### THE STUDY OF THE CHUAN CHU IN SHUO WEN

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Note. A short paper on this subject was read at the fifth annual meeting of the Western Branch of the American Oriental Society, on April 23, 1955, at the University of Washington, Seatlle, Washington. The present study is a more extensive and complete development of the same ideas proposed on that occasion. I am happy to dedicate this study to Professor Chao Yuan Ren and to contribute it to the Anniversary Volume published in his honor.

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#### INTRODUCTION

Chuan chu 轉注(1) is one of the 'six principles' of writing (Liu shu 六書) according to which the Shuo-wen chieh-tzù 說文解字 explains the words and graphs of the Han time lexicology. Each principle is defined in SW and explained by two examples. The importance of this set of principles of writing is seen in the way the Hsing sheng 形聲 (or Hsieh sheng 諧聲) principle was used to explain some aspects in the development of the Chinese script and language, as well as to reconstruct the sound of the words represented by these graphs. Among the six principles, the Hsh principle is the one which has until now been studied most intensively and made to throw light on the problems of phonetics with occasional points on the problem of cognates or of derivational and morphological processes. To some extent, though not sufficiently, the principle of borrowings of characters (chia chieh 叚借) has been exploited in a similar way. The hsiang hsing (象形) principle deals directly with the pictographic structure of the graphs; comparison of the various bone and bronze forms with those found in SW and other later sources, provided a fairly good picture of the general development of the graphs. But many problems connected with this variety of graphic forms still remain unsolved. One is the role of the chih shih (指事) and their relations to the hsiang hsing. It is legitimate to ask which was the particular reason for these variations consisting in slight distortions or complete changes of details, in substitutions of one part of the pictograph by another, according to the inscriptions of different times and places, or to seek the underlying etymologies which are at the origin of some grahic misinterpretations of the SW. It is known that various graphs like 肋, 劦 etc. are but representations of fundamentally the same object, yet they have been designed for different words and meanings. Such an investigation would eventually lead to discoveries

<sup>(1)</sup> Abbreviations used in this article are the following. Chuan chu: CC; Hsing sheng, Hsieh-sheng: Hsh; Shuo-wen chieh-tzù 說文解子: SW, quoted according to the Shuo-wen chieh-tzù ku-lin 說文解字計林 (abbreviated: SWKL) by Ting Fu-pao 丁福保, Shanghai 1928. B. Karlgren, Grammata Serica, Script and Phonetics of Chinese and Sino-Japanese, BMFEA vol. 12, 1940: GS. Shen Chien-shih 沈兼士, Kuang-yùn sheng-hsi 廣韵譯系, Peking 1944: KYSH. Shih ming 釋名. SM; when referring to N. C. Bodman, A Linguistic Study of the Shih Ming, Harvard University Press, Cambridge, Mass. 1954, the page or the number of Bodman's list is indicated, e.g. (Bodman p. 40 or Bodman 153). Fang yen 方言, quoted according to Fang-yen chiao-chien 方言校箋, by Chou Tsu-mo 周祖謨, Université de Paris, Centres d'études sinologiques de Pékin, 1951. Fu Ting-yi 符定一, Lien-mien tzu-tien 聯縣字典 (Peking 1941): LMTT. Chu Ch'i-feng 朱起鳳, Tz'ù-t'ung 辭通, Peking 1934: TT. Chang Yü-shu 張玉書 et al. P'ei-wen yūn-fu 佩文 韻府, Wan-yu wen-k'u ed.: PWYF.

not only concerning the development and growth of the script, but, what is more important, concerning the language that was put to writing in this way. But in the present state of our knowledge of the Chinese language of the older periods, these problems may be premature and must be disregarded for the time being as *cura posterior*.

Though the principle hui yi (會意) has mostly been understood in the sense of 'combination of preexistent, often simplified or truncated pictographic elements into one character' or 'compound graphs', or 'suggestive compounds', it seems that its exact meaning, in contradistinction to hsigng hsing and chih shih has not been satisfactorily explained. The translations of the definition of hui yi in SW, proposed by the various authors (2), as well as, for instance, the explanation by W.P. Yetts<sup>(3)</sup> seem to indicate a well established, generally accepted and certain theory. Yet, as Hopkins has pointedly commented, it is a fact that though the classical SW example [武 "to stay 止 the weapons 戈"—peace and order b ing the most outstanding glory of military prowess; and 信 "人 a man's 言 vords i.e. good faith, belief"] seems to be quite clear, more frequent are the cases where the commentators cannot tell us for certain what elements are combined and how these parts can aptly represent a given word. Hopkins thinks that translations like 'suggestive compounds' should not be taken as referring to "the reader who seizes the meaning of a character from its composition", but "that the maker of the character is intended, who by grasping the notions implied by a spoken term, in other words, by analysing its meaning, formed a compound in accordance with his understanding of the import of a term." It is interesting to note that Hopkins starting from the spoken word which is graphically represented by the script inventors, comes close to the idea that the choice of the elements in the hui yi should indicate etymological connections of these parts with the new word itself. most promising approach to the study of the hui yi, which again would bring the graph and the graphical structure back to the word, its sound and its meaning.

The most wide variety of explanations has been proposed concerning the meaning of the *CC* principle. In the *Introductory Volumes* of SWKL<sup>(4)</sup>, notes and studies of various length of more than 30 authors are reproduced, with

<sup>(2)</sup> L.C. Hopkins, The Six Scripts or the Principles of Chinese Writing by Tai T'ung, 1881 & Cambridge University Press, 1954, p. vii-viii.

<sup>(3)</sup> W. P. Yetts, The George Eumorfopoulos Collection, Volume I, London, 1924, p. 6.

<sup>(4)</sup> Shuo-wen chieh-tzù ku-lin, Ch'ien pien 前編, abbreviated SWKL, Intr.

surveys and discussions of different opinions of Chinese scholars from the earliest times till now. The reason of this variety of opinions is, according to W.P. Yetts "the cryptic definition given in the Shuo wen preface..... Many writers have attempted differently to expound Hsü Shen's definition, the ambiguity of which may not be his, but may be due to some instance of the textual corruption known to pervade the dictionary in its extant form. On the other hand, Hsü Shen may himself have misunderstood the traditional term Chuan-chu, the latter word of which offers the chief conundrum." None of all the Chinese writers on SW seem to express any feeling that the SW definition of CC is cryptic or ambiguous. With few exceptions, they all start their discussion from the assumption that the SW text, as it stands now, is correct. Furthermore, no plausible corrections were proposed that brought new factors into the notion of CC or clearer enunciations of this notion (6). It is not acceptable that Hsü Shen was unsure or confused about the true acceptation of the term CC in SW. If his acceptation were not the traditional one, supposing that the term as such existed before him, it is reasonable that only the acceptation which he expressed in his definition, could logically fit in the context of the other five principles and their definitions, and in the analysis of graphs in his dictionary. It is necessary therefore to understand each word of the definition, the meaning of the terms, as well as of the examples added to it, in the light of the definitions and the applications of the other principles. Rather than claiming corruption of the SW text, ambiguity of the terminology, or uncertainty of the notion in Hsü Shen's mind, it is probable that the differences in opinion are due to the fact that the SW quotes only two examples to illustrate his idea, and most of all, that nowhere in the text of his dictionary the term CC is explicitly mentioned to explain a word. Various possible reasons could explain this fact. It may be that the CC principle as such never plays any role in the graphic structure

<sup>(5)</sup> John Chalmers, The Six Modes of Development of the Chinese Written Language 六書, The China Review, vol. 16, 1. 1887-88, p. 10 sq. Chalmers makes a 'critique of the definition of Chuan chu' and takes the formulation of Chia Kung-yen (cfr. infra, p. 137) as a correction of the SW, and proposes a correction (p. 30): 連類一音, 文意相受 instead of 建類一首, 同意相受; he translates this corrected definition: "Like meanings uniting in one sound, the character and the meanings receive each other." Though elsewhere Chalmers approaches the correct understanding of the true significance of CC, (p. 12. "By extending its [a character's] use, however, to any one of them [i. e. all the cognate meanings of the root] in addition to its own pictorial significance, a definite advance is made in the evolution of the written language."), he finally seems to follow the opinion of Chu Chün-sheng (cf. infra p. 147), which is incorrect; his text emendation is not supported by any textual evidence.

of a character, or that it never applies to one character, but rather to different characters at once. The CC notion of Hsü Shen, far from being an ancient, traditional, by his time already misunderstood, vague and inadequate notion, may on the contrary have been one that was generally known, accepted and therefore also easily recognized. If the actual disposition and procedure of analysis of words and graphs did not lead naturally to an explicit mentioning of the CC principle, whenever it was applied, the very nature of the writing or the language may have allowed every reader to understand the role of the CC principle and apply it himself every time, and this on the basis of the present procedure of SW itself.

# I. SURVEY OF THEORIES AND INTERPRETATIONS

### 1. Generalia.

A very important distinction which lies at the root of many mutually opposed interpretations of the CC principle, is that which divides the six principles in two essentially and formally different groups. The first group containing the principles 1-4 (viz. hsiang hsing, chih shih, hsing sheng, hui vi) regards the graphic structure and analysis of the characters. They explain the characters either in terms of pictographic representations of objects, combinations of preexisting pictographic elements, or combinations of graphic elements with graphically unrelated ones, chosen only for the sound. four categories exclude each other, in the sense that every Chinese graph must belong to either one and only one of them, with exclusion of the others. A character may be differently analyzed according to the opinions of different authors, or according to the different variants of writing (chuan tzu 篆字, chou wen 籀文, ku wen 古文, bronze or bone graphs), since they may be of different origin in time and space; yet on each level and in each theory, a character can belong only to one of the four classes. This theory considers the first four principles as a device for a synchronic graphic analysis. It does not ignore the fact that there are border cases, where with different advantages or degrees of probability, one could choose to call a given graph a hui yi or a *Hsh*, etc.; neither does it ignore that some characters did develop graphically so as to move from one class to another, according to different periods or forms of script. It holds that formally it can only belong to one of these four classes or principles of writing.

Opposed to these first four categories or principles are the ones called *chia chieh* and *CC*. They do not refer to the graphic analysis or interpretation

but to other aspects, as e.g. the usage and the applications of the graphs in given circumstances. Thus, in the field of the chia chieh, any Hsh, chih shih, hsiang hsing or hui yi can be used for another word that has the same sound, no matter what is the meaning of the borrowed graph and word or what is the meaning of the word for which it is secondarily used as a phonetic substitution. The CC too must refer to a new aspect either of the word or the graph, which can coexist with any of the first four principles. This idea has been strongly defended by Hu Wen-yü 胡韞玉 (T. P'u-an 樸安) in his Liu-shu t'ung-lun 六書通論 (SWKL, Intr. p. 143 sq.). It was a distinction followed by great scholars such as Tai Chen 戴震 (T. Shen-hsiu 慎修, H. Tung-yüan 東原, Kao-ch'i 杲谿, 1724-1777) who wrote: (SWKL, Intr. p. 111) 指事象形形聲會意四者爲字之體;轉注叚借二者爲字之用 "The chih shih, hsiang hsing, hsing sheng and hui yi, these four, are the structure of the characters, the chuan chu and chia chieh, these two, are about the use of the characters". This is a clear and easily demonstrated fact for the chia chieh, but the particular new aspect which is the formal characteristic of the CC, is exactly the point so much disputed among the Chinese scholars. Obviously, though equally applicable to the characters of all former four categories in the same way as the chia chieh, it cannot be identical with the formal characteristic of the chia chieh.

The distinction between the graphic principles 1-4 from 5-6 (chia chieh and CC) was already made by the Ming time scholar, Yang Shen 楊愼 (T. Yung-hsiu 用修, H. Sheng-yen 升奄; 1488-1559) 六書象形居其一, 象事居其二, 象意居其三,象聲居其四.假借借此四者也.轉注注此四者也.....四象以爲經,假 借轉注以爲緯,四象之書有限假借轉注無窮也. 假借者借義不借音, 轉注者轉音而注義. "Among the six principles of writing, hsiang hsing occupies the first place, hsiang shih [term used in Han shu Yi-wen chih 漢書藝文志 for chih shih] comes second, hsiang yi [i.e. hui yi] comes third and hsiang sheng [i.e. Hsh] comes fourth. The chia chieh is a principle by which one borrows all these former four, and the CC is a principle by which one runs connections between those four. The four hsiang principles are considered the warp, the chia chieh and the CC as the woof. What is called chia chieh is a principle by which one borrows the meaning [of another word] but not the sound [which is already in the original word for which the borrowed graph stands]; what is called CC is a principle by which a word develops a new sound and derives a [new word with similar] meaning." Detailed exposition of this theory must

come later, but may it suffice here to point out the essential distinction between the script principles 1-4 and 5-6.

That this distinction is correct appears from the fact that the authors who disagree on this point have a great difficulty in assigning a clear role to the CC; they necessarily fall into all sorts of confusions between CC and Hsh, hui yi, hsiang hsing or chih shih. By maintaining that CC represent a principle of graphic structure or composition, they must logically explain its formal role in terms of a pictographic or phonetic role in the graph. As it is not difficult to find either hsiang hsing or chih shih, hui yi or Hsh characters in which the CC principle finds application, a confusion is easily caused by identifying the formal CC role as something that is already performed by one of the former four principles.

## 2. Chuan chu, a principle of graphic composition?

It is not our intention to discuss all the theories which start from the idea that *CC* is a principle of graphic composition in the same as the other principles of writing 1–4.

1) One theory ascribes to the CC a new principle of graphical derivation; it is called the theory of Yu-chuan tso-hui (右轉左回) or by similar names, and was proposed already by the earliest commentators, such as Tai T'ung 戴侗 T. Chung-ta 仲達 (Sung, fl. 13th cent.) and before, by Sun Mien 孫愐 (T'ang, fl. 8th cent.) and probably Chia Kung-yen 賈公彦 (T'ang, fl. 7th cent.). In Hopkins' translation of Tai T'ung's text it says (Op. cit. p. 18) "What are deflected characters [i.e. CC]? They are those made by taking an existing figure and turning it round on its axis. For example, Il shan 'mountain', when turned round on its side becomes 阜 fou, 'a mound', 人 jen 'a man', inverted becomes 上 hua 'to change', 欠 ch'ien 'deficient', inverted becomes 亢 'breath unexhaled', 子 tzu 'child' inverted becomes 太 t'u 'birth of a child'". While there are no doubt characters which are inverted or deflected forms of other graphs, and while it is possible that such characters happen to be CC (e.g. J and (, 子 and 去, etc.), it does not mean that such deflections or inversions are the formal characteristic of a CC. Deflection and inversion are treated in SW, but they fall in the category of hsiang hsing or chih shih. If they were a new formal principle of graph formation, with special name CC, it is surprising that the SW definition did not just say so, using such an expression like Yu-chuan tso-hui. Moreover the SW example 考老 is not easily explained by deflection or inversion; these characters are, as a matter of fact, analyzed as Hsh and  $hui\ yi$ ; it is not probable that in this classical example, the deflexion or inversion process did apply even to the two bottom elements of 考 and 老 (as some authors think)<sup>(6)</sup>, for they are not the same graphic element (inverted or deflected); these graphic elements have been satisfactorily and completely explained otherwise. (Cf. infra p. 152ff.)

- 2) Chuan chu, a general graphic principle? Yetts (op. cit. p. 7) says in his explanation of CC that "the sharing of one immutable element by all the characters of a certain group manifests their general kinship in meaning as well as in form, the different shade of meaning amongst members of the group, signified by respective changes in position of another (variable) element in relation to the common (immutable element)". This explanation practically coincides with that of some Chinese scholars who take the CC as a principle of classification only, by virtue of which all graphs with the element 老 (shortened  $\not=$ ) are grouped together in SW. It is not clear at all how many different shades of meaning could aptly be expressed by changes of position, additions, inversions or deflections, and how they graphically represent such a differentiation. The variations obtained are very limited. Most of all, the example 考老 illustrates this theory very poorly. The theory is of little significance and value unless it be extended in the sense of K'ung Kuang-chü 孔廣居 (T. Ch'ien-ch'iu 千秋 H. Yao-shan 瑶山). K'ung's theory (SWKL, Intr. p. 109 sq,) considers the CC not as a principle of character structure on the same level as the hsiang hsing, hui yi, etc. nor as another formally distinct principle opposed to the principles 1-4, but as a very general underlying principle of writing applying to all characters. This CC principle is active in the pictographic signs as it produces different graphs like 上 and 下; it is active in the same way to form different hui yi characters, etc. This theory, however, is farfetched, and is not proved in detail or by any examples; its provides nothing new for the understanding of the particular structure of the graphs. Moreover, all authors see a definite logical order in the sequence of the liu shu. 1) pictograms 2) compound characters partly with sound elements (Hsh) or completely pictographic elements (hui yi), 3) borrowings, 4) CC. If the CC were a general graphic principle underlying all graphs, it should logically precede the others.
- 3) A rather original explanation, based on the graphic aspect of the characters, is that proposed by Wang Yung-pao 汪榮寶 T. Kun-fu 衮甫 (SWKL,

<sup>(6)</sup> W.P. Yetts follows this theory when he speaks of "the deflection or inversion of the lower element about a pivotal axis."

