gun.’

The occurrence of case-marked instruments is rather infrequent in this language. One reason for this is the abundance of instrument-incorporating verbs such as in (72) below:

- (72) *ké-rtop* ‘to beat with rod’
ka-xŋopʔ ‘to beat with fists’
kə-sənk^hət ‘to knife; to stab with knife’
- ⁿbərleŋ kə-lət* ‘to plane’
qəreŋ kə-lət ‘to saw’

With such verbs, no instrumental arguments are permitted:

- (73) ɕjiʔ-kə krɛʃi tə-sənkʰət-aŋ
 1S-ERG Krashi PF-stab with knife:PF-1S
 ‘I stabbed Krashi with a knife.’

There is, furthermore, a class of **instrumental verbs** which obligatorily treat instrumental nominals as direct objects. A good example is the verb *kə-sɛtse* ‘to thrust or prick with something’. Consider the sentence in (74):

- (74) kəkoʔ-kə mdoŋ ɛ-kɐ(r)-s jə-sɛtsɐ
 3S-ERG spear 1S-body-LOC FUT-thrush with something
 ‘He will thrust the spear at me (lit. my body).’

Note that the true semantic patient in (74) is obliquely marked with the local case as the goal of the thrusting action.

Attached to nominalized subordinate clauses (see § 5 below), the instrumental *-kə* can also express a loose **logical link** between two clauses, as in (75):²⁸

- (75) kənɲəmsu -kə pɛntjaŋ ɔ-ta kɐ-mdzu-kə ne-cɕʰəp
 fat person-ERG chair 3S:POSS-top INF-sit-INST PF:TR-break:PF
 ‘The fat person broke the chair by sitting on it.’

4.2.1.3 Vocative

Caodeng has a special devise for turning nominals into the vocative, namely by shifting the lexically contrastive pitch accent to the penultimate syllable. This strategy works well with personal names, most of which are loaned from Tibetan and accented on the final syllable:

- | (76) Personal Name | Vocative Form |
|-----------------------|-----------------------|
| rtən-lo | rtɛn-lo |
| so-nəm | só-nəm |
| ɕge- ⁿ dən | ɕgé- ⁿ dən |
| skɐ-lə-vzaŋ | skɐ-lá-vzaŋ |
| dzo-mɐ | dzó-mɐ |
| ʃɐ-mu | ʃé-mu |
| metəʔ | métəʔ |
| krɛʃimtsʰo | krɛʃimtsʰo |

²⁸ Clausal subordinators in Tibeto-Burman languages often stem from ergative-instrumental case postpositions; for discussions see Genetti 1986 and Ebert 1994 §6.3.

Nominals already bearing penultimate accent, however, remain such in the vocative except for added intonational emphasis.²⁹

4.2.1.4 Local

The local case marker *-s* expresses a vague local meaning and, as evidenced in (77) below, can occur in locative, ablative, as well as allative contexts:

- (77) a. p^hənts^hə ŋétʃ^hə-s t^hə-smo
 Phentsho where-LOC PROG-exist
 ‘Where is Phentsho?’
- b. p^hənts^hə ŋétʃ^hə-s jə-weʔ ŋoʔ
 Phentsho where-LOC PF-come:PF COP
 ‘Where did Phentsho come from?’
- c. p^hənts^hə ŋétʃ^hə-s jə-ʃə ŋoʔ
 Phentsho where-LOC FUT-go COP
 ‘Where will Phentsho go?’

More explicit locational senses can be conveyed by locational nouns in a possessed construction (see §4.2.2 below): **possessive prefix + locational noun (+ locative case)**. Thus, ‘on the book’ is *jəʔe ó-ta(-s)*, literally “book its-top”. Following is a rather exhaustive list of such nouns:

- (78) ‘-ta ‘space on/over/above’
 ‘-p^hi ‘space under/below/beneath’
 ‘-naŋ ~ -naŋʔ ‘space inside’
 -p^hiʔ ‘space outside’
 -vzər ‘space at the corner of; space beside’
 ‘-kə(r) ‘space on the body/vertical side of’
 -kúri ~ -kóri ‘space in front of’
 -q^hu ‘space at the back of’

²⁹ In Ribü, another major northwestern rGyalrong dialect, accent shifts to the leftmost syllable of the word in vocative formation (personal research):

Personal Name	Vocative Form
skə-lə-vzaŋ	ské-l(ə)-vzaŋ
ptʂə-ʃi-mtso	ptʂé-ʃi-mtso

Alternatively, the same meaning can be conveyed via word-formation, combining locational nouns directly with noun stems to form compounds which may also be marked for the locative case. In this structure, the lexical accent of accented locational nouns is realized on the final syllable of the noun stems, e.g. *jəyə-ta(-s)* ‘on the book’.

More examples of the two uses of the Caodeng locational nouns are provided in (79a-d) below:

- (79) a. *tʰɛfké-ta* *ləʒoʔ-cçə* *tʰɛ-mqə*
 fireplace-**over** dried meat-INDEF PROG-hang:PF
 ‘Some dried meat hangs over the fireplace.’
- b. *nə-tonraŋçəʔ* *nə* *kʰɛxpʰe* *ó-pʰi* *ɛ-nɛ-tə-rko-n*
 2P:POSS-white conch DET grinder 3S:POSS-under DIST-OR-IMP-put:2P
 ‘Put your white conch under the grinder!’
- c. *ó-naŋ-s* *kərʰbjoʔ* *tʰɛ-kə-smo* *toʔ*
 3S:POSS-inside-LOC person PROG-NOM-stay exist
 ‘There are people inside.’
- d. *cçəntʰaŋ* *ó-ɛɐ(r)-rcçənə* *rtsʰartsʰəmɛleʔ*
 fresco 3S:POSS-vertical side-TOP:EMPH mess
 ne-səyso *wɛjjo* *ŋo-cçə*
 PF-make:PF exist:EV COP:PF-EV
 ‘(They found that) he had turned the surface of the fresco into a mess.’

Possessed forms of, *’-pʰa*, a locational noun of uncertain meaning, can be used as a complex case marker on both animate goal and source arguments, as (80a-d) illustrate:³⁰

- (80) a. *sonəm* *ó-pʰa* *tɛkʰu* *ɛ-nə-tə-kʰe*
 Sonam 3S:POSS-location cigarette DIST-IMP:OR-2-hand to
 ‘Hand the cigarette to Sonam!’
- b. *sonəm* *ó-pʰa* *tɛkʰu* *ɛ-ʃə-kə-tə-rojʔ*
 Sonam 3S:POSS-location cigarette DIST-go and-IMP:OR-2-fetch
 ‘Go eastward and fetch the cigarette from Sonam!’

³⁰ This locational noun will henceforth be vaguely glossed as ‘location’. Its possessed form is often shortened to *’-pʰa(-s)*, but note the unacceptability of **ɛjʃ-pʰa* ‘to or from me’.

- c. jəye ɬəmu ó-p^ha t^hə-sə-tsom?
- letter Lhamu 3S:POSS-location IMP:OR-CAUS-take

‘Have someone take the letter downstream to Lhamu!’

- d. sonəm-kə rtenlo ó-p^ha qəjji?
- Sonam-ERG Rtanlo 3S:POSS-location sheep
- te-χtu? nə? nə-rla-cçə
- PF:TR-buy:PF DET PF-be lost:PF-EV

‘The sheep that Sonam bought from Rtanlo went astray.’