Intr. p. 208 sq.). On the basis of the example 考老, Wang sees in the *CC* a principle of graphic composition the role of which consists in changing a given character by substituting one element by another, or through curtailing or simplifying of a character, then by adding another graphic element (轉注 者以改字為造字者也). Thus in 老,匕 is dropped, 丂 is added to form a synonym 考. Similarly, in 畫 'limits of a field, limited field', 田 is dropped and 日 is added to make 畫 'daylight—a meaning somehow connected with 畫, as the daylight is 'limited' by the night (SWKL, 1277). Wang gives several other examples 犛→孷→氂, 斄. 眉→眉→省. 冓 simplified to 冉→再, 爯. 筋→a) 竻→ 笏; b)→肖→箭.高→高→高→亭→亳 etc.

It can be seen immediately that this theory takes the CC as a special group of  $hui\ yi$  or Hsh, where either one of the constituent parts is simplified. Yet in SW there are many such characters among the Hsh, where either the signifying or the phonetic element appear in shortened form, but SW nevertheless calls them Hsh. The same is true for the  $hui\ yi$  characters, analyzed in SW by the formula M....M...., which often reproduce either one of the graphic parts in shortened form. Wang Yung-pao's answer to this objection that these characters in reality are not true Hsh or true  $hui\ yi$ , contradicts the SW text. Furthermore, the semantic connection which Wang tries to discover between the characters involved in this process of graphic derivation is often far fetched, improbable and gratuitous. To avoid this difficulty by defining the CC as a special type of Hsh or  $hui\ yi$ , to be set apart from the ordinary ones, is to go counter to the analysis of SW.

Other authors have the same theory as Wang's without any new arguments; thus Hu P'eng 胡朋 T. Wu-tang 無黨 (SWKL, Intr. p. 203 b). Jao Chiung 饒炯 too mainly confuses the notion of CC with Hsh (SWKL, Intr. p. 135 b); he lists some forms which he explains as changes due to additions of elements to an already existing character after some parts have been removed (開 $\rightarrow$ 門  $\rightarrow$ 閩) or cases of doubled graphs ( $\dot{\mathbf{r}}\rightarrow\dot{\mathbf{n}}$ ), and tautological additions of signifying parts ( $\dot{\mathbf{r}}\rightarrow\dot{\mathbf{n}}$ ). Often these authors adduce examples that may be true CC (毒&再,開&閩), but they failed to point out the true nature of the principle of CC.

4) Chuan chu, a principle of classification? Another opinion is proposed by Yeh Chün 葉濬 T. Che-ch'en 哲臣 (SWKL, Intr. p. 204 sq); to this author, CC seems nothing more than a special principle concerning the sequence in which graphs are listed in the dictionary. Starting from the idea that CC

characters imply some semantic connection, he considers as CC those characters which are discussed in SW under a particular division, which in view of their graphic structure is exceptional. E. g.  $\Xi$  and  $\Xi$  have a meaning which is basically the same as  $\Xi$ , and are therefore not listed under  $\Xi$  or  $\Xi$ , but under  $\Xi$ ;  $\Xi$  and  $\Xi$  are semantically mere variants or derivations of  $\Xi$ , and therefore do not belong to the  $\Xi$  divisions  $\Xi$ ,  $\Xi$ ,  $\Xi$  but to  $\Xi$ . This observation itself is correct and may have led him to deeper insight in the significance of the  $\Xi$  vertex and  $\Xi$  because he paid attention only to one aspect of some  $\Xi$  cases.

# 3. Chuan chu, a principle of phonetic derivation?

Some authors find the explanation of the CC, taken as just another principle of graphic structure, parallel to the four first principles, in the phonetic side of the word. Often they confuse the CC with the Hsh cases, and bring them under a new group, the CC; or they present CC as a special category of characters developed through derivation of sound. One defender of this theory, Jao Chiung 饒炯 writes 轉注本用字之後之造字一. 因篆體形晦義不甚顯而从本篆加 形加聲以明之 "CC really is a case of characters made from other characters already used; because the graphic structure of the seal script was obscure and its meaning not very clear, another signifying or phonetic element was added to make it clear." It has already been pointed out that the addition of another signifying or phonetic element could not keep such a character distinct either from a hui yi or a Hsh respectively. Jao Chiung's examples are mostly Hsh graphs, which are semantic derivates of the orginal graphs: 口 $\rightarrow$  国,民 $\rightarrow$  民 etc. He observes that the CC are different from the Hsh because they contain the same fundamental meaning (必包本義). This is not true, for the example of SW, 考 is analyzed by SW as a Hsh but it does not show any semantic connection with 5, and as 考 is Hsh and CC at the same time, the formal difference between CC and Hsh must be found somewhere else, titrais le enotable haigeletant ban (file-gr) adquire beldrob to seen

Already in Sung time this confusion was present in the theory of Cheng Ch'iao 鄭樵 T. Yü-chung 漁仲 (1104–1162) who wrote 諧聲轉注一也; 役他為諧聲, 役已為轉注 "Hsh and CC are one thing; if it serves another, it is a Hsh; if it serves itself, it is a CC." This cryptic formula is understood by Hu Wen-yü as follows (SWKL, Intr. p. 156) "If it uses another character to indicate the sound [e. g. 也] but keeps its own meaning [i. e. 他] it is a Hsh. If it is identical in meaning with the character [i. e. 老] but takes another phonetic

element [i. e. 万 in 考], it is a CC." Here too we have merely two graphic analyses of characters which do not show why one Hsh character belongs at the same time to another class distinct from Hsh.

Chang Yu 張有 T. Ch'ien-chung 謙中 (Sung) has difficulty distinguishing CC from chia chieh, when he writes (SWKL, Intr. p. 155 b) 轉注者展轉其聲 注釋他字之用也. 如其無少長之類... 叚借者, 因其聲借其義. 轉注者, 轉其聲注其義."A CC is a [word] developed or developing into [another] sound and interpreted for the use of another character [word], like the characters 其, 無, 少, 長....; a chia chieh is a character which along with the sound, borrows it for another meaning. The CC develops a [new] sound, and interprets its meaning." The examples show that Chang Yu thought of CC as a variant reading or tone difference of one character for a different meaning (長 'chang, ch'ang: grow, old', etc.) This, however, cannot be applied to the classical SW example 考老.

In Ming time, Chao Ku-tse 趙古則 (SWKL, Intr. p. 156) repeats the same text of Chang Yu and applies it exclusively to various tones and readings, e.g. 惡 'to hate, bad', etc. His examples fail to agree with *k'ao-lao* of *SW*, and though the *SW* example, as will be shown, is one of etymological relationship between cognates, and therefore has a certain sound similarity, this alone does not account for the *CC* of *SW*. Also Yang Shen 楊慎 (SWKL, Intr. p. 195) mentions various characters which he explains as *CC* because they possess more than one reading or different tones. This theory was followed by Ku Yen-wu 顧炎武 T. Ning-jen 寧人, H. T'ing-lin 亭林, Chiang-shan-yung 蔣山傭 (1613–1682) (SWKL, Intr. p. 196).

# 4. Chuan chu, a semantic principle.

From the discussion of the above mentioned theories, it appears that *CC* does not play any part in the graphic structure of the graphs, but that the formal significance of the *CC* must be some aspect connected with the meaning of the words represented. Here again there are different theories and opinions.

#### 1) General formulations.

There are many authors who have given general formulas stressing the semantic aspect in the *CC* as its formal significant factor, yet, for some reason or other, did not develop this idea into a complete theory which would explain all the implications. Mao Huang 毛晃 (Sung) says (SWKL, Intr. p. 128) 轉注 謂一字數義展轉注釋而後可通也 "The *CC* is said to be a case, where several meanings of one character evolve and develop, are derived and explained

[from it] and can then be used for each other". This is correct, if these several meanings are represented by different characters. The same formula is given by Chang wei 張位 (Ming). Hsü Shao-cheng 徐紹楨 T. Ku-ch'ing 固卿 writes (SWKL, Intr. p. 140 sq) 叉於形事意聲之外別制轉注假借以通其變. 轉注者, 因其意而輾轉訓釋此字. 求之此字最始之義, 則無不相因而生, 所謂建類一首同意相受 也. 假借者, 因其聲而假借以爲他字. 求之此字本有之義, 不必相因而生, 所謂本無其 字依聲託事也......制字則轉注假借無非其事意聲之文......古人制字之初, 旣一義 轉爲數義,一字假借爲數字,則字雖少而自可應用不窮. 其後孳乳寢多,又取所轉之義 所假之事,別制一字以當之,因而轉注之中復有轉注. "Furthermore, besides the hsiang hsing, chih shih, Hsh and hui yi, there are specially established CC and chia chien in order to unite them in their different changes. A CC, following the meaning and developing it, interprets and defines it as this [other] character. If one investigates, this [new] character in its earliest meaning, it has nothing which is not mutually connected [with the first] and from which it originated. That is what is called 'To establish their meanings under one head, and mutually connect their meanings.' A chia chieh is a case where one following its sound borrows it for another character. If one investigates, this character's original meaning must not in any way be related to and originate from the first. That is what is said 'Originally there is no such character, and relying on the sound, one entrusts it [the word to its sound]'.....In the structure of the characters there is no CC or chia chieh which is not already a chih shih, hui yi or Hsh. "...." The ancients at the origin of the character making, as soon as from one meaning they developed several meanings, and borrowed one character for several characters [i. e. other words], though [the available] characters were few, they still could naturally apply them without end, and from then on they developed and increased in formal significance of the CC must be some aspect connected ".radmun

Kan Yü 甘雨 T. Tzǔ-k'ai 子開 (Ming) has even a shorter formula which can be explained according to the correct meaning of the *CC* 假借非本字, 轉注非本意 (SWKL, Intr. p. 1566) "*Chia chieh* are not the original characters [i.e. they are characters taken for their sound to stand for another word of identical sound]; *CC* are not the original sound [i.e. they are cognate words derived from another, with similar sound, and written by another character]."

Chin Yüeh 金鉞 writes (SWKL, Intr. p. 185) 異字同義曰轉注, 異義同字曰假借; 有轉注而數字可一義也. 有假借而一字可數義也……故轉注者於六書中觀其會通也 "......Different characters with identical [or similar] meaning are

said CC.....Different meanings [i.e. words] represented by the same character are called *chia chieh*. If one has a CC, several characters can have one meaning; if one has a *chia chieh* one character can stand for several meanings [i, e. words].....Therefore, the CC principle within the six principles of writing [has a role] which regards their reuniting [in one word stem]." Though the author uses a vague way of expressing his ideas, his formulation would not make sense in another translation.

Wang Ying-tien 王應電 T. Chao-ming 昭明 (Ming) writes 轉注者, 聲出於天, 或有餘, 或不足焉. 聲之有餘也, 一義而合爲一聲; 不能聲爲之制字也. 故以一字而轉爲數聲轉注之, 謂之轉注 "A CC is [as follows]: the sound [of a word] originates from nature, sometimes, it is amply sufficient, sometimes it has not enough. When the sound is amply sufficient [to express all shades], then there is one meaning and it is united with one sound [one word, one character]. If it cannot be so, then the sound [of the word] makes a [new] character for [the cognate meanings]. To develop and to derive it [i. e. the meaning and the new words] that is called CC." Some authors understand Wang Ying-tien's formulation as an exposition of the theory that the CC principle is limited to cases where a word has different tones for different meanings, but it can also be understood as a process by which newly derived words are represented by another character.

Many of these general formulations are short and vague, and though they can be understood in the correct way, they often lead these same authors or their followers to serious errors, because they had not sufficiently developed the significance and role of every element present in the *SW* definition.

- 2) Hsü Tu-jen 許篤仁 (SWKL, Intr. p. 209 sq.) sums up his theory saying 同一意義之文字恆有變動轉移日轉 "When characters which have a similar and related meaning, and mutually have changes and developments [in sound], this is said CC." But by his examples Hsü indicates that he considers as CC such characters which show some common ground in the semantic content, but can not be expected to have any phonetic relationsip. Thus he takes the characters 皇,帝,王,君 or 晒,喜,欣,歡,悅 as CC. Though he knew that CC must be semantically related, his theory remains too vague and fails to take into account the other factors necessary for a CC in SW.
- 3) Hsün-ku (訓詁) theory. This theory has been followed and defended by the most outstanding Ch'ing scholars like Tai Chen 戴震, Tuan Yü-ts'ai

段玉裁, Wang Nien-sun 王念孫, Ch'ien Ta-hsin 錢大昕, Kui Fu 桂馥, Wang Yün 王筠 and others. The name of the theory is taken from the summary formula of Tuan Yü-ts'ai 轉注卽訓詁. 字反覆相訓爲轉注. 數字合爲一訓亦轉注 "CC that is the same as hsün-ku. Characters which repeatedly and mutually define each other make a CC. When several characters unite into one definition, that too is a CC." This theory is based on the study of the SW example k'ao lao and the philological studies on phonetic groups and rhyme classes of characters which these scholars applied in various philological problems in their commentaries on the classical texts. The hsün ku theory has been widely followed, but not always with the same extension, sometimes with serious limitations and modifications. However, it was foreshadowed before already by others who explained the terms involved in the SW definition. Thus for instance, the Sung commentator Hsü Ch'ieh 徐鍇 T. Ch'u-chin 楚金 (920-974) writes (SWKL, Intr. p. 121) 轉注者, 建類一首, 同意相受; 謂老之 別名有誉,有耋,有耇,有耇,又孝子養老是也.此等字皆以老爲首,而取類於老;則從 老轉注之. 言若水之出源分岐別派為江漢, 各受其名而同於一水也. "The definition of CC [saying] 'Establishing a category [of similar graphs] under one head, by their identical meaning they are mutually related', means that, among the synonyms of lao 老 there is 誊, 耋, 耇, 耇 etc; further such is also 孝 [defined as] 'the son feeds his old [parents]'. All these characters take 老 as their head [of the division in SW] and take their semantic grouping from 老 and consequently are [made up] from 老. CC is said to be like the source of the water which divides and splits up into separate branches to form rivers like Chiang or Han; each receives its own name, but originally they are alike in the one water [they have]."

This explanation of Shu is in nothing different from that of Chiang Sheng 江聲 T. Shu-yün 叔雲, H. Liang-t'ing 艮庭 (1721–1799), whose theory is summed up as follows (SWKL, Intr. p. 204 b) 轉注如挹彼注茲之注. 老字以為部首. 所謂建類一首, 考與老同意. 故受老字而從老省. 考字之外如耆耋壽耇之類, 凡與老同意者, 皆從老省而屬老. 是取一字之意以槩數字. 所謂同意相受. 叔重但言考者, 舉一以例其餘爾. 由此推之, 則說文解字一書凡五百四十部, 其始一終亥五百四十部之首, 所謂一首也. "CC [as a term] is like the pouring out [i. e. deriving a word]: to draw water from that and pour it into this. One sets up the character 老 as the head of the division. This is called 'setting up characters of similar meaning under one head'. K'ao has the same meaning as lao and therefore is connected with lao and among the characters derived from a shortened form of lao,

besides k'ao, there are characters like  ${}^*$  者,  ${}^*$  表,  ${}^*$  名. All characters that have the same meaning as lao, are derived from a shortened form of lao and depend from it. This is to take the meaning of one character to unite and generalize the meanings of several characters. This is what is called 'their identical meanings are mutually related'. That Shu-chung (Hsü Shen) only speaks of  ${}^*$  is that he sets up only one character to illustrate all the remaining cases; extending this [from this example], then each of the 540 divisions, from — till  ${}^*$  in the whole Shuo-wen chieh- $tz\tilde{u}$  is what is called one division [like  ${}^*$ ]."

Chiang Sheng sets no limits in the application of the CC principle, so that all characters listed in SW with the same or similar definition in the division are CC, even when they do not all fulfill the condition of the mutual definition. The latter criticism was clearly made by Yeh Teh-hui 葉德輝 T. Huan-pin 煥彬 H. Chih-shan 直山  $(1884-1927)^{(7)}$ .