However, recipient arguments of such basic ditransitive verbs as *kə-ⁿbi?* ‘to give (as gift)’, *kə-səmtsi* ‘to teach’, and *kə-vzjaŋ* ‘to learn from’ are incompatible with this complex case-marker, hence the ill-formed sentences in (81a-c):

- (81) a. ko? tɛpi kəko?(*ó-p^ha) nə-ⁿbe?
- this butter 3S IMP-give as gift
- ‘Give this butter to him (as a gift)!’
- b. stat^hər-kə ɛjji?(*ó-p^ha) ts^hopdən skət nə-o-səmtsi?-aŋ
- Stathar-ERG 1S Caodeng language PF-INV-teach:PF-1S
- ‘Stathar has taught me the Caodeng language.’
- c. rtenlo*(ó-p^ha) ts^hopdən skət nə-vzjaŋ
- Rtanlo Caodeng language IMP-learn
- ‘Learn the Caodeng language from Rtanlo!’

4.2.1.5 Comparative and semblative

Standards in comparative constructions take the comparative case-markers *-fəχtenə?* or *-fəχrenə:*

- (82) ɛjji? krɛʃi-fəχtenə? mts^hət ⁿbri?-aŋ-cçə
- 1S Krashi-COMP much tall-1S-EV

‘I am much taller than Krashi.’

Standards of equative comparison, on the other hand, are marked with the semblative *-jərmər:*

- (83) kəkoʔ nəm^he-ʈəmu-jərmə(r) np^hjor-cçə
 3S heaven-goddess-SMBL beautiful-EV
 ‘She is as beautiful as a goddess from heaven.’

4.2.1.6 Comitative

The comitative case-marker is *-krə*.

- (84) a. krɛʃi ɐjjiʔ ɐ-krə t^hɐ-rɐvzjaŋʔ
 Krashi 1S 1S:POSS-COMT PROG-study:PF
 ‘Krashi has been studying with me.’
 b. ɛdɔrʒe ó-mo-krə ts^hɐjʔ kə-χtuʔ t^he-re
 Dorji 3S:POSS-mother-COMT vegetables PUR-buy Pf:OR-go:PF
 ‘Dorji went with his mother to buy vegetables.’

Note that in (84a-b) above, verbs agree in number only with the singular subjects *Krashi* and *Dorji*. Consider (85a-b) below where the participants are conjoined rather by *-scç^hənəʔ* ‘and’, the verbs must carry the **dual** agreement suffixes:

- (85) a. krɛʃi ɐjjiʔ-scç^hənəʔ t^hɐ-rɐvzjaŋʔ-tsə
 Krashi 1S-CONJ PROG-study:PF-1D
 ‘Krashi and I have been studying.’
 b. ɛdɔrʒe-scç^hənəʔ ó-mo-ni ts^hɐjʔ kə-χtuʔ t^he-re-ⁿdzə
 Dorji-CONJ 3S:POSS-mother-D vegetables PUR-buy PF:OR-go:PF-3D
 ‘Dorji and his mother went to buy vegetables.’

4.2.1.7 Benefactive

Arguments bearing the semantic benefactive role in Caodeng are represented as possessors:³¹

³¹ The isomorphism of benefactive and genitive case-marking is paralleled by such neighboring TB languages as Qiang (LaPolla: ms) and Guanyinqiao. Observe, for example, the sentence ‘Tshewang made butter bread for me.’ in Mu’erzong (Chinese name: 木爾宗; a variety of the Guanyinqiao language; personal research) below:

ts ^h evəŋ	ŋɐ-jə	jaɣligə	kə-vi-sə
Tshewang	1S-GEN	butter bread	PF-make:PF-EV

- (86) a. ts^hewaŋ-kə sonəm o-pəʒbəʔ te-peʔ
 Tshewang-ERG Sonam 3S:POSS-butter bread PF:TR-do:PF
 ‘Tshewang made butter bread for Sonam.’
 b. ko-niʔ-kə ɐ-ts^hɛjʔ mts^hòt te-xsərʔ-ⁿdzə-cçə
 3-D-ERG 1S:POSS-curry much PF:TR-stirfry:PF-3D-EV
 ‘The two of them stirfried many dishes for me.’

Isomorphism in the case-marking of possessors and benefactives leads sometimes to ambiguities, as in (87):

- (87) ts^hewaŋ-kə krɛʃi o-kəcç^hiʔ te-χtuʔ-cçə
 Tshewang-ERG Krashi 3S:POSS-candy PF:TR-buy:PF-EV
 ‘Tshewang bought candy for Krashi.’
 ‘Tshewang bought Krashi’s candy.’