The explanation proposed by Tai Chen 戴震 T. Shen-hsiu 慎修 H. Tungyüan 東原, Kao-ch'i 杲谿 (1724-1777) starts from the example in the SW definition, which he compares with the definitions in Erh ya, chapters Shih hsün and shih ku (SWKL, Intr. p. 123 b) 轉注相爲注,猶互相訓. 老注考. 考注 老. 爾雅釋詰有多至四十字爲一義者卽轉注之法. 故一字具數字之用曰叚借. 數字共一字用曰轉注. "The CC characters act mutually as explanations and are like mutual definitions. Lao is explained as k'ao, k'ao is explained as lao. Erh ya (Chap. Shih ku, no. 3) lists as many as 40 characters with one meaning; this is the method of CC. Therefore the use of one character prepared for several charactes [i.e. words] is called chia chieh; the use of several characters united in one character [i.e. one meaning, in one division of SW] is called CC. "......(SWKL, Intr. p. 113 b) 轉注者,其義可以轉相注釋,如爾雅釋詁釋訓等初哉首基之類皆始也,而始亦可訓初. 許云轉注考老是也. 老部老字注云考也. 考字亦注云老也. 則知始也者建類一首也,初哉等皆同意相受也. "CC: their

<sup>(7)</sup> In the biographical note on Chiang Sheng by Hiromu Momose (A. W. Hummel, Eminent Chinese of the Ching Period, 1644-1912, Washington, vol. I, p. 140) credit is given to Chiang Sheng for his important contributions in the solution of the problem of the CC, and for having passed his notes to Tuan Yü-ts'ai. However, Tai Chen has proposed this theory as early as Chiang. It seems that only Wang Yün has stated all the various conditions and limitations of the CC definition in SW in the clearest possible way. Hiromu Momose bases his statements on Li Ching-kao 黎經譜, Hsù-hsùeh k'ao 許學考 (1927), 15/33a, 40a, 17/8 b; Hashimoto Naribuni 橋本成文, 清朝尚書學 in Kambun Kōza 漢文講座, vol. v, 1933 and Takata Hirotada 高田周忠, 轉注考證 in Kangaku 漢學, vol. I, nos 1-7. I have not been able to consult the latter two works; curiously enough, Takata does not quote Chiang Sheng in the Introductory Volumes to his Kochūhen (Tokyo 1919), but follows the theory of Huang Yi-chou 黃以周 (cf. infra, p. 160).

meanings: can be turned and explain each other like in *Erh ya*, chapters *Shih ku* and *Shih hsün*, where [*Erh ya*, I, 1, no. 1] *ch'u*, *tsai*, *shou*, *chi* and other words of this semantic group all mean *shih*, and *shih* too can be explained as *ch'u*. Hsü says *CC* are [cases like] *lao* and *k'ao*. The character *lao* in division *lao* is explained *k'ao*, and the character *k'ao* is also explained *lao*; then we know that the definition 始 [in *Erh ya*] plays the role [expressed in the formula] 'to set up the words under one head', and *ch'u*, *tsai* etc. are all mutually related by their identical meaning."

Tuan Yü-ts'ai 段玉裁 T. Jo-ying 若膺 H. Mou-t'ang 懋堂 (1735-1815) has not changed this theory in its essentials. He says (SWKL, Intr. p. 128) 建類一首, 謂分 立其誼之類而一其首,如爾雅釋詁第一條始是也. 同意相受, 謂無慮諸字, 意恉略同, 誼 可互受相灌注而歸于一首. 始初哉首基肇祖元始俶落權與其于誼或近或遠, 皆可互相 訓釋而同謂之始是也. 猶考老者, 其顯明親切者也. 轉注中可包叚借, 必二之者, 分別其 用也. 旣叚借而後與叚誼之字相轉注. 未叚借則與本誼之字相轉注也. "'Chien lei yi shou' [definition in SW] means to divide and set up the categories of meanings and unite them under one head, like in Erh ya, chapters Shih ku, first entry, defined shih. 'T'ung yi hsiang shou' [of the SW definition] means that no matter the meaning of all the characters, they have a general common idea, and can be mutually connected and, poured out, they return back under one head, like ch'u, tsai. shou, chi, chao, tsu, yüan, shih, shu, lo, ch'üan yü. These characters, whether in their particular meaning near or distant, can all mutually define each other and can equally be said to mean shih. It is like k'ao and lao, which make a most clear and closely fitting example. Among the CC one can also include the chia chieh, but it is necessary to separate the use of both principles. After a chia chieh is made, then later the character of the borrowed meaning is mutually reversed and explained [by the first word]. When it was not made as chia chieh, then it is reversed and explained as CC with the character of the original meaning."

Wang Yün 王筠 T. Kuan-shan 貫山 H. Lu-yu 篆友 (1784–1854) says (SWKL, Intr. p. 128, b) 建類者建立也. 類猶人之族類也, 如老部中字皆老之類. 故立老字為首. 是曰一首. 老中字皆以老為誼而非相受若老者考也……是知以老注考, 以考注老, 其意相成, 故轉相為注, 遂為轉注之律令矣 "In the expression 'chien lei' chien is li 立 'to establish', and lei is like lei of 'human races'. Like in the division lao all characters are of the [semantic] class of lao, therefore one sets up the character lao as the head: this is called 'one head'. The characters in the division lao, all make up their meaning from lao, but do not all fulfill the

condition of mutual connection like [in the formula] 'lao is k'ao',.....Then, knowing that lao is explained by k'ao and k'ao by lao, the meaning is mutually fixed and completed; therefore reversing the characters back to each other and [making them] mutually serve as definition, this consequently is the rule of the CC."

Several criticisms were expressed against this hsün ku theory. a) One is that the hsün ku practice found in Erh ya is falsely identified with the meaning of CC. Though the defenders of the hsün ku theory did not have misconceptions about the true meaning of the term CC, and knew that practically it was meant for words which were used to define each other in SW within the frame of one and the same division of SW, they were led through this comparison with the Erh ya definitions to extend the application of the CC beyond the limits of the SW definitions. The SW dictionary never connects such a number of similarly defined words like Erh ya, unless one goes as far as to consider as CC all words of the same division that happen to be defined in the same way; strictly speaking the CC in SW refer only and exclusively to word pairs that mutually define each other. This is clearly brought out by Wang Yün.

b) It has been shown that among the words listed in the *Erh ya*, many do not originally have the intended meaning; they often have this meaning only through *chia chieh* usage, or through semantic extension and connotations, which exceed the basic meaning of the word.

These criticims were made by many authors who rejected the hsün ku theory entirely, in spite of its positive points and in spite of the fact that the comparison of CC with the hsün ku process is misleading because of the name only. Moreover, these critics were not able to substitute a better theory; on the contrary, because of some exaggerated application of the theory, they rejected also the important factor that the CC are indicated by characters which are used as mutual definitions of each other as they appear in SW only and not in Erh ya or any other source. The critics of this theory fell into the other extreme. A case is the theory of Chu Chün-sheng.

4) Theory of Chu Chün-sheng. According to Chu Chün-sheng 朱駿聲 T. Feng-ch'i 豐芑, H. Yün-ch'ien 允倩 H. Shih-yin 石隱 (1788–1858) the condition of the SW definition, expressed by the words 't'ung yi hsiang shou', is only fulfilled in the divisions of SW like 老,履,疒,廖, but not in divisions like 木, which includes words for plants and wooden objects of

such wide variety that they cannot be related in any way, or in divisions like k, which lists names of places and things concerning water, or k which has words for sun and stars but also concerning time, etc. Though all these characters are under the same heading of divisions, there is no common meaning in them. It is clear that Chu Chün-sheng attacks here a k with theory of widest application and extension where almost all words under the same division would have to be forced under one basic meaning, disregarding entirely the limitation imposed by the k definition itself that the k are really word pairs that mutually define each other.

Originally, according to Chu Chün-sheng, a Hsh or a CC are really not different (SWKL, Intr. p. 197) 轉注者, 體不改造, 引意相受, 令長是也. 叚借者, 本無 其意, 依聲託字, 朋來是也. "CC is like 令 and 長 where without change in the graphic form of the character, [one word] stretches its meaning towards another meaning and relates them together. Chia chieh is like 朋 and 來, which do not originally have this [intended] meaning [viz. 'friend, to come'], but relying on the sound they entrust [the word to these characters]." Chu further explains his ideas (SWKL, Intr. p. 129) 凡一意之貫注, 因其可通而通之爲 轉注;一聲之近似,非其所有而有之爲叚借. 就本字本訓而因以展轉引申爲訓者曰轉注. 無展轉引申而別有本字本訓可指名曰叚借 "Whenever connections and derivations in meaning are interchanged and identified with each other, because they can be used for each other, it is a CC case. Whenever in a close similarity of sound there is no such character [for the intended meaning] but one takes this same character, it is a chia chieh. Cases where, holding to the original character and the original definition, one follows the extension [of meaning] through development of content and uses [a character] for another meaning are called CC. When there is not extension [of meaning through semantic development], but one specially takes an original character with its own meaning that can stan dfor the [intended] word, then it is called a chia chieh."

Chu therefore concludes 轉注無他字而卽在本字,故轉注居叚借之前. 叚借有本字而偶用別字,故叚借附六書之末. "In the CC there is no other [second] character but it is residing in the original [one] character itself; therefore CC is placed before the chia chieh [in the sequence of the six principles]. The chia chieh [means] there is an original character but accidentally one uses [it for] another character. Therefore, chia chieh is listed as the last of the six principles."

Chu does not only consider the definition of CC in SW as definitely wrong, but he prefers another sequence. He has no proof to reject the SW

definition and to substitute his own. Though there are semantic shifts and changes in the meaning of words without therefore having new characters invented for every new shade of meaning, yet such cases are not the CC meant in SW. While in the examples of the other principles of writing, SW always cites wo examples (日 and 月, 上 and 下, 武 and 信, 江 and 河) of which each separately illustrates the meaning of the principle, there is only one example for the CC; the two characters 考 and 老 are meant as a word pair. Chu had also to change the definition of *chia chieh* in SW which he limited to some particular cases. In SWKL, (Intr. p. 122 b) he lists examples of the various kinds of *hsiang hsing*, *chih shih*, *hui ki* and *hsing sheng*; it is striking that he could only list a small number of examples that supported his theory of the CC. He has not been followed by any other scholars; Tseng kuo-fan 曾國蕃 (SWKL, Intr. p. 216) and Huang Shih-san 黃世三 (SWKL, Intr. p. 198) both wrote a short note to refute his theory.

### II. THE CHUAN CHU EXAMPLE OF SHUO WEN

## 1. Definition and terminology.

From the previous review of theories, it appears that all Chinese scholars started from the SW definition as it stands; only Chu Chün-sheng boldly construed a different one. The variety of opinions must partly be explained by the fact that often in their interpretation these scholars failed to consider all the elements entering in the definition, or stressed any particular aspect at the expense of others, or finally misunderstood the true nature of the example k ao lao of SW.

It is necessary now to gather all the positive and correct aspects brought out by the earlier studies, and to check them again with the definition. The SW text says 轉注者,建類一首,同意相受,考老是也. "What is called CC [i. e. development and derivation] is like [for] the characters k'ao and lao: one sets up [various graphs of similar semantic] categories under one head, which by their identical etymology are mutually related." This definition is composed of a set of terms which are often taken as 'suppose's connus' by many authors. Some of those terms are touched upon only accidentally or incompletely. One scholar, Hsü Tu-jen 許篤仁 (SWKL, Intr. p. 209) has paid special attention to the meaning of each one of the terms in this definition.

A. The name *chuan chu* itself demands an explanation. This term does not seem to be known in any other context but in descriptions of water

courses. Thus *Shui-ching chu* 江水翼縣轉注. 故有東渡西渡焉 "The water of the [P'u-yang 浦陽] Chiang goes wing-like around [Yen 剡] hsien, turns around and flows away. Therefore, there is an eastern and a western ferry crossing." In the *Lien-hua lou ming* 蓮花漏銘 'Inscription of the lotus water clock' by Hsia Sung 夏竦 (Sung 984-1050) we read 玉虬吐水, 分灌兩壺; 金龍轉注, 下激衡渠. "The jade dragon spouts out water which divides and pours into two vases; the golden dragon makes it turn and flow away, it goes down in a torrent into a cross wise running drain."

In the definition of SW the same expression descriptive of diverted, turned off streams of water, is used figuratively and in analogy for some graphic, phonetic or semantic process of word or graph derivation. However, that this term does not mean a process of graphic change by 'inverting or deflecting' of graphs has been shown already; it can be added here, that though such changes are observed and appear to be one of the processes for the formation of new graphs, often mentioned in SW, they are not described by the term' 轉 'to turn around, roll over on the side' but by the term tao 倒'to turn upside down' or by the term fan 〔 'to turn to the other side, turn away'. Some authors in the text quoted above explain CC by the expression 輾轉 (Hsü Shao-cheng, p. 142) or also 展轉 (Mao Huang, p. 141) 'to unroll and revolve, to unfold, to roll out, extend'. These explantions do not necessarily imply the inverting of deflecting of graphs, but may express as well the developing and extending of meanings.

CC then expresses a relation of a pair or sometimes a chain of words represented by distinct graphs, not a relation between the graphs or a process of graphic structure itself. This relation of the words of the CC pair or chain is phonetic and semantic at the same time or in one word etymological. Some authors in their criticism of the  $hs\ddot{u}n\ ku$  theory objected that it did not take the term CC in its true sense, but as simply meaning 註釋 'comment and explain'. But as a matter of fact, the text of Tuan Yü-ts'ai quoted above shows by its expression 灌注 'to pour out' that they understood the term CC correctly. At the same time they knew that this etymological relation was marked in SW by a practical device consisting in the mutual definition of at least two graphs listed in the same division. The comparison with the  $hs\ddot{u}n$  hu points simply to that fact, and means that in the same way as many  $hs\ddot{u}n\ hu$  identifications in  $Erh\ ya$  or elsewhere refer to cognate words, so the  $CC\ always$  indicates cognates in SW.

- B. 'Chien lei yi shou' has been well explained for instance by Wang Yün's text quoted above. The condition expressed here requires that the members of the CC pair must have a semantic relationship which is more than merely syonymous. It is a relationship shown already partly in both of the CC characters by the their graphic structure, since they must belong graphically to the same division of SW. They have some graphic element which expresses a common ground in the semantic content. But besides the mutual definition and the close phonetic similarity of the words, the semantic relationship must be so close as to permit a common graphic structure of the characters. Though, as will be pointed out later, this graphic element, by which the CC characters fall into the same SW division, is a condition that could easily be fulfilled or remediated if not already fulfilled in the commonly accepted graphs, nevertheless it is a condition, considered essential, as it is clearly expressed in the SW definition of the CC; it is probably intended to point out that among the various cognates, the CC represent specially close cognates, precisely because besides the phonetic and semantic connection, even the graphic representation or conception of the words, showed a close relationship within the CC pair.
- C. 'T'ung yi hsiang shou'. In his explanation of this part of the definition Hsü Tu-jen (SWKL, Intr. p. 210 a) defines 受 by 繼 and 繼續 'to continue, connect'. In its ordinary and most frequent usage 受 means 'to receive'. Hsü takes his example from the Yi ching, Hsü kua (序卦) where it is repeatedly used (cfr. Harvard Yenching's Concordance to Yi Ching, p. 77-8) to express the mutual connection and sequence between the various hexagrams. Probably the meaning 'to receive' has been extended to mean 'to receive' in the sense of 'to take over, to continue', and in the CC definition in SW it indicates that the meaning of one of the two members of the CC pair is a natural 'continuation or extension', a semantic 'development' of the other. T'ung vi however cannot be understood simply as 'same meaning' i.e. mere synonyms as was maintaimed for some cases by Hsü Tu-jen himself (cfr. supra p. 143) but rather 'the same etymon'. example k'ao lao indicates more than mere synonymity, but also a close phonetic similarity which can be reduced to a common word stem, as will be shown in the following pages. Yet the definition would not have expressed this essential factor of the CC notion clearly apparent through the study of the example k'ao lao, unless the term t'ung yi is really meant for 'same etymon'.

The term 'T'ung yi' leaves one peculiar difficulty to solve. This same term is used in SW in some of the explanatory texts of the graphs, and here clearly refers to some graphic aspect of the graphs. Thus it is said that 巫與工同意: "巫 is 't'ung yi' with 工", and many other examples can be cited; e.g. 譜 and 美,爾 and 爽,皿 and 豆,朵 and 采,苹 and 牟,官 and 魳,午 and 矢,etc. What is meant here is that the original graph of these pairs, e.g. 爾 and 爽, have something in common, probably not only graphically but also semantically, as in 苹 and 牟,where the top element is meant to represent the 'air' and consequently to represent the 'sound' made by the animals (羊 and 牛). The stress here is on the common graphic element with a general indication to some similar semantic role in the graphic structure, but it does not point to a common etymon, as it is implied in the definition of CC. The term 't'ung yi' is consequently applied to a different level (graphic structure as against semantic connection based on phonetic similarity) and refers to two essentially different notions.