Closely related in meaning to the above are case roles marked by *o-χte* or *o-fcçɐ* ‘on behalf of’ (88a,b) and *o-tɛnkə* (< WT *ton-gyis*) ‘for the sake of’ (88c):

- (88) a. ɛjjiʔ a-χte ⁿbri ta-χte
 1S 1S:POSS-behalf horse IMP-buy
 ‘Buy horses for me (on my behalf)!’
 b. kəkəʔ ɛjjiʔ ɐ-fcçɐ ɛmɛrt^haŋ ke-re
 3S 1S 1S:POSS-behalf Hongyuan PF:OR-go:PF
 ‘He went to Hongyuan in my place.’
 c. ɛjjiʔ ɐ-tɛnkə kəkəʔ tɛwaʔ kə-p^hərtʃu nɔ-t^he
 1S 1S:POSS-sake this liquor one-bowl IMP-drink
 ‘Drink this bowl of liquor for my sake!’

4.2.2 Possession

Another major inflectional category of Caodeng nonimals is possession.³² Given two juxtaposed nouns in a possessive relation, the possessed head noun must be marked with a **possessive prefix** which agrees in person and number with the possessor. The possessive prefixes in Caodeng are given in the table below:

³² Nominal possession as a distinct category of nominal inflection was recognized by Sun Hongkai more than ten years ago in an obscure journal article (Sun 1984) which discusses, among other things, the formal link between personal pronouns and possessive prefixes in several Tibeto-Burman languages of China (Dulong, Nusu, Ersu, Taraon, Lisu, Jingpo, and rGyalrong).

	SINGULAR	DUAL	PLURAL
1	ɐ-	tsə-	jə-
2	nɐ-	ⁿdzə-	nə-
3	o-		
Reflexive	tə-		

Thus, for example, to express the meanings ‘Trashhi’s dog’ and ‘Lhamu’s daughters’ hair’ one must say:

(89) krɛʃi o-k^hezeʔ

Krashhi 3S:POSS-dog

ʃɛmu ɔ-mej-rɛ nə-kormɛ

Lhamu 3S:POSS-daughter-P 3P:POSS-hair of head

Importantly, if the possessor noun is not expressed, possessor agreement is always with the subject of the sentence. Note the following contrast in (90) below:

(90) a. sonɛm-kə ɔ-rdʒɛp ne-mti

Sonam-ERG 3S:POSS-wife PF:TR-see:PF

‘Sonam saw his own wife.’

b. sonɛm-kə zɛ ɔ-rdʒɛp ne-mti

Sonam-ERG M 3S:POSS-wife PF:TR-see:PF

‘Sonam saw his (someone else’s) wife.’

Pronominal possessors are usually omitted (91a), except when emphasis is intended (91b):

(91) a. o-tɛk^hu ‘his/her tobacco’

3S:POSS-tobacco

b. ɔʃjiʔ o-tɛk^hu ‘his/her own tobacco’

3S 3S:POSS-tobacco

Caodeng nouns fall into two classes: alienable and inalienable; the latter includes kinship terms, body-part terms, and names of certain intimate personal belongings (e.g. tɛ-mgom ‘pillow’). They behave differently with respect to the combinability of their inherent noun prefixes and the possessive prefixes. Thus, an inalienable noun must drop its noun prefix, if any, before it can be marked with a possessive prefix (92).