In conclusion, the term CC is a figurative use of an expression meaning 'to turn off and flow away, to turn off and pour out' for the process of 'development [of meanings] and derivations [of words]', that are supposed to be etymologically related, and represented by graphs that have some graphical element in common so as to be listed within the limits of the same division of SW and explained by a mutual definition.

# 2. The example of Shuo wen, k'ao lao 考老.

The text in SWKL 3758 says 老, 考也; 七十曰老. 从人毛匕,言須髮變白也. 凡 老之屬皆从老 "Lao is k'ao; at the age of 70, one is said lao (old). From 人 man, 毛 hair and 匕. It means that beard and hair turn white. All words ranged under 老 are [graphically] derived from 老." The Small Seal graph shows that the top element is the same as that of 毛; the other elements below 毛 are explained as 人 and 匕. Tuan Yü-ts'ai notes that the analysis was originally 从毛匕 (read as 化 'to change') and that the graph for long hair (長毛) does not contain the element 人. As a matter of fact the early graphs for 長 show a man standing with flowing hair (戶) similar to 老 without the element 匕; the two legs were later taken as 人. The original SW text may have been changed, and it probably said 从(人) 匕毛...."From [人 man] 七 changes his hair. It means to say that beard and hair of the head turn white. The earliest bone and bronze graphs (Takata, Kochūhen 古籀篇, 33/6. Sun Hai-po 孫海波 Chia-ku wen-pien 甲骨文編, Chu Fang-P'u

朱芳圃 *Chia-ku hsüeh* 甲骨學 8/5 b) are pictographs of a man with flowing hair (犬) leaning on a stick. The flowing hair is meant as an 'endomorphic phonetic', and down to SW time, the element  $\Xi$  may have kept this role as phonetic; the SW text 上王 could also be taken as a case of  $\Xi$  'suppressed phonetic'.  $\Xi$  can be reconstructed' \* mog < / \* gmog > mog > mog (KYSH)

(8) The reconstructions proposed in this article for various readings of characters and the pronunciations of words are indicated by the following signs. No sign precedes the Ancient Chinese reconstructions in which the values given by Kargren's GS and Shen Chien-shih's KYSH are followed. Asterisc \* precedes the Archaic Chinese values when following the GS reconstructions; /\* indicates any reconstruction, different from those of GS, and based directly on the Hsh connections of the phonetic series, established according to the SW graph analysis, SW tu-jo (武治) notations, the SM equations and other paronomastic definitions of Han time sources. Often in the reconstructions marked by /\*, phonetic elements are put in brackets e.g. /\* (b)lôg, to show that, though a full Hsh value requires b-, it is nevertheless possible that in given situations, for certain variations of the words, more simplified and further developed forms are equally possible. The full Hsh value is also marked sometimes \*\sum\_\*\*. For the reconstructions based on the SM equations and definitions, reference is often made to a more detailed study on SM, published in Asia Major, A British Journal of Far Eastern Studies, New Series, vol. VI, part 2. Notes on the Study of Shih Ming. Marginalia to N.C. Bodman's A Linguistic Study of the Shih Ming (Abbreviated here Notes SM), where the words of the SM equations are studied from the point of view of chains of direct sound equations and contacts of Hsh series, to which each of these characters belong. The sign \*\* marks the reconstructions made on the strength of binoms and binomial sound descriptions; '\*/ stands for all reconstructions which are not based on Hsh connections or SM and Tu-jo notations, but merely on dialect correspondences and supposed etymological relations between words.

The reconstruction methods resulting in the forms marked /\*, /\*, \*, \*, \*, \*, are explained in detail in my forthcoming work, The Chinese Dialects of Han Time according to Fang yen. University of California Press, Berkeley. In Notes SM, I returned to some key points of this theory. An important departure from the accepted theory is that the period called Archaic Chinese does not refer to the language of the Chou period. Though it is true that the finals and the vocalism of the Archaic chinese reconstructed forms are based on the rhymes of the Shih ching Odes, there is no proof that the final uniformization of the Odes into one rhyme system and the definite editing of the accepted Shih ching text goes back to the period of the Chou. In terms of reconstructions, the Shih ching informs us only on the finals, not on the initials. Here everything is furnished by the SW graph analysis and other phonetic descriptions used in SW. The graph analysis in SW, logically applied and followed, can be checked and completed by the tu-jo, the sound phrases, the analyses of variant graphs, as well as by dialect words, and the correspondences between binoms and monosyllabic forms. This results in a picture of a linguistic period, called here Archaic Chinese, which in terms of absolute time, is much later than Karlgren's Archaic Chinese, and which in terms of reconstructed forms shows a far more archaic structure than those in GS. The consequent elaboration of the initials and finals, according to the Hsh connections indicated by the graph analyses in SW, results in various Archaic readings with often rich consonantal clusters, The sequence of the constituent elements entering in the cluster should not be a priori considered the same in every word of the same Hsh. Nor can one expect that every word at a given period should have developed with the same tempo, so as to obtain mechanically the same phonetic pattern as the other words. Often in the comparison of words, correspondences of cognates and dialect forms, homonymi

which decreases with the signs /\*, #\*, /\*, /\*.

As a whole the SW graph analysis represents a contemporary synchronic analysis of words and graphs. They reflect a reading which is that of the standard language of the time of Hsü Shen (1st cent, A. D.); the graphs are the uniform accepted script of Han time, the final stage of a uniformization started by Li Ssu (221 B. C.). The dialect words, known through the FY vocabulary and other sources of Han time contain archaizing but also new word formations, popular etymologies but also learned semanticizations. The more archaizing forms probably lead us back to the early Han and late Chou language; the reconstructions deduced solely from the comparison of these dialect correspondences can be taken as pre-SW in general, often late Chou, yet the period can not be fixed exactly. In most cases the SW graph analyses and sound descriptions must be explained by reconstructions that show a more archaic structure than those of GS, yet must be ascribed to a time much later than the said Chou period. The SM system points to a period of Late Han; the GS forms occupy an intermediary pesition between the SW and the SM forms.

no. 349, p. 421; Notes SM, chain xi); as a phonetic in 老 it was probably /\*'tmog, and 老 was /\* bilog, Some bone and bronze graphs have the top element 'hair' substituted by a man's head with a hat (云); this change too may be meant to indicate the reading, since 日,冒 and 帽 was \*  $mlog < /* gmlog \sim mlog \sim mlog$ , and 冒 is related to 兜鍪 \* tu-milog < #\* tmilog. The phonetic role of 日 and 毛 in 老 implies an alternation in the sequence of the initial cluster 'tm- $\sim bil$ -. Yet in SM 老 is equated with flow fix = silog fix =

The other word of the CC pair is  $\frac{2}{3}*k'\hat{o}g$ . Not only the CC pairing itself, but the fact that the example is put in the sequence 考老 (and not 老 考) seems to indicate that its sound was \*  $k'\hat{o}g-l\hat{o}g < \#*k'l\hat{o}g > k'l\hat{o}g > k'\hat{o}g$ . However, 考 does not immediately follow 老, as one should expect, since this is done with the majority of the other CC pairs; it is separated from 老 by a series of words that also mean 'old', and may be cognates but not CC words in sensu stricto. The reason for this sequence is probably that 考 is also closely related with the next word under discussion in SW,  $\approx x \delta g$ defined 善事父母者 "What is (known as) to serve his parents" i.e. filial piety; it is analysed as a hui yi 从老省从子. 子承老也 "From shortened 老 and 子; 子 [means] 'the son receives the older [parent]'." To this, the text of the SW hsi-chuan 說文緊傳 by Hsü Ch'ieh 徐鍇 adds 老省亦聲 "老 is also phonetic". Though rejected by Tuan Yü-ts'ai as a later addition, this probably is a true analysis, for the combined parts in a hui yi often indicate an etymological relationship, and the explanation concerning 子 in 孝 shows that  $\neq$  is taken as the semantic part in the character; hence \*  $x \delta g < /* lx \delta g$ . Chu Chün-sheng lists a good number of paronomastic definitions of this character, from which it will be enough to quote Li chi (Chi t'ung, Couvreur II, 319) and Hsiao ching (Shou shen ch'i) 孝 \* xŏg—畜 \* xįôk $\sim$ -g</\*dx-, \* î'iôg~-k</\* tŕ-; 孝 was therefore /\* dxŏg. Kuan-tzǔ (Hsing shih) has 孝一高, and SM 27/24 has 孝一成 and 槁, which is explained by the phrase 稿於義爲成,凡五材膠漆陶治皮革乾稿乃成也. "稿 \* k'og < / \* tkog 'dried' as to its meaning is [the same as] 成 \* diěng </\* dfěng (cfr. infra, p. 155) 'completed, perfected'. All things made of the five materials, glue and varnish, earthenware and cast metal, skin and hides, when they are dry (乾稿 /\* dkânthog~dkândhog) then they are 成 'finished'."

The other characters 着, 耋, 卷, 耋, 耇, 恚, though strictly speaking not CC words, still show some relationship to the words k'ao and lao. 耋: 年八十日耋, 从老省从至"When one is 80 years of age he is called 耋; from 老 shortened and 至." Some editions take 至 as phonetic, which is completely in agreement with the reading \* d'iet. The bone graph of 耋 (Takata, Kochūhen 33/6 b) shows the same graphic representations as 老, except that instead of the stick to lean on, there is the character 至 or 矢 (鲜), which is very probably meant as a phonetic indication. In  $SM \stackrel{\text{def}}{=}$  is equated with # /\* d'iet </\* driet, \* t'iet >/\* triet, ~-k (Notes SM, chain 1). word is certainly cognate with 老, and comparable to its special reading /\*  $t\hat{r}\partial d$ , \*  $di\partial r < /* d\hat{r}\partial d$ ; all these forms may go back to an initials bdg- bdr-, btr-. (KYSH no 386, p. 467 sq.).一参 is defined 老人行, 才相逮. 从老省, 从易省. "When an old man walks [his feet] hardly move (can reach each other). From 老 shortened and 易 shortened; [易] is the pictograph of the walk (of the lizard 蝎, according to some commentators). It is read like  $rac{}{}$   $rac{}{}$   $rac{}{}$  iu < /\*dfug~\* diĕk </\* dfĕk (易).一考 is defined 老人面凍染若垢 "An old man, whose face is frozen and black, as if [covered with] dust." This definition is found with minor changes in Erh va and SM, and indicates a paronomastic definition of 耉 by means of 垢 \* ku < /\* dkug (Notes SM, chain iv). It is possible that this character 考 is nothing but a new substitute for the bone and bronze graphs which instead of the long hair of the graph 老, have the element 古 'old' \* ko </\* dkog (急) (Takata, Kochūhen 33/6).一臺 means 年九 十日臺. 从老从蒿省"When someone is 90 years of age, he is said 臺 \* môg; from 老 and 蒿 shortened". Some editions (based on a quotation from Yiwen lei-chü 藝文類聚) write 蒿省聲. Since 蒿 is \* xog, this is very plausible, and  $\overline{g}$  is then the same word as  $\frac{1}{2} * mog < / * gmog \sim mgog \sim$ (Notes SM, chain xi).—Finally, there is 壽 already mentioned above, and 者, 老人面如點也 "An old man whose face seems covered with spots." \* tiem </\* triemb < tnf-(KYSH no 606, p. 716); it is obvious that 點 in the definition suggests this reading. The SW adds 讀若耿介之耿; various explanations have been proposed, among which that of Hsü Hao 徐灏 still seems preferable: 耿 is read like 簡, \* kěng~\* kǎn<sup>(9)</sup>; /\* dfiom~/\*/ dfiěn can be compared to 耋 /\* driet and 成 /\* dreng.

<sup>(9)</sup> Hsü Hao 徐灏 T. Tzu-yüan 子遠, H. Ling-chou shan-jen 靈洲山人 in his Shuo-wen chieh-tzik Tuan-chu chien 說文解子段注箋 (SWKL 3763) cites the San-kuo chih 三國志, Shu chih 蜀志 chap. 38, Biography of Chien Yung 簡雜傳 (K'ai ming edition p. 1018/2) whose family name was really Keng 耿, and pronounced chien 簡 by the people of Yu chou 幽州, according to P'ei Sung-chih 裴松之 T. Shih-chi 世期 (372-451). Lu Chih-wei 陸志韋, A Phonographical Study of the Tu-jo Notations in the Shuo wen, Yen-ching hsiteh-pao, vol. 30, 1946, p. 13-279 corrects the SW text, by emendating 縣 for 耿, but while there is a binom 耿介, I could not find any example or meaning of 縣介. Another author, Miao K'ui 苗姜 (in SW sheng-ting 說文聲訂) corrects the SW text into 耿弇之弇.

In FY 1/18, 6/55 and 10/40, we find a good number of dialect words of Han time for 'old'. They can be divided into three groups. a)  $\mathbb{R} * miod </* mfod$ ,  $\mathfrak{R} * liod </* dlfod$  (Notes SM, chain vi, note 53). The ku wen graph in SW and other bone and bronze graphs write  $\mathscr{D}$  instead of  $\mathscr{D}$ ;  $\mathscr{D} * miwot$  may indicate a reading  $/* mliod \sim b'liod$ , a reading also suggested by the chia chieh  $\mathfrak{B} /* mliod \sim b'liod$  (Hsün-tzu) (KYSH no 455, p. 544) and by the binoms  $\mathbb{R} \times mior-liod$  (in FY 11/51  $\mathbb{R} \times mliod$ ;  $\nabla * ngud$  </\* / mgud </\* / mgu

Karlgren (Glosses on the Kuo Feng Odes, gl. 374) considers 眉 of FY as a truncated form of the old binom 眉壽 \* miwar-diôg, which he explains not as a dimidiation binom but as a simple compound analyzable as 'vigorous old age'. This semanticization does not exclude that the word is in reality a dimidiation of the stem  $\# md\hat{r}\hat{o}g$ , easily comparable to  $/*/b^2l\hat{o}g \sim (b)gl\hat{o}g$ and #\* mliad. In the same way, other binoms may show clear semanticizations, but they are learned and far fetched; thus 乾都 and 乾稿 suggest 'dried out, withered', 考鮯 suggests 'old, dusty (垢) and scaly' (鮐). When we find 凍 combined with 黎 'frozen (hard) and black skin', it is a sematicization which was thought out later in order to explain an already existing binom \* tungliad < #\* tngliad (SM 10/18 and SW), a form comparable to /\* dlfad (of which b- had dropped). Other words in SM are also variations and combinations of the same word stem with an attempt to explain the meaning of sounds; e.g. 胡耈 \* g'o-ku </\* dg'og and /\* dkug 'dewlapped oldster', 黃耈 \* g'wang-ku yellow and old' (reduplicative form like 考草 'old hide'), 鮐背 \* t'og-pweg semanticized as 'scaly back', 鯢菌 \* ngieg-t'ieg 'child's teeth', a variation of \* g'ntog (乾都) or #\* kt'og (老鮐).

To conclude this chapter we can say that there is wide variety of dialect words and word formations for 考 'old', due to learned semanticizations or

<sup>(10)</sup> 义, phonetic in 艾, is analysed in SWKL 5658 as 以/以 (cf. infra III, 2, no 21); /\* p'iet, (\*p'iwət; either one of the graphic constituents points to a labial initial.

popular etymologies. They all go back to the same basic word stems, which could be reduced to one formula with variations in the initials and finals. Among them the CC pair 考  $/*kl'\hat{o}g$  and 老  $/*gl\hat{o}g$  (from  $b'l\hat{o}g \sim bgl\hat{o}g \sim b'l\hat{o}g$ ) are the most close cognates and can truly serve as a classic example of CC, in the sense of words written with the same fundamental graphical element, phonetically similar, and semantically related or identical.

## 3. Extensions of the strict acceptation of Chuan chu in Shuo wen.

Several Chinese scholars have drawn up lists of CC pairs from SW, basing themselves on the definition and the classic example k'ao lao. All these authors first list the cases which fulfill the narrowest and strictest conditions of CC definition, like 数非也 and 非芴也 etc. $^{(11)}$ 

From there Hu Wen-yü extends the CC pairs to groups of three or four characters, such as 論,議也; 議,語也; 語,論也; the three definitions form a complete circle, and they make a perfect CC chain of words. Hu further proposes CC connections between dialectal words and others. Thus 淺, 芰 也; 菱, 菱也 is a CC pair in which 菱 is a dialect word of Ch'u, connected with the dialect word of Ch'in 薢昔. He also mentions the various dialect words that are found in sources other than SW, and that can be compared with the CC pairs of SW. Very often it is clear that such words are cognates with the CC words, but their etymological relationship is merely based on the semantic and phonetic connections and not on the specific criterion of the CC principle. Hu makes a further step by including all the words which have the same definition in SW. Proceeding from the CC pair 怨: 恚, he finds that 怒 is defined 恚, and that 憝, 慢, 恨, 懟 are defined by 怨, and concludes that all form one CC chain. He does the same for many other groups. Though these characters are found in the same SW definition they do not define each other mutually. One may not forget that SW often defines several synonyms by means of one of the more common standard words like 怨 and 患, without indicating any connections of true cognates.

Chang Tu concurs with Hu Wen-yü in listing the dialect words with the other words given as *CC*. His examples may prove to be more probable and acceptable from the point of view of phonetic similarity. He cites e.g. 考, 宫 (Ch'i); 宫, 箱 (Ch'in); 梠, 檐 (Ch.i), etc. He further points out that

<sup>(11)</sup> Such lists are made e.g. by Hu Wen-yü (SWKL, Intr. 154 sq.), Yeh Te-hui (Ibidem 177), Hsü Han 許瀚 T. Yin-lin 印林 (Ibid. 199), Hu K'un (ibid. 201 sq.), Yeh Chün 葉蓉 (ibid. p. 204), Huang Yi-chou (ibid. 205), Liu Shih-p'ei 劉節培 (ibid. 207) and Hsü Tu-jen (ibid. 209).

the definition may sometimes differ by some additional words, mostly when the definition is put in the form of a phrase. E.g. 桧: 栩木薪也. 栩: 桧木未析也.

Yeh Te-hui devotes several pages to the different kinds of CC pairs. The first extension of the strict CC class is that which he terms with the words of Tuan Yü-ts'ai 隔部轉注 'CC of partitioned (distant) division'. Among the 18 examples which he cites, one can find some valid extensions, e.g. 膺, 胷也 and 匈,膺也. Though 匈 is not in the same division as 膺, it is a valid extension because of the identity of 匈 with 智. In the same way 儇 and 慧 can be reduced to a regular CC pair, by considering 儇 as identical with 懷, and 慧 identical with 譿, or 譞 with 譿. The same can be said for 餟, 祭幣也 and 醊, 餟祭也 since 餟 is entirely identical with 醊 which is defined 祭也. 醱連祭也. However, Yeh does not suggest any of these reasons for his extensions. Yeh's fourth group is really not different from the prior one; it contains cases where a regular CC pair can be discovered if one takes a shortened or augmented script variant of one of the members of the pair, or by extracting the relevant word in a sentence definition (卜, 兆米; 贅, 質). This group is also the same as that set up by Chang Tu above. In the second group Yeh lists the words which do belong to the same division in SW, but which in his opinion do not belong to the same semantic group (有一首而不建類); while giving examples which are perfect cases of CC, such as 蓨一苗, he does not explain why he doubts the correctness of the SW definitions. In his third group, he brings together a special category of words which was discussed in this article, those where the t'ung yi formula is applied in the graphic analysis of the characters, such as 巫 and  $\bot$ , etc. In spite of the identity of the term with that in the SW definition of CC, these cases cannot be taken as true CC pairs. Yeh also sets up CC words on the strength of dialect words, but he rightly limits himself to those dialect words that are mentioned in SW only. His last group is concerned again with CC, which can be set up by taking a third member or a chia chieh as a bridge between the two CC words. Thus 分 and 别; 剝 and 割(割; 剝也;剝,裂也.彔:刻本也);逾: 越進也;越,踰也.踰;越也.蹇 and 跛(彼, 蹇也); 但(袒) and 裼. Some of these cases seem particularly interesting, for some CC words do not belong to the same division only because they have been set up as independent divisions, with their own graphic derivates; they really are subdivisions of another division, usually preceding, each group

having its own derivates. Thus 逮 and  $\mathcal{D}$ ,  $\mathcal{K}$  and 炮. However, one needs to use great caution not to stretch this principle of extending the CC beyond the limits of a solid probability. All groups must finally be proved by means of the phonetic similarity of these words in their Han time pronunciation; this similarity must be sufficiently plausible and follow a certain regularity in phonetic derivation and correspondences, which occur also in the strict CC pairs.

Hsü Han often makes the same mistake as Hu Wen-yü by listing together the words of the same division which do not complete the circle of mutual definitions; thus he proposes as CC words 赴, 越 together with 走一趣. But his list contains also some cases of binoms where a character is defined by a binom of which one member is in turn the same as the first character. E.g. 趌, 趌趙, 怒走也. 趨, 趌趨也 but also 뿉, 遂也. 趢, 整越也.

The fact that in SW definitions, one member is defined by the binom, the other on the contrary by the other member alone, can be explained as follows. When no binom appears in the definition, it is because the reading of the defined word and the following defining member construe ipso facto the binom in case. This raises the problem of the relation between CC and binomial constructions. Among the binoms, one must make an essential distinction between reduplicative binoms and dimidiative binoms. If the binoms which we consider in the light of the possible CC belong to the reduplicative binoms, it is an easy problem. Both members will show an elementary similarity in the same way as the ordinary CC. The dimidiated binoms, being the result of a split up of initial clusters, can equally result in CC pairs, but unless the CC pair is explicable in terms of ascertained reconstruction forms, there must be another explanation. On this the Chinese authors have not offered any specific theory.

Hsü Han also mentions as true CC some characters which show a graphic derivation by means of doubling, tripling or quadrupling the same graph, e.g. 口,田,品,铝 or 屮,艸,瓣. These cases, though a priori not to be rejected as possible cognates, cannot be considered in the light of the SW definition as true CC. Hsü finally considers that some graphs though entirely different are semantically so clearly related that they may be taken as identical words, e.g. m and m, or at least in some way or other related like m and m and m and m cases of the latter sort must be set aside till more regular extensions of the CC classes have been solved.

Hu K'un 胡琨 lists twelve groups. Among them he mentions some which are in fact nothing but paronomastic definitions (天一顯; 南一任 etc.) or words which have the same definition but do not belong to the same SW division. Sometimes, in order to justify his CC pairs, he quotes definitions that are not in SW but in Erh ya or other sources. He lists as CC characters which are only cognates belonging to the same phonetic series, i.e. Hsh derivates. The same mistake is made by Yeh Chün who considers derivations such as  $\triangle-\Phi$ —家; 句一鉤一筍一拘 as CC, because they are listed in SW under the same division, yet they are nothing but Hsh derivates. Among them he also mentions  $\Xi$ —秦, a very interesting example of two cognates  $\Xi$ , 日初出東方 湯谷 'valley of the sun rise' and 秦 'the tree in the valley of the sun rise' with its corresponding binoms 榑桑 and  $\Xi$ 木. Though interesting cognates, they are not applications of the CC principle as described in the SW definition.

Huang Yi-chou 黃以周 excerpts mostly examples which show only graphic elements of comparison, such as the pairs of words with a t'ung yi formula of the kind of  $\mathbb Z$  and  $\mathbb Z$ , or chih shih groups like  $\mathbb Z$  and  $\mathbb T$ , or just inversions and deflections as  $\mathbb Z$  and  $\mathbb Z$ . Most of these cases can not be proved at all, except for some rare groups like  $\mathbb Z$  and  $\mathbb Z$ , a word pair now written by the CC pair  $\mathbb Z$   $\mathbb Z$  Huang Yi-chou is followed in his theories by Takata Tadasuke (Hirotada?) 高田周忠, in  $Koch\bar{u}hen$  古籀篇,  $Introductory\ volume$  學 古發凡,  $1\ hsia\ 44$ .

# III. THE CHUAN CHU PAIRS AND CHUAN CHU CHAINS IN SHUO WEN

# 1. Preliminary Remarks

This chapter is a review of all the cases of CC pairs and chains of words in the strict sense of the SW definition as they appear in SW, with some of the most obvious cases of extensions of the CC application beyond its narrower acceptation. If the notion of CC, as we have established it in the study before, is correct, then the CC pairs and chains of words acquire a new significance for the study of the Chinese language of Han time, as it is reflected in the SW text. Independently from what the present reconstructions of the Archaic Chinese language may offer in terms of formulas of phonetic correspondences between possible cognate words, or of formulas of phonetic variations observable between the words, the CC pairs of SW, by the very fact of their mutual definition within one and the same division of SW, provide us with close

etymologically related words. A systematic study of the CC pairs and chains in SW will therefore lead us to a better understanding and knowledge concerning the degrees of similarity and dissimilarity existing between the cognates; they will show us the most recurrent formulas and range of variations; they will also point to new clues and possible forms of reconstruction of the language of Han time.

1) The CC pairs and chains of characters in SW are found only in a rather limited number of words throughout the dictionary. The majority of the cognates are not indicated by the CC of SW; the CC occupy a special position in the groups of cognate words. As can be seen from the study of the words for 'old', there are only two true CC words in the strict sense of the definition, yet the other words in the same SW division, that are defined as 'old' can easily be recognized as cognates and variations of the same word stem; but while for the CC words the etymological relationship can be assumed on the mere external mark that they fulfill the conditions of the CC definition in SW, the other cognates can only be accepted on the assumption that there is a real phonetic, semantic and etymological relationship. The range of variations in the phonetic forms of our reconstructions is not known but by discovering first undeniable cognates, which can be established independently from any reconstruction theory; such cognates are the CC words in SW. The CC words are not the only cognate words in SW, but all the other cognates can be proved only through comparison of their reconstructed forms and their semantic connections as they can be known through literary usage and dictionary definitions. The CC words are probably the most closely related groups within possible wider families of cognates(12).

The CC of SW do not support any wider groups than two or four characters or words; all (12) wider groups of cognates need other specific evidence. Some groups have been proposed which include all words contained in one and the same division of SW. Thus according to P.A. Boodberg, HJAS vol. II, 1937, p. 369, "All the words classified under ? are thus derived from one 'etymon' or root, and \* \*piang is indeed the 'radical' of the entire group, the majority of graphs being explained as the product of the enlargement of the primitive graph by phonetic determinatives. Again, the phonetic analysis of the characters listed in the Shuo wen under 示 would indicate that all the graphs reflect but various forms of only two etymons: \*\*GwleR (with corresponding initial dental variations) and \* \* Gw LuG. Under the first we would classify: 示, 祗, 嗣, 神, 禧, 禮, 齋, 藏, 襚, 醴, 禔, 祥, 禔, 禪, 祈, 祀, 禘, 崇, 祉 (cf. also 天 and 帝). Under the second: 滁,福,福,鹬,祚,祖,祜,祝,宗,禦. Both primitive roots served essentially to convey the meaning 'sacred', 'sacrifice', 'blessing', the first seemingly associated with the semanteme 'sacrificial stand'~'altar', the second more with that rendering 'sacrificial vessel'." The detailed proof of this statement would make the subject of a study of considerable length and great interest.

- 2) It is possible that SW, being based on the linguistic feeling of the speakers and the scholars of that time, connects words in a CC pair which in reality are not etymologically related. Yet such cases are the exception. It will be seen that some words are listed under the CC class because they had become so closely associated mostly through the usage in binomial composition that they were thought off as real cognates. They are rather rare among the big majority of true cognate words; this is quite natural and understandable, for, though probably the CC pairs and chains are reflecting the linguistic feeling to the speakers, they do not include all the possible members of an etymological family of words, but only the most obvious and closely related ones.
- 3) Our knowledge of the pronunciation and our methods of reconstruction of the Han time language, and of the language of the Archaic period in general is still defective, insufficient and uncertain in many details. It is still a patchwork, consisting of 'reconstructions' of different value and degree of certainty<sup>(13)</sup>. It should not surprise us to find words that in *SW* are treated as *CC*, although the reconstructions, which our present methods and knowledge of the Archaic Chinese enable us to posit, fail to show the particular etymological relationship between those words.

On the other hand, some of the CC words are, according to the accepted reconstructions of GS, entirely identical. These CC pairs poseequally a problem, for they should be reconstructed in a way which showssome variations of the same etymon. The same sort of phonetic variations together with the semantic shades are to be expected between the various CC pairs as those found between cognates belonging to the same phonetic series. E.g. 為, 同力也 'united strength', γίορ <\* g'iap </\* g'liap~g'lap; 協, 同之和 'concordia', xipp<//>/\* xlǎp; 勰, 同思之和 'harmony of thought', γiəp<////\* g'lap, and 協, 衆之同和 'union of all', \* g'iap</\* g'lap, also written 叶, 叶 (十 \*diəp, phon.) </ri>/\*/dflap (?). As to the cases like 萊 and 莿 \* ts' i ĕ g (SWKL 317) 'thorn', 蕌 and 营 \* piuk (the second also \* piùg) 'plant with edible fruit or root' (SWKL 301), 擅 and 探\*t'am' to hold, to put the hand into and test' (SWKL 5465), it is tempting to explain e.g. 莿 as a mere variant graph of 荥 with tautological semantic element II, representing in fact the same word and the same sound. But in SW such graphs are discussed as variants under one graph, either as ku wen, (古文), chou wen (籀文), or simply huo t'i 'variant graph' (或體); the fact that

<sup>(13)</sup> This sentence is verbally quoted from J. Gonda, originally intended for the 'so-called' reconstructed Indo-European (*Lingua*, VI, 3, 1957, p. 296); *Quanto magis*....

they form a separate entry in the SW indicates that they were considered as different words with a different though similar reading.

The reconstructions proposed in this study, though based on those of GS, will in many instance show important differences. Since there are important details still unknown about the real phonetic system and reconstructions of the SW language, it is quite possible that the apparent identical reading of word pairs like 黄 and 莿 is not the real reading as the author of SW knew it, but something more archaic and more complicated. Thus, the reconstruction 擅\* t'am can be completed and pushed further to a form /\* tramb (KYSH no 851, p. 1008). 擅 is defined as 探 (SWKL 5464) but in turn 探 is not defined 擅 but 渍取也 'to take, to hold from distance'; though they are not CC pair in the strict sense, there is no doubt that the two words are cognates; yet, the Hsh connection of the character 探 gives no indications for an initial cluster. 莒 and 营 are strict CC pairs, and it is possible that the additional reading of 當 \* piuk</\* pruk, viz. \* piug</\* prug explains the separate entry as of two distinct forms. (KYSH no 410, p. 503). 荥 and 莿 too define each other, but they do not follow each other as CC pairs in SW usually do. This may be due to later editing or copying, but it may also indicate that 荥 is used in a wider, more general sense than 莿 which is listed together with 薺, 蒺棃也 and 董, 鼎董也 (two specific names for 'thorn'). FY 3/11 writes 刺 for the verbal meaning 'to prick' and 茦 for the nominal meaning 'thorn', but we find nowhere that this distinction is consistently observed in the texts. It is not impossible that SW too may have suggested such a distinction which was not generally observed in the ordinary usage. The exact reconstruction of 荥 and 莿 in the SW language may be more complicated and entirely different from the accepted form \* ts'ieg, and may then account also for the separate entry of the two words as distinct cognates(14)

4) The first cases of *CC* pairs to be treated in this list are those which show the same range of phonetic changes as established among the cognates for the Archaic Chinese period in Karlgren's *Word Families in Chinese* (BMFEA no 5,1934), i. e. groups of words the initials and finals of which belong

<sup>(14)</sup> According to some editions of SW, the graph 束 is considered phonetic in 棗 (SWKL 3045: 棗 \* tsôg 羊棗也. 从重束. SW hsi-chuan adds 束膝). 棘 \* kiok, defined 小棗叢生者, and analysed 从 並整, is probably cognate with 棗; 棘 has a Hsh derivate 僰 \* b'ok (SWKL 3623; KYSH no 109, p. 155). Chu Chün-sheng quotes Li chi, Wang chih (Couvreur I, p. 302) where 棘 is used for 僰 and read as 偪 / \* píok, pźok~bźok (Notes SM, chain x).

to the same series of articulation, while the vocalism is shifting more freely, though a certain relationship can be observed between the vocalism and the nature of the final consonants. The method of Karlgren's  $Word\ Families$  observes an extreme measure of caution which excludes all possible contacts between words with initials and finals of a different series of articulation. Yet, such a restriction is by no means certain, and it is important to try to find out whether or not such contacts existed, by studying the cognates on the basis of the CC pairs in SW. Furthermore, the  $Word\ Families$  also left out all words which in GS are reconstructed with a pure vocalic final; this is another unfortunate limitation in the usage of the word material in the study of the cognates. Moreover, our reconstructions, which logically apply the analysis of SW for each character of the CC pairs, will also allow us to bring further light on the existing alternations between various initial and final clusters.