On the other hand, possessive prefixes cannot be added directly to unprefixable alienable noun stems (93):

- | | | | | | |
|------|---------------------|------------------|---|--------------------------|----------------|
| (92) | tə-mja | 'eye' | → | é-(*tə-)mja | 'my eye' |
| | tə-ɣnə | 'relative' | → | ɐ-(*tə-)ɣnə | 'my relative' |
| (93) | tə-t ^h u | 'large iron wok' | → | ɐ-*(tə-)t ^h u | 'my iron wok' |
| | tə-k ^h u | 'cigarette' | → | ɐ-*(tə-)k ^h u | 'my cigarette' |

A few additional examples of this contrast are given in (94):

- | | | |
|------|-----------|--|
| (94) | tə-ɛjəp | 'shadow; shade' |
| | ɐ-ɛjəp | 'my shadow (inalienable)' |
| | ɐ-tə-ɛjəp | 'my shade (alienable)' |
| | tə-sə | 'blood' |
| | ɐ-sə | 'my own blood (inalienable)' |
| | ɐ-tə-sə | 'blood owned by me (alienable)' |
| | tə-lo | 'milk' |
| | é-lo | 'my own milk (as of a mother's milk; inalienable)' |
| | ɐ-tə-lo | 'milk owned by me (alienable)' |

Aside from its major function in expressing nominal possession, the structure **N1 POSS-N2** occurs also in locative phrases (§4.2.1.4). In such phrases, possessive prefixes are as a rule attached to unprefixable directional morphemes, e.g.:

- | | | | |
|------|--------------------|---------|---------------|
| (95) | s ⁿ dəj | ó-ɛ(r) | 'on the wall' |
| | wall | 3S-body | |

As a rare exception to this generalization, the word *tə-vzər* 'vertical edge' keeps the prefix *tə-* when possessive prefixes are added, possibly to avoid homonymy of the following type:

- | | | |
|------|----------------------------|--|
| (96) | k ^h e o-tə-vzər | 'exterior vertical edge of the house' |
| | k ^h e o-vzər | 'corner in the house; exterior rim of the house' |

In another important usage, possessive prefixes represent **patient** arguments in certain types of syntactic structures. The example in (97) below demonstrates this function of possessive prefixes on a derived agentive noun (see §5.1.1 below):

(97) *ʁ-kə-wəstot-nə-rə*

1S:POSS:PAT-NOM-praise-3P-P

‘those who praise me’

Another syntactic environment calling for patient marking via nominal possession is provided by N + V predicates where N is an integral part of the meaning of the transitive predicates. Example (98) below shows one such complex verb *-mt^hu kə-lət*

‘to cast spell on (by chanting sutras)’:

(98) *nənəʔ vlərme-kə ʁ-mt^hu t^he-lət*

that monk-ERG 1S:POSS-spell PF:TR-cast:PF

‘That monk has cast a spell on me.’

The “outer object” and semantic patient (*ɛʃʃiʔ* ‘I’) here has to be expressed as an oblique possessor of the “inner object” (*mt^hu* ‘spell’). Note that the sentence above is expressed in **direct viewpoint** (see §4.2.1.1 above) with the verb agreeing with the third person singular agent even though semantically the sentence predicates a marked scenario in which the speaker is affected by the action of a non-participant.³³

5. Nominalization

Nominalization, by which verbs, verb phrases, or whole finite clauses are turned into nominals, plays a pivotal role in the grammar of Caodeng. Such important syntactic processes as complementation, relativization, clefting, and the formation of attributive stative verbs are all built upon nominalization. In the remainder of this paper, we discuss in turn the formation of various subtypes of nominalized structures.

5.1 Deverbal nouns denoting arguments

This type of nominalization produces derived nouns denoting arguments subcategorized for by the source verbs. Such nouns behave morphosyntactically as ordinary common nouns.

³³ This can be accounted for by the general restrictions on verb agreement in rGyalrong. Unlike in such Tibeto-Burman languages as Qiang (Huang 1991: 307), Tangut (Keping 1981: 45-46), or Jingpo (Dai and Xu 1992: 275-280), verbs in rGyalrong agree only with core sentential arguments, and never with possessors.