### 2. Words with initials and finals of the same series

- 2. 銷 \*  $s_i \hat{o}g < /* bs_i \hat{o}g$  (KYSH no 554, p. 654) and 鑅 \*  $sl_i ok < /* bsl \hat{f}ok$  'to melt, to fuse' (SWKL 6252). In *Word Families*, gr. T-NG 263-294, there is a wide variety of supposed cognates which cover too big a semantic field. 'to roast, burn, melt' etc. Several of these words, like 炙, 灼, 燒 etc. belong to narrower subgroups in SW.
  - 3. 苗 \* t'iuk, \* d'iôk, \* f'iuk and 蓨 \* t'iôk 'rumex' (SWKL 302).
- 4. 癥 \* diu < /\* diug and 廖 \* t'liog < /\* (b)tflog, \* liog < /\* (b)dlfog < (b)dlfog < (b)d'liog (KYSH no 882, p. 1040) 'to cure, heal'. Other cognates to this CC pair; e.g. 糜 \* liog, also written 寮 \* liog < /\* (b) z lfog < (b) z'liog (寮 is read as 勞 \* log < /\* (dlog) and 藥 /\* (b) z lok (SWKL 3351-4).
- 5. 壽 \*  $t_i \hat{o}g$  and 訓 \*  $d_i \hat{o}g \sim * \hat{t}_i \hat{o}g$  (KYSH no 601, p. 712), also 傍 \*  $\hat{t}_i \hat{o}g$  </ri>  $</* (b)t\hat{r}\hat{o}g$  'to boast, bluff, brag' (SWKL 1035; binom 壽長 in SW, 傍長 in  $Shih\ ching$ ).
  - 6. 鐙 \* tong and 錠 \* tieng 'ritual vessel, footless vessel'.
- 7. 鐃 \*  $nog < /* znog \sim z \cdot nog$  'bell without clapper' (KYSH no 169, p. 218) and 鉦 \*  $\hat{t}$  i ěng (KYSH no 599, p. 709) (SWKL 6306).

- 8. 弱 \* niok</ri>
  /\*/ zhiok (cluster indicated by binoms like 捉搦, 劣弱, 長嫋 etc.) and 橈. The text in SWKL 3980 shows that in spite of difference of division (橈 under 木, SWKL 2471) they are regular CC. 橈 is \* nog</r>
  /\* d'nog~\* niog
  </r>
  /\* zhrog 'oar'. This CC pair can be compared with other cognates 櫓, 櫂, 棹, 楫, 槳 (FY 9/25).
  - 9. 棒, 梓 \* tsiog and 楸 \* tsiog 'catalpa' (SWKL 2397-8).
- 10. 囊 \* nâng</\* znâng~dnâng 'sack, bag' (KYSH no 261, p. 319) and 橐 \* t'âk</\* tl'âk~tn'âk (KYSH no 695, p. 821).
- 11. 屬 \* kiak</\* tkiak (!) (KYSH no 31, p. 49) 'straw sandal' and 屐 \* g'iǎk</\* dg'iǎk 'shoe, wooden shoe' (SWKL 4577). Other cognates are 膢 kiu</\* kliu~/\* lkiug<zlkiug, 歷 \* liek</\* lfiek (KYSH no 921, p. 1076) and the binoms 達屢 \* d'ât-lkiug~\* t'ât-lkiug<\* tlkiug<tl>tlkiug~tklug; ‡\* dlkiug~adklug; 雖\* dlkiug<br/>\* \* slkiug~sklug; Word Families, gr. T-NG 505-527, includes a great number of words from a semantic field which seems quite too broad:' to walk, to kick, foot, shoe, slipper'.
- 13. 篆 \* k'iog</\* thiog (KYSH no 782, p. 921) 'hole, opening' and 空 \* k'ung</\* thung (KYSH no 4, p. 5 sq.) 'hole' (SWKL 3284).
- 14. 懼 \* g'iwo</\* -g (KYSH no 13, p. 22) and 恐 \* k'iung</\* tkiung (KYSH no 4, p. 5) 'to fear' (SWKL 4694, 4776). Binom' 恐懼.
- 15. 稻 \* d'ôg 'rice, paddy' and 稌 \* t'o</r/> /\* t'og 'glutinous rice' (KYSH no 659, p. 777; *Notes SM*, note 9) (SWKL 3091).
- 16. 走 \*\* tsu</\* -g and 趣 \* ts'iu</\* ts'iug (KYSH no 636, p. 753) 'run, hurry' (SWKL 666).
- 17. 桂 \*  $d\mathring{i}u </* d\mathring{i}ug$  'pillar' and 楹 \*  $d\mathring{i}eng </* d\mathring{r}eng$  (SWKL 2495) (KYSH no 270, p. 332; Notes SM, note 49).
- 18. 刑 \* g'ieng and 剄 \* kieng</\* dkieng (KYSH no 228, p. 286) (SWKL 1856). SW distinguishes 刑, 剄 from another word 荆, 罰辠也 'to punish', while GS 808 considers 刑 as a corruption of 荆 with shortened 耕 as phonetic, which is considered a 'combined graph'. But SWKL 1870 analyses 耕: 从耒井 聲 and explains it with a text 古者井田 "In ancient times, there was [the system] of land and wells". Tuan Yü-ts'ai considers 耕 as a hui yi, but Chu Chün-

sheng takes the text 井聲 as original. Tuan's opinion is probably based on the explanatory text, which indicates nothing else than that 井 has a semantic as well as a phonetic role. The character 荆 is explained by the *Yi ching* text 井法也 'punishment in the pit' (imprisonment in a pit?) and is analysed 从井从刀, 井亦聲. But 刑 according to SWKL, belongs to a complicated *Hsh* series 开 (KYSH no 27, p. 430), which however has enough derivates with dental initial (e.g. 計 \* t'ian, \* xian, \* ngǎn) to justify a reconstruction /\* dg'ieng 'punishment'.

- 19. 更 \* kǎng</\* bkǎng (KYSH no 393, p. 483) and 改 \* kəg</\* bkəg (SWKL 1337 analyses 改 as 己聲; KYSH no 55, p. 88; /\* -g~-d). This CC pair is probably connected with another pair of cognates 樂 \* pɨwan</\* plwan ~plgwan (KYSH no 877, p. 1034) and 便 \* b'ian</\* bf ṭan 'ease, comfortable'; this meaning of 便 is clearly derived from 'to change' as suggested by the explanatory text in SWKL 3560 人有不便更之 "When men have it (i. e. ease) they do not change it." 更 and 便 belong to the same Hsh series. (On alternations -n~-g~-ng, cf. infra).
- 20. 驚 \*  $k_i \check{e}ng < /* zk_i \check{e}ng < \sqrt* bzk_i \check{e}ng$  (KYSH no 87, p. 131, and no 47, p. 71; SWKL 4056 敬: 从支苟 'suppressed phonetic), and 駭 \*  $g' \circ g < /* dg' \circ g$  'afraid, frightened' (Notes SM, chain iii). Word Families, gr. K-NG 73-90 includes the CC pair 恐: 懼 (cf. no 14) which should be taken as a group independent from the CC pair 驚: 駭.
- 21. \* p'iet\*, defined in SWKL 5657 左戾也 'to twist, bend on the left side', and (\* p'iwat, defined in SWKL 5660 右戾也 'to pull, twist to the right side'. May be 戾 is another cognate in this group \* liad</\* lfiad<br/>/\* lfiad<br/>
- 22. 芴\* miwot</\* miwot (KYSH no 458, p. 546) 'edible plant' and 菲\*p'iwor</\* piwor</\* biwor</\* biwor</t >
  Lu Chi 陸璣 (T. Shih-heng 士衡, 261-303) Mao-shih ts'ao-mu niao-shou ch'ung-yü shu 毛詩草木鳥獸蟲魚疏, 1/23, lists 芴 as a dialect word from Yu chou 幽州, and compares it to the dialect word of Ho nei 河內, 宿\*siôh</\* bixoh (KYSH no 528, p. 670; SWKL 3245, 3021) and to the Erh ya word 意\*siôh</\* bixoh (KYSH no 528, p. 630). We obtain here two pairs of words, one with final -d, -t, another with -k.
- 23. 儇 \* xiwan</\* zxiwan (~譞) and 慧 \* g'ied</\* zg'iwwed 'clever, smart' (KYSH no 291, p. 358; GS 527 d-e 慧: 譿).

SWKL 1775 腫, 瘢眶也 \* d'wia</\* d'iwag~d'iwad, \* twia</\* tiwag~tiwad, \* diôg and 眡, 腄也 'callus' \* fiər</\* tiog~tiod.

25. 倚\* ia</\* d iad 'to lean upon, rely on' and 衣 \* i̯ər</\* d iəd (Notes SM, chain vi, note 45) (SWKL 3536).

26. 栩 \* g'wən (SWKL 2627 梡木未析也 'firelog') and 梡 \* g'wən</\* -nd, \* k'wən</\* -nd (栩木薪 'firewood').

27. 怨 \* 'iwǎn</\* -nd (KYSH no 724, p. 845) 'resent, resentment', and 患 \* iwěg~/\* -d? (KYSH no 14, p. 23) defined in SWKL 4746-7 as 恨 \* g'ən </\* dg'ən (KYSH no 83, p. 128) 'to hate' which in turn is defined 恚. Binoms 怨恚 and 恚恨.

28. 趁 \*  $\hat{t}$ 'iən</ri>
/\*  $tn\hat{r}$ iənd, \*  $\hat{d}$ 'iən</r/>
/\*  $dn\hat{r}$ iənd, \* nian</r/>
/\* d'niand (KYSH no 606, p. 720) 'to pursue, chase, follow' and 超 \* d'ian</ri>
/\* d'ian</ri>

29. 分 \* b'iwan </\* b'iwan 'share' (noun), \* piwan </\* pfwan (verb) 'to divide, share' and 别 \* piat </\* pfat (SWKL 1725 別, 分解; but also SWKL 5161 \* piat, variant of \* pwat </\* pgwat—KYSH no 326, p. 391—which are both defined 分, and 别).

30. 贅 \*  $\hat{t}_{i}$ wad (SWKL 2763 defined 以物質錢) and 質 \*  $t_{i}$ od defined 以 物相贅 'to estimate in money, to pawn for money'.

31. 村 \* ts'wən</\* -nd 'to cut, chop' and 切 \* ts'iet</\* -nt (KYSH no 620, p. 609) (SWKL 1834).

32. 餟, also written 醱 \* tiwad < /\* tfwad, \* tiat < /\* tfat (KYSH no 277, p. 338) 'libation' and 酹 \* lwod < /\* (b)dlgwod (KYSH no 736, p. 857. (SWKL 2218, 6691).

33. 醫 \* d'wəd</\* d'rwəd and 醫 \* tsiər</\* tsiəd and 懿 \* tsiər</\* tsiəd  $\sim tsi$ əg 'shallots, pickled food' (KYSH no 620, p. 737 and no 939, p. 1096; cf. Notes SM, chain vi) (SWKL 3199–3200). A cognate is 蟹 \* g'ad</\* dg'ad  $\sim * k$ əd</\* dkəd (KYSH no 81, p. 125).

35. 掘 \* g'iwət</\* dg'iwət, \* g'iwăt</\* dg'iwăt 'to dig out' (KSYH no 631, p. 744 sq. cf. Notes SM, chain vi) and 搰 \* g'wət</\* dg'wət, \* k'wət</\* tkwət (KYSH no 98, p. 145; no 67, p. 104. 円 is probably also phonetic since

SWKL 1726 analyses 骨 as 从冎有肉; 肉 is semantic) (SWKL 5480).

- 36. 磑\*ngwər</\*mgwəd~bngwəd (KYSH no 434, p. 521) and 礦\*mwâ<//>
  </\*mgwâd (KYSH no 350, p. 422; FY 5/22) 'stone mill' (SWKL 4205).
- 37. 媲 \* p'iər</\* p'iəd<piiad (KYSH no 387, p. 471) and 妃 \* p'iwər</\* priwəd, \* p'wər</\* priwəd 'consort, wife, mate'. SWKL 5536 defines 媲 as 妃, but 妃 as 匹. 匹 (SWKL 5727) defined 四丈也 'four chang (measure)', \* p'iet</\* pziet~pziet (KYSH no 326, p. 392), was probably used already in SW time as a more common form of 媲 as can be seen from the binoms like 匹婦, etc. Another cognate is 配 (SWKL 6666 妃省聲) \* p'wəd</\* prwəd.
- 38. 鏶 \* ts'iap </\* ts'iap, \* dz'iap </\* dz'iap, also written 缉 (KYSH no 491, p. 580) and 鍱 \* diap </\* dfap, dialect word of Ch'i (KYSH no 667, p. 784) 'metal sheet, metal leaf' (SWKL 6274).
- 39. 抵 \* tiap</\* tniamp (KYSH no 281, p. 342) 'to take between the fingers, to pick up' and 拈 \* niam</\* dniamb (KYSH no 604, p. 717) (SWKL 540).
- 40. 入 \* niəp</ri>
   \* znəp, \* nwəb</ri>
   \* znwəb are related as CC and have a common graphic element, they are not explained as characters of the same phonetic series. SWKL 2236 explains 內, 入也. 从口自外而入也 "From 口, to enter 入 from outside", a phrase which indicates the etymological connection of 入 and 內.

In these previous CC pairs the reconstructions suggested by the graphic analysis of SW often bring out a closer phonetic similarity than is observed in the usually accepted forms found in GS. In the following CC pairs some cases will be considered with often strongly differing initials or finals, but which can be bridged by reconstructing according to the SW graph analysis.

- 3. Words with different initials in GS.
- 1. 楮 \* t'io</\* trlog (KYSH no 894, p. 1052) 'paper mulberry tree' and 榖 \* kuk</\* nkuk~dnkuk (KYSH no 142, p. 187) (SWKL 2429).
- 2. 桐 \*  $d'ung < /* d \gamma ung$  (?) and 榮 \*  $g \not i w \not i ng < /* d g \not i w \not i ng$  (KYSH no 847, p. 1,000) 'sort of eloeococca' (SWKL 2436).
- 3. 聽 \* t'ieng</\* trieng 'to hear, sharp of hearing' and 聆 \* lieng</\* dlf:ieng~d'lieng (KYSH no 880, p. 1039) (SWKL 5353).
- 4. 嫪 \*  $gl\hat{o}g$ </r/>/\* (b) $d'l\hat{o}g$ ~ $dgl\hat{o}g$  (KYSH no 880, p. 1041) 'to be attached to, in love with' and 婟 \* g'o</r/>/\* dg'og (KYSH no 57, 96) (SWKL 5618).

- 5. SWKL 4482 灼. 灸也 and 灸: 灼也. 灸 is \* kɨ̯ùg</\* dkɨ̯ùg (Notes SM, chain iv); 灼 is \* tɨ̞ok</\* (b) trok 'to burn' (KYSH no 615. p. 730).
- 6. 栩 \* xiwo</\* dxiwog 'oak' (SWKL 1488; Chu Chün-sheng quotes the Po Hu t'ung yi 白虎通義 equation 羽:于:紆:舒 \* śio</\* sfog; Notes SM, chain iii) and 柔 \* d'io</\* dfiog. Other cognates. 櫟 \* gliok</\* (b)zlfok and 柞 \* tsâk</\* tsâk, \* dz'âk</\* dzrâk (SWKL 2405).
- 8. 頭 \* d'u < /\* d r u g 'head', defined in SWKL 3910 首, a ku wen form of 百 (SWKL 3950) \*  $\hat{s}_{\hat{s}}\hat{o}g$ , which in turn is defined 頭. 首 \*  $\hat{s}_{\hat{s}}\hat{o}g < /* s \hat{r}\hat{o}g$ . This CC pair is also related with 頁 \*  $g'iet \sim g'iet < /* d g'$ -, zg'-.
- 9. 持\*d'iog' to grasp, to hold' and 握\* 'uk' to grasp a handful' (SWKL 5388) and 拉\* 'ěk</\* d'ěk (KYSH no 842, p. 865) and 捉\* tsùk' to grasp'. This is probably a case of two related CC pairs. 拉/\* d'ěk, 持/\* driog; 握/\* z'ùk, 捉/\*/tsùk~tsùk, 持/\*dr'iog. The reconstruction of 屋 is supported by theanalysis of 屋 in SWKL 3789 从尸.... 从至. 至所止室屋 "From 尸...and from至; the room, where one arrives and rests." 室屋 \* śiot·uk<\*\* śuk. (Cf. also SWKL 1578 屬, 从羴在尸下尸屋也). The binom 捡持 of SW can easily be taken as a reduplicative, which suggests aform /\* df- for 持 as well.
- 11. 陬 \* tsu</\* tsug, \* tsiu</\* tsiug</\*/ btsug~btsiug (KYSH no 502, p. 600; initial b- is suggested by the definition of 取 in SWKL 1253 捕取 \* b'wo-ts'iu < \* bts'iug, paralleled by that of 捕: 取也 (SWKL 5497); 隅 \* ngiu </\* mgiug 'angle, corner' (SWKL 4072 defines 禺 with a paronomastic definition 母猴 \* mog-g'u < \* mg'ug). This reconstruction is supported by the bynomial form 阪隅, repeated in other words 畈嵎, etc.
- 12.  $*b' \epsilon g </*b' \epsilon g$  (KYSH no 422, p. 512) 'exhausted', also written  $*b'w \epsilon g </*b' w \epsilon g$ , and  $*k' i \epsilon g </*tkleg$  (KYSH no 145, p. 188) (SWKL 4479). SWKL 1392 analyses  $* \ddot{q}$ , 具也 'complete' as 从用苟省,where 苟 is

suppressed phonetic. Tuan Yü-ts'ai even emendates 从用苟省, 苟亦聲 \* kiək</\* bkiək) \* ku</\* bkug. As to 憨, SWKL 1292 analyses the phonetic 歡 as 从 殳从害 /\* bdiug -/\* tkiek<\*\* bdkiek (KYSH no 685, p. 807). The reconstruction is also supported by binoms like 縛繄 etc.

13. 頟 \*  $ngl\check{a}k\sim/*$   $lng\check{a}k<\sqrt{*}$   $lm\Upsilon\check{a}k\sim blng\check{a}k$  (KYSH no 102, p. 149; Notes SM, chain iii), and 額 \* sâng</\* bsâng~msâng (SWKL 3915). SM 8/30 equates 額 with 顎 (a dialect word from Yu chou 幽州) \* ngâk</\* zngâk< √ \* zmgâk~bzngâk (KYSH no 194, p. 239). Probably the values for the CC words of the SW are /\* bs âng -/\* blngâk -/\* bzngâk 'forehead'. In Word Families, gr. K-NG 91-114, 額 is found among a great number of words covering too wide a variety ranging from 'mountain top, dangerous' to 'rise, forehead' etc. Similarly, T-NG 64-84 contains 額 among other words representing an equally large field of meanings. FY 10/34 mentions two other dialect words 顯 \* tian and 顏 \* ngan</\* zngand (KYSH no 818, p. 975). The words with the final -ng or -k belong to the northern areas (Ju Ying, Huai-Ssu, Tung Ch'i and Yu chou) while those with the ending -n, -nd belong to the southern areas (Chung Hsia, Chiang Hsiang). It is possible that the two groups of words are etymologically related, and that 顧 goes back to /\*/ bfian~btfan and 顏 to /\*/ bzngand~zmgand. In the word lists with different finals, a regular alternation between dental and velar finals is very frequent.

14. 稻 \* sŏg</\* bsŏg 'bamboo vessel' and 宫 /\* kliog~lkiog 'round basket, food basket' (SWKL 1934-6). FY 13/143 writes 膂 /\* bsŏg, dialect word from Nan Ch'u, and 滾 /\* dlfog, standard word and also dialect word from Chao and Wei. Compare also the CC pair of SWKL 253 蒡 \* giwo</\* (b)zgiwog (KYSH no 788, p. 939) 'colocasia' and the dialect word of Ch'i 喜 \* klio</\* (b)lkiog~(b)klog (?). There seems to be no basis for a labial initial in the series of 喜 and 宫 (KYSH no 893, 894 p. 1051 sq). These two CC pairs must be studied together with another group of CC words 呂, 菅, 胄 and 袞. SWKL 3269 defines 呂 and its chuan wen form 膂 with 背骨 'backbone, spine'. But SWKL 1754 and 5516 defines 胄 with 脊 and this with 背呂 \* pwog-glio(g)<‡\* pgliog. 脊 is an enlargment of 傘 (背呂也) which however is read like 乖 \* kwɛg; this suggests an earlier form 傘 /\* bkwɛg~kmɛg, and also 胄 /\*/ pgwog~bīwog. This is confirmed by the fact that 北 'north, back side' is also defined 乖 \* kwɛg, hence 北 \* pək</\* p'ək. By virtue of the CC pairing even 脊 was \* tsiěk</\* btsiěk; all this points also to a reading 膂

(chuan wen of 呂) blfog~bdlfog and 筥 \* kliog</\* bklog~blkiog, 簑 /\* dlfog</\*/>/\*/ blfog~bdfog.

15. 卜\*puk</\*piuk</ptuk (Notes SM, chain x, note 36) and 光, 兆 \* d'iog. 卜 is defined in SWKL 1379 灼剝龜也 'to burn and crack the tortoise shell' and 光, 兆 (SWKL 1385) is 灼龜拆也 'the cracks of the burnt tortoise shell'; 灼 is \*  $\hat{t}iok$ /\*  $bt\hat{t}ok$  and since ト and 光, are both defined by 灼. 兆, 兆 was \* d'iog/\*  $bd\hat{t}iog$ . Other cognates probably are A'iog/\* A'iog

16. 鮥 \*  $gl\hat{a}k\sim/*lr\hat{a}k$ , \*  $ngl\hat{a}k\sim/*lng\hat{a}k$  'sturgeon' and 鮪 \*  $g_iw\partial g</*zg_iw\partial g\sim dg_iw\partial g$  (SWKL 5216).

17. 恉 \* t̄iər </\* (b)tfəd (KYSH no 386, p. 467), 意 \* ijəg 'to think, thought, intention, will', 志 \* t̄iəg </\* tfəg (KYSH no 594, p. 701 sq.) 'aim, goal, purpose, spirit, mind'. SWKL 4652-4 defines 志: 意也, 意: 志也. 恉:意也. This CC group indicates that 意 probably must be reconstructed /\*/d'iəg. It is explained in SW with the phrase 察言而知意 "To investigate the words and to know their meaning." 知意 may be isolated as sound descriptive \* t̄ieg-iəg < \* t̄iəg. 志 does not appear in the original SW text, but it is a ku wen form of 識, which in SWKL 981 is defined 常 (emendated by Tuan Yü-ts'ai into 意) 一日知也. Yet other commentators maintain 常 as a definition for 幟, another word, not found in SW, but read identically with 證 \* Siək ~\* t̄iəg 'to know, remember, commemorate'.

18. 章 \* fiang and 竟 \* kiang. SWKL 1108 writes 章: 樂竟爲一章. 从 音从十. 十數之終也 "When the music is finished, it forms one chiang 'piece of music'. From 音 and 十. 十 is the end of the numbers." 竟: 樂曲盡爲 竟. 从音从儿 "When music and song are finished, it is ching 'the end'; from 音 and 儿." No matter how the graphs are to be analysed and explained, it is clear that both have a definition which makes them a CC pair, for which a reconstruction is required, probably \* fiang </\* trang and \* kiang </\* dkiang. SM 27/3 has 大夫日卒. 言卒竟也 "A prefect [who dies] is said 卒. It is said 卒竟" \* tsiwat-kiang < \* tskiang ~ dk . The graphs remain unexplained in GS 723, 752. SW however explains them as combinations of 音 with 十 or 儿, and since they are under the division 音, this element may have some etymological relation with 章 and 竟 (Cf. P.A. Boodberg, HJAS II, p. 344, note 37). The elements + and 儿 of the SW

analysis may be original or not,(15) yet it is important to know that in the opinion of the SW they may have played a phonetic role;  $+*\hat{d}_{\hat{i}}$  and  $\parallel$ \* $\acute{n}$ iěn would suggest readings with - $\rlap/p$  or - $n\sim ng$ , which agrees with the readings found in connection with 音. The connection of 章 with 竟 appears from the meaning of 章 in Li Chi (Couvreur II, p. 68) 'music [of Yao]' /\* trang $\sim$ /\*/tram ( $\sim$ \*-p)一音 /\* jəm</\* z jəm. The latter reconstruction can be deduced from the CC pairing of 音 with 聲, and from its Hsh connections. SWKL (1104 and 5355) discusses 音 and 聲 under separate divisions, but the text under 音 clearly indicates the CC connection: 生於心 有節於外謂之音. 宮商角徵羽聲也. 絲竹金石匏土革木音也 "What is born in the heart and has a measure when [expressed] outside, that is called 音. [The music notes] kung, shang, chiieh, chih, yii are the 聲. The silk, bamboo, metal, stone, calebash, earthenware, skins and wood [are the material for the instruments] of music." 从言含一 "From 言 containing 一". 聲 was \* śiěng </\* śfěng (KYSH no 120, p. 173), and has a cognate in \ \* xiang</pre>/\* zxiang < (b) zxlang (KYSH no 111, p. 156). 聲 is member of a CC pair with 音, which GS explains as 'mouth blowing flute' (章); but 言 is also explained as 'drawing of a kind of flute' (₹), without telling in what the graphic difference really consists. Kuo Mo-jo 郭沫若 (Chia-ku-wen yen-chiu 甲骨文研 究, chap. 5, 釋和言, p. 3-4) thinks that both 言 and 音 represent a flute (丫 Y) in the mouth, written ⊌ or ⊌, and were originally the same graph. This points to a connection between  $\equiv *ng_i \check{a}n < /*zng_i \check{a}n, *ng_i \partial n < /*zng_i \check{a}n$ zngion, 音 \* iom </\* ziom, and 聲 \* śiěng </\* śřěng. The reconstruction of 音 is indirectly confirmed by a Hsh derivate 膺, which independently from any Hsh connection can be reconstruted \* iong </ \* 2'iong. For this character is equated in SM with 嬰 \* ižng > iäng (Bodman no 675). cluster of 嬰 is required by a SM equation 纓: 頸 \* kɨĕng </\* tkɨĕng (Bodman no 677; Notes on SM, chapt. ii), which demands a form 膺 /\* z jěng. 膺 makes a CC pair with 匈 also written 智 \* xiung </r> 801, p. 957) 'breast, chest' (SWKL 5721, 4046, 6797, 1753). Moreover 膺 is a Hsh derivate of 確, which itself has as phonetic element, either 痦 or 人. SWKL 1530 proposes two analyses of 確: a) 痦省聲 and b) 从人. 人亦聲. The latter analysis, equally accepted by SW, is hardly explicable as a later addition;

<sup>(15)</sup> Takata (Kochūhen 53/34 sq.) explains the character 章 as a shortening of 竟 (晉 with 十), the lower element 十 being originally 平, a graphic variant for 畢, which represents a net on a stick, and is applied to a word 'end, to end'.

人 \* hiěn is equated in SM 1084-5 with 忍 (a Hsh series with -n, -t, -ng), 人 \* hiěn </\* zhiěnd—忍 /\* zhieng; this reading -ng agrees again with the final of 膺; the initial of 人 again suggests an initial cluster for 膺 /\* z'ieng (P.A. Boodberg, HJAS II, p. 338). All these contacts indicate that 音 /\* z'iom is a safe reconstruction.

19. 逢 \* bjung~\* b'ung and 遇 \* ngju</\* mgjug 'to meet' (SWKL 753). 20. 嫗 \* iu </\* bź iug (KYSH no 116, p. 171) 'to warm with the body, to hatch' and  $\oplus *m \rightarrow g < /*m \rightarrow g \sim mg \rightarrow g$  'mother'. SWKL 5542 defines  $\oplus$ paronomastically by 牧 \* miôk </\* mrôk (cf. Notes on SM, chain xi; SWKL

1373 analyses 牧: 从支从牛 \* puk-ngiùg < \*\* pngiùg; it is probably a cognate

with  $\# * ng_i \check{u}g < /*/mg_i \check{u}g$ .—(Cf. French: vâche, vâcher).

21. 嬰 \* iěng </\* b iěng < bź- (KYSH no 717, p. 838), defined in SWKL 5606 頸飾也, 繞也 'ornament of the neck (necklace), to surround', but it appears as chia chieh for 'infant, orphan' in Li Chi (Couvreur, II, 179) and is used in this sense in SWKL 5577 defining 嫇 as 嬰嫇, a reduplicative binom; 嫇 \* mieng~měng </\* mrien~iměng~měng.

22. 卑 \* pieg~\* pied (KYSH no 372, p. 447) and 殷 \* ngieg~\* ngied </\*mg-? 'sound of beating' (SWKL 1372).

23. 輻 \* pɨŭk </\* pŕŭk~pźŭk 'spokes of a wheel' (Notes SM, chain x) and 轑 \* log </\* dlog (KYSH no 914, p. 1068) (SWKL 6432). The CC pairing suggests 轑 /\*/bdlog (confirmed by interchangeable graphs in binoms as 轑 釜一櫟釜, TT 1296).

24. 妒 and 娟. SWKL 5613 defines 妒 with the sentence 婦妒夫也 "The wife is jealous of the husband" and 娟 with 夫妇婦也 "The husband is jealous of the wife." Tuan Yü-ts'ai corrects 好 into 妬 \*  $t\hat{a}g < /*t\hat{a}g$ . 娼 is \*  $m\hat{o}k$  $</*m \cdot \hat{o}k \sim m \hat{o}k > tm \hat{o}k$  (KYSH no 361, p. 437; Notes SM, chain xi). The CC pair is probably due to the dimidiation binom resulting from /\* tmôk >\* tâgmôk. The binom 妬娼 is early attested (Lieh-tzǔ, LMTT 3, ch'ou 39). This explanation of 娟 > 妬娼 is confirmed by the cognate 映 \* kiwat </\* kmiat~'tmiat (Notes SM, chain ii). SWKL 1448 defines 映, 涓目 (涓 stands for 焆, meaning 目間出火 'fire coming from the eye' or 含怒之視 'a look full of anger'); this word is equally dimidiated and written 妬媚 \* tag-mid. The alternation of finals -t, -d with -g is a regular variation between many cognates. It appears also in the binom 嫵媚 in SM 8/26 眉媚也 "Beautiful" 有嫵媚也 \* miwo ~/\* mfwog-mfəd. Takata (Kochühen 47/25 has a chia chieh 釁 \* xiən </\* bxiənd, \* mwən </\* mgwən (KYSH no 376, p. 456).

- p. 733; Notes SM, chain xi, note 68) and 頃 \* djung </\* dfung, \* dzjung </r></\* dzfung (KYSH no 3, p. 2-4). SWKL 3832 defines 頌 as 皃 'appearance,</p> manner, form' and 克 as 頌, 義 'to announce, make known [externally]". Though 克 and 頌 do not belong to the same SW division, Tuan Yü-ts'ai suggests they are a CC pair; probably 克 and 頁 can be taken as subdivisions of one group, since 皃 is analysed 从白. 象人面形 and 頁: 从百 (head) 从儿. The reconstruction of 頌 is a serious difficulty; may be the form /\* dfung~ dzfung can be brought back to  $/*/dfw \rightarrow g \sim dzfw \rightarrow g$  from dfm- or dzfm(?). Word Families, gr. P-NG 162-5, contains the words 模, 摹 \* mâg, 貌 \* mòg, 描 \* miog. In KYSH no 357, p. 432 all these words with 莫 indicate /\* zm-~mz-. The character 描 is late, but another of this Hsh series 描 is chia chieh for 貌 \* mog </\* d'mog (Glosses on the Book of Documents, gl. 2060; BMFFA no 20, 1948). Similarly Word Familis, gr. T-Ng 381-5, contains 悄 and 肖 'like, resembling' which go back to /\* bs-, b2- (KYSH no 554, p. 654).
- 27. SWKL 218 defines and describes the character |\* kwon </\* dkwond in two ways with readings that imply two meanings related like CC words a) 引而上行. 讀若囟 \* siěn </\* sŕěnd 'to draw upward', b) 引而下行. 讀若退 \* twod </\* tĩ wond 'to draw downward'.
- 28. 腓 \* biwar < /\* biwad (KYSH no 374, p. 450) and 腨 \* diwan < /\* dfwan (KYSH no 202, p. 258). SWKL 1765 defines both by a binom 腓, 脛 腨也 and 腨, 腓腸也 'calf of the leg'. The CC pair is probably the result of a separation of the members of a binom 腓腨 /\* biwadfwan < #\* bdrwan > bifwand (?).
- 29. 鉆 \* g'iam </\* dgiamb, \* t'iap </\* triamp (KYSH no 604, p. 715) and 鉺 \* niap </\* dniamp, \* tiap </\* tniamp 'thongs, pincers' (SWKL 6292). 29 bis. 嫋 \* niog </\* zniog 'slender' (KYSH no 945, p. 1102) and # \* niam < niam </\* dniam, dniam. (SWKL 5576).

forms  $\[ ?*p'lw\^am \]$  and  $\[ ?*b'iw\~amp \sim b'iw \]$  against  $\[ ?*bi\^og </*bzl\^og \]$  and  $\[ ?*p'iog </*pzlog \]$ . This alternation -m: -g is frequent in the CC pairs. The mutual definition of  $\[ @ \]$  and  $\[ @ \]$  is not strictly speaking due to a CC but rather to a binomial form  $\[ @ \]$  (LMTT 6, ssu 6)  $\[ /*p'iw\~am-dl\^amb \sim p'iw\~am-lr\^amb < $p$ pglw\~amb \sim plfamb.$ 

- 31. 苫 \* siam </ri>
  /\* siam 
  (KYSH no 604, p. 717) 'to thatch' and 蓋 \* gap 
  /\*  $dg'ap\sim zg'ap$ , \* kab 
  /\* zkab 'to cover' (SWKL 423) (cf. Notes SM, note 71).
- 33. 籍 \* g'iam <? 'gag' and 箭 \* niap </ri>

   'gag' (KYSH no 947, p. 1104). The CC pair suggests a reading 箝 /\* dg'iamb.