5.1.1 Agentive nouns

The so-called “agentive nouns” are formed productively by attaching the prefix *kə-* to the verb root. Despite the misleading traditional term, the examples in (99) demonstrate that this derivational process yield nouns that denote, among other things, themes of intransitive process (‘to become ill’) and stative (‘to be rich’) verbs as well as agents of transitive action verbs (e.g. ‘to steal’):

- | | | |
|----------------------------------|---|--------------------------------------|
| (99) -mərku ‘steal’ | → | kə-mərku ‘thief’ |
| -n ⁿ gi? ‘become ill’ | → | kə-nⁿgi? ‘patient’ |
| -tim? ‘be rich’ | → | kə-tim? ‘rich person’ |

5.1.2 Patient deverbal nouns

By taking the prefix *kə-*, transitive verb roots are made into patient deverbal nouns with the meaning ‘that which is verb-ed’:

- | | | |
|--------------------------------|---|--|
| (100) - ⁿ dze ‘eat’ | → | kə-ⁿdze ‘food’ |
| -t ^h i ‘drink’ | → | kə-t^hi ‘soup; gruel; beverage’ |
| -ri? ‘let remain’ | → | kə-ⁿdzə-ri ‘leftover (food)’ |

5.1.3 Oblique deverbal nouns

A third nominalizing prefix *sə-*, when added to the perfective stem of a verb, turns it into a derived noun with locative, instrumental, or temporal meanings:

- | | | |
|----------------------------|---|---|
| (101) -scçe ‘give birth’ | → | sə-scçe? ‘birthplace; birthday’ |
| -r ⁿ gu ‘sleep’ | → | sə-rⁿgu? ‘sleeping place’ |
| -χtu ‘buy’; -ntswe? ‘sell’ | → | sə-ra-χtu-tswe? ‘marketplace’ |
| -ffo ‘dye (v.)’ | → | sə-ffo? ‘dye (n.)’ |

5.2 Action and state nominalization

The second type of nominalization creates abstract nouns referring to the types of activities or states predicated by the lexical verbs. Formation of such nouns also employs the nominalizing prefixes *kə-* and *kə-*. Dynamic verbs take *kə-* (e.g. -ⁿdze

'eat' → *kə-ⁿdze* 'to eat'); with inherently stative verbs, however, the addition of the prefixes *kə-* or *kə-* yields semantically differentiated verbal nouns denoting, respectively, a state and a process (change of state). Examples of state (102a) versus process (102b) verbal nouns from the verb root -ⁿ*gu* 'be poor' follow:

- (102) a. *kə-ⁿgu* *mə-vde*
 INF-be poor NEG:IMPF-be good
 'It is not good to be poor.'
- b. *kə-ⁿgu* *mə-vde*
 INF-be poor NEG:IMPF-be good
 'It is not good to become poor.'

These nominal forms will henceforth be regarded as infinitives, on account of the verb-like properties they retain. These include the distinction in dynamicity shown in (102) above, as well as such verbal categories as viewpoint (103a), negation (103b), and orientation (103c). Also retained unmodified are complements (103d) and adjuncts (103e):

- (103) a. *kə-o-jjə-sérne* *mě-nos*
 INF-INV-REFL-get close NEG:IMPF-dare
 'S/he dare not get close.'
- b. *k^hə^hos* *ték^hu* *mə-kə-ski* *re?*
 here cigarette NEG:IMPF-INF-smoke must
 'One must not smoke here.'
- c. *əjji?* *fsəfsi-nə* *t^he-kə-ʃə* *re?*
 1S tomorrow-DET OR-INF-go must
 'I must go downstream tomorrow.'
- d. *qərme* *ⁿge-kə-nt^he* *mə-jo?*
 Tibetan eared pheasant OR-INF-kill NEG:IMPF-be allowed
 'It is not allowed to kill Tibetan eared pheasants.'
- e. *mer* *kə-nkə* *səvər-cə*
 at night INF-walk be frightening-EV
 'It is scary to walk at night.'

Across the rGyalrong dialects, moreover, the infinitive is treated by native speakers as the citation form of verbs.³⁴

5.3 Clausal nominalization

In Caodeng, propositions which name specific (i.e. token) events or states can be nominalized straightforwardly by adding to the input clause a postposed determiner/subordinator *-nəʔ* ‘that’.³⁵ Two illustrations of their use as sentential complements are given below:

- (104) a. *ɣves-kə* *k^hezeʔ* *o-ʃneʔ* *te-ⁿdzeʔ-cçə*
 badger-ERG dog 3S:POSS-nose PF:TR-eat:PF-EV
 ‘The badger bit the dog on the nose.’
- b. [*ɣves-kə* *k^hezeʔ* *o-ʃneʔ* *te-ⁿdzeʔ(*-cçə)*]
 badger-ERG dog 3S:POSS-nose PF:TR-eat:PF-EV
 nəʔ *ɕjjiʔ* *ʼsis-aŋ*
 DET 1S know-1S
 ‘I know that the badger bit the dog on the nose.’
- (105) a. *ɕjjiʔ* *ʃorʔ* *kɛntʃ^haŋ* *koʔ* *támej* *nəʔ* *nɐ-mti-aŋ*
 1S yesterday street this woman DET PF-see:PF-1S
 ‘I saw this woman on the street yesterday.’
- b. [*ɕjjiʔ* *ʃorʔ* *kɛntʃ^haŋ* *támej* *nɐ-mti-aŋ*] *kətaʔ* *ŋoʔ*
 1S yesterday street woman PF-see:PF-1S true COP
 ‘It is true that I saw the woman on the street yesterday.’

As evidenced in (104a,b), nominalized clauses do not show evidential marking, since their discourse function is to provide backgrounded information rather than make new assertions (Noonan 1985:108). Nominalized clauses are finite in all other respects.

³⁴ This statement is founded on my personal field experiences in the rGyalrong country. Lin (1993: 191) also considers this deverbal form, which he labeled “不定式” the standard Chinese term for the infinitive, as the representative form of verbs in lCogrtse rGyalrong.

³⁵ This morpheme is identical in function to the form *-tə* in the Eastern dialect.

Yet another type of nominalized structure has been noted in my corpus, apparently intermediate in position between infinitival and clausal nominalization. In this construction, propositions are nominalized by attaching the nominalizer *kə-* to the non-finite verb. The construction is similar to nominalized clauses (§5.3) in its ability to contain agent arguments as well as the determiner/subordinator *nə?*. Unlike nominalized clauses, its predicates are necessarily non-finite. This construction, then, may be considered a type of “action nominals”, that is, noun phrases with an action/stative noun as head plus certain reflexes of the input proposition. Observe the following with a nominalized clause (106a) in contrast with an action nominal (106b):

- (106) a. *krɛʃi-kə sonəm te-nək^hoj? nə? jəjjərə? kəmdi? 'sis-jə*
 Krashi Sonam PF-bully:PF DET 1P all know-1P
 ‘We all know that Krashi bullied Sonam.’
- b. *krɛʃi-kə sonəm kə-nɛk^hoj nə? jəjjərə? kəmdi? 'sis-jə*
 Krashi Sonam NOM-bully DET 1P all know-1P
 ‘We all know about Krashi’s (tendency for) bullying Sonam.’

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Forthcoming (a). Caodeng rGyalrong phonology.

Forthcoming (b). The category of orientation in Caodeng rGyalrong.

草登嘉戎語的名物詞形態

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嘉戎語分布於川西，在藏緬語族中有特殊重要地位。本語內部分歧大，各主要方言互不通話，其語支系屬及方言次分類尚未定論。草登話係嘉戎語西北方言主要土語之一，過去乏人深入研究。本文運用作者長期調查所得第一手材料，系統介紹草登話名物詞之形態語法。

本文結構如下：第一節開宗明義，廣泛討論草登話的各種形態程序。第二節及第三節分別介紹兩類名物詞——名詞及代名詞，及名物詞附屬成分，包括引稱標記、指示形容詞、數詞、以及量詞。第四節鋪陳名物詞之派生及曲折形態範疇。最後一節專門用以探討名物化的幾種形式及其用法。

關鍵詞：藏緬語族 嘉戎語 名物詞形態學