   In SM as well as in SW (SWKL 2026) 甘 is explained 从口含一 showing that 甘 is equated with 含 \* g'om
- 4. Words with different finals.

Such alternations have already been mentioned in the discussion of some of the previous cases (nos 13. 18. 24. 30).

- 1. 鱠 \* tɨan </\* tnfand (KYSH no 216, p. 276) 'name of fish' and 鯉 \* lɨəg </\* dlfəg (KYSH no 892, p. 1050) (SWKL 5219).
- 2.  $int * \hat{t}_i \check{e}ng$  'straight, correct; to correct, regulate' (KYSH no 599, p. 710, lists some Hsh derivates ending in -n, -m), and int \*klan \*/\* lkan 'to remonstrate, admonish' (KYSH no 61, p. 98) (SWKL 995-6).
- 4. 鱢 \* $gl'w\check{a}r\sim/*lg'w\check{a}d$ ,鱧 \* $l_{\check{i}}\partial r</*d'l_{\check{i}}\partial d\sim dl'i\partial d$  and 鸌 \* $g'w\check{e}k$  </ri>
  (KYSH no 741, p. 864) 'name of fish, tench? mullet'? (SWKL 5327).
- 5. 記, 禩 \* dziəg </\* dzfəg (SWKL 46-7 祭無已 'to sacrifice without end (?) and 祭 \* tsiad 'sacrifice'. The CC pair suggests /\*/tsfad.
- 6. 鮦 \* d'ung </\* ld'ung, /\* d'log, also read like 櫳 \* liung </\* d'liung ~ dlfung (KYSH no 236, p. 297-9) and 虪 liei </\* dlfied 'perch' (KYSH no 231, p. 292) (SWKL 5221-2).
- 7. 子 \*  $ts_i \circ g < /*/ts \circ g$ ? The text of SWKL 6596 十一月陽气動, 萬物滋 "In the 11th month the yang vapours are in motion, the ten thousand beings

are made to grow" certainly intends the word 滋 \*  $ts_i gg$  as a phonetic indication of 子 which is related to 字 \* dz' gg and to 孳 \*  $dz'_i gg$  'to breed'. SWKL 2376 puts 李 in the Hsh series 子,\* ligg < / \* zligg,子 \*  $ts_i gg < / * zligg$ ,子 \*  $ts_i gg < / * ts_i gg$  . But SWKL 381 analyses 茲从艸丝省 (emendated by Tuan Yü-ts'ai into 絲聲);絲 is \*  $s_i gg$ , which could be related with 系  $/ * g'_i ed < / * zg'_i ed < - g$  (Notes SM, chain vii). Though there seem to be no clear positive evidence for a reading 子 / \* tsi-, the other CC word strongly suggests such an initial cluster. The other CC member, 云 (SWKL 6616) \* t'wat, is the graph 子 reversed, a pictograph for the 'baby at birth', defined 不順忽出也 "The unfilial (disobeying) one suddenly comes out"; in this phrase the word 出 \* tiwat </\* tive tiwat (Notes SM, chain vi), and the Yi ching phrase, also quoted in SW, ... 不孝子突出... "the unfilial son suddenly comes out" (突 \* t'wat < / \* tiwat) indicate the cluster initial of  $\Box$ .

- 8. 幝,禪 \* kwon </\* dkwon (?) 'leggings, trousers', and 聰,松 \*  $\hat{t}_{iung}$  </ri> </\* tfung (SWKL 3413). According to Chu Chün-sheng 禪 has a variant writing 帬 \* g'iwon </\* (KYSH no 18, p. 29). dg'iwon. However, cf. FY 4/7 which takes 帬 is as a word different from 幝.
- 9. 棫 \*  $g_i w \partial k < /* z g_i w \partial k \sim d g i w \partial k$  (KYSH no 870, p. 1019) 'bushy tree', and 桜 \*  $\hat{n}_i w \partial d < /* z n \hat{n}_i w \partial d$  (KYSH no 227, p. 285) SWKL 2403 defines 桜 as 白桜棫 and 棫 as 白桜 . In SW time the word is known already in its binomial form as well:  $/* n_i w \partial g_i w \partial k$ , though the two CC words are both a variant of the stem with a variation in the final,  $-d \sim -k$ .
- 10. \*k'io </\*tkiog, \*kio </\*tkiog (KYSH no 57, p. 93) 'kind of tree' and <math>\*k'iwed </\*tkiwed, \*g'iwed </\*tgiwed (KYSH no 203, p. 157).

- 13. 嘘 \* $x_i$ o </\* $dx_i$ og < dxlog 'to blow, exhale' and 吹 \*t'wia </\*tfiwad  $\sim t$ fiwiad (KYSK no 626, p. 742; cf. Notes SM, chain vi) (SWKL 574).

- 14. 蕪 \*  $m_i wo < / * m_i wog < / * m_i vog < / * m_i vo$
- 15. 僵 \* kiang </\*/ bkiang, \* g'iang </\* bg'iang 'prostrate, fall, overthrow' and 僨 \* piwan </\* prwand 'overthrow, fall'. The reconstruction /\*/ bk-, bg- is not only suggested by the CC pairing but also by the various connections of the word 畺, ء 'boundary'. This character is a graphic enlargment of 胃 'two adjacent fields' (SWKL 6207) 比田也 pior (b'ior) -d'ien <♯\* bd'ien~pd'ien, /\*/bkiang. SWKL 6207 defines 蝁, 噩 by 界 \* kǎd </\*</p> bkǎd (KYSH no 78, p. 119). The same definition is given to 畔 \*b'wân</\* bgwân 'bank between fields' and to 畫  $\gamma w \in k < *g'w \notin k < /*g'm \notin k \sim bg'w \notin k$ , \* g'wěg </\* g'měg~bg'wěg 'to trace the limits, to border off'. SWKL 1275 that 画 is nothing but a variant of 鼍 or 鼉; the top element may have had a phonetic role, for 聿 was read /\* b'lwat and dialectally 不律 \* piug-blfwat <#\* pwlfat, and combined with 画 (\* kiang) it may have suggested a downward</p> reading #\* bkiang~pkiang. 陌 \* mak 'path between fields' is not listed as such in SW but it is found in several definitions of other words, either alone or in the binom 阡陌, also written 仟伯 (Kuan-tzǔ, Han shu); (SWKL 6863) \* ts'ien-măk~ts'ien-păk. The distinction between 阡 and 陌 as respectively 'west-east going field paths' and 'north-south going field paths' is based on late sources (Feng-su t'ung-yi 風俗通義) and the word is possibly adimidiation of #\* ts'mǎk~ts'pǎk and 陌 alone may go back to /\*/dz'mǎk. KYSH (no 327, p. 395) lists a derivate 項, which is a late character, with a variant 嘈 \* g'wěk </\* g'měk~\* måk</\* z'måk< 'måk. Such late characters still may preserve</p> traces of the earlier word forms. The cognates \ \ /\*/ bkiang and 界 /\* bkǎd show the same sort of alternations as the CC pair 僵 and 界.
- 16. \*  $tsior \sim /* tsiod$ , also written 禁, , 養 the last one (acc. KYSH no 939, p. 1095) with contacts -t, -k; 稷 \* tsioh 'millet' (SWKL 3082).
- 17. 罵 \*  $ma < / * dmag \sim dmand$  (KYSH no 356, p. 431) 'to revile, insult' and 詈 \* liek < / \* lfiek; the reconstruction of 詈 is based on SM 詈: 歷, which is further explained by the sound phrase 以惡言相彌歷也 "With bad words to incriminate each other" \* mid = lfiek < # \* mdlfiek; 亦言離, 以此挂離

- 之也 "Also read \* lia < /\* d'liad; by these (incriminations) one catches (挂: 鉤取也) and ensnares him". \*  $kw\check{e}g$ -d'liad <  $\#* kwliad \sim mdliad$ .
- 18. 桎 \*  $\hat{t}_i$ ět </\* tŕět  $\sim$ -k (KYSH no 608, p. 723) and 械 \* g' $\epsilon g$  </\* dg' $\epsilon g$  (SWKL 2636).
- 20. 哈 \* · iěk </\* d · iěk ~-nt (KYSH no 742, p. 864) 'throat', and 因 \* · iěn </\* · iěnd (KYSH no 704, p. 828); SWKL 2731 analyses 因 as 从口大 'suppressed phonetic' /\* d · iěnd, a reconstruction which applies also to 咽 'to swallow (SWKL 556 sq). Another cognate is 吞 \* t'on </\* tron, \* t'ien </\* trien (16).
- 21. 語 \* ngio </\* 2ngiog 'to speak' (KYSH no 176, p. 223), 論 \* liwon </\* (b)liwon, \* lwon </\* (b)lgwon (KYSH no 876, p. 1052) 'to converse, to talk', 議 \* ngia </\* 2ngia </\* 2ngia </\* d'to discuss' (SWKL 961–79).
- 22. 遠 \*  $g_iwǎn </* 2g_iwǎnd \sim -ng$  (KYSH no 291, p. 357) 'far, distant' and 遼 \*  $liog </* dl \acute{r}_i og \sim d'liog$  (KYSH no 913, p. 1066) 'distant'. Other cognates: 逴 \*  $t'\delta k \sim t'iak$ , 逊, 遏 \*  $t'iek </* t\acute{r}$ -, 迴 \* g'iweng </\* dg'-?
- 23.  $沒 * \hat{a}n < / * \hat{a}nd$ ,  $洏 * \acute{n} \wr og < / * z \acute{n} \acute{n} og$  'warm water' (SWKL 5065). 粫 has a variant  $漠 * nw\hat{a}n < / * ngw\hat{a}nd$ . The CC pairing suggests a reading  $沒 / * / z \hat{a}nd \sim d \hat{a}nd \sim n \hat{a}nd$ ; a hypothetical reconstruction, but confirmed by the dialect correspondences in FY 5/7, 37 俎几 \*  $t \not \sim i \cdot i \cdot i \cdot i \cdot i \cdot i \cdot i$ .  $\rlap{w} * dia < / * diad$ ,  $\rlap{r} * ngia < / * dngiad$ ,  $१ * \hat{a}n < / * / d \hat{a}nd$ , and on the other hand  $\rlap{r} * t \not \sim i \cdot i \cdot i \cdot i$ .
- 24. 衍 \*  $g_i$ an </ri>
  /\*  $2g_i$ an (KYSH no 769, p. 899) defined in SWKL 4941
  水朝宗于海也 "The water courses (go) to pay court to the sea." 淳 \*  $d_i$ og
  /\*  $(b)d_i$ og, \*  $t_i$ og
  /\*  $(b)t_i$ og, defined by the same sentence from Shu ching. 衍 also means 'to flow, overflow', 潮 'tide, to rise (like the tide)'.
- 25.  $\mathcal{H}*kǎn</*dkǎnd\sim-ng$  (KYSH no 27, p. 43–4) and  $\mathcal{H}*ngiog</*dngiog\sim zngiog$  (KYSH no 169, p. 217) 'wild dog, strong dog' (SWKL 4413).
- 26. 裼 \* siek </\* sriek, \* t'ieg </\* trieg 'bare, naked' (KYSH no 216, p. 274), 袒, 但 \* d'ân </\* drând (KYSH no 216, p. 274) (SWKL 3740, 3737).

<sup>(16)</sup> Karlgren has compared 嚥 'to swallow' with 讌 'spallow' (*Philology and Ancient China*, Oslo, 1926). This is not repeated however in *Word Families*, gr. K-N 287-8 咽, 噎.

- 28. 翰 \*  $t_i og </*(b) t fog$  and 轅 \*  $g_i w \check{a} n </* z g_i w \check{a} n d \sim -ng$  (KYSH no 291, p. 357) 'shafts or pole of carriage' (SWKL 6435).
- 29. 鷻 \*  $d'w \hat{a}n \sim \hat{d}_{\dot{i}}w \partial n$  and 雕 \*  $d'_{\dot{i}}\hat{o}g$  'eagle' (SWKL 1529, 1632 雕, 鸼, 殷). This variation explains why 敦 is loan word for 彫, 幬 (GS 464 p-q); there is nothing unusual or obscure about it.
  - 30. 銷 \* $ti\hat{o}g$  'dull' and 鈍 \*d'wən 'dull' (SWKL 6353).
- 31. SWKL 6483 defines 宵: 陵也, and 陵: 隋高也 'high, dangerous', \* ts'iog </\* (b)ts'iog; 陵 \* siwon </\* sŕwond (KYSH no 765, p. 897).
- 32. 喜 with ku wen variant 歕 (SWKL 2062 樂也); 敢 and 歖 are defined in SWKL 3880 卒喜, which Tuan Yü-ts'ai explains as 猝 'precipitated, sudden', but more probably it is a binomial variant of 喜 \*  $x_i g g < /* dx_i g g$  (KYSH no 814, p. 971), \*  $ts'wat-x_i g g < \sharp* tsx_i g g$ . SWKL 3869 歡 \* xwan < /\* dxwan (喜 樂) 'rejoice, joy'. Cognates can be found in 樂 \*  $g'lak < /* l7ak \sim zl7ak$  and the binom 喜樂 \*  $dx_i g g-lak < \sharp* dxlak$ .
- 33. 緩 \* g'wân </\* dg'wân (KYSH no 790, p. 946) 'slack, indulgent' and 綽 \*  $\hat{t}'iok</*t\hat{n}'iok\sim tn\hat{r}iok$  (KYSH no 276, p. 336) 'indulgent, gentle' (SWKL 5931).
- 35. 顧 \* pṛan </\* pṛan (KYSH no 336, p. 401, with derivate 額 jṛu </\* bgṛug and \* xṛwan </\* bxṛwan). SWKL 5755 defines 顧: 似小瓿大口...... "It resembles the p'ou with a big mouth..." 瓿 \* pṛug </\* pɛug, \* b'əg </\* bzəg, defined 顧. FY 5/10, 14 lists binoms 瓿既 \* pṛug-ngṛu, reduplicative binom /\* bɛug, /\* mgṛug, and 瓿點 \* pṛug-glug, dimidiated binom <#\* pglug ~\* b'əg-glug <#\* bglug.
- 36. 뼶 \* ngɨ̯an </\* (b) zngiand (KYSH no 803, p. 961), 甑 \* tsɨəng~-n (KYSH no 414, p. 585) (SWKL 5750).
- 38. 薪 \*  $s_i$ ěn</r/>/\*~-ng, -m (KYSH no 541, p. 643) 'fuel, firewood' and 善 \*  $\acute{n}_i$ og</r/>/\*  $\acute{z}$  $\acute{n}$ fog (SWKL 443).
  - 39. 頂 \* tieng 'top of head', 顚 \* tien (SWKL 3915).

- 41. 逾 \* diu </\* diug (KYSH no 288, p. 349), defined SWKL 746 返進也 'transgress', 踰 /\* diug, defined SWKL 872 越; 返 \* giwǎt </\* dgiwǎt defined (SWKL 793) 踰 , and 越 \* g'wât </\* dg'wât , \* giwǎt </\* dgiwǎt (KYSH no 97, p. 145).
- 42. 袖 \* dziôg and 袂 \* kiwat </\* kmiat, \* miad </\* mîad ~ gmiad 'sleeve' (SWKL 3704-5). In SWKL 5741 由 \* diôg is a dialect word of Tung Ch'u for 缶 \* piôg </\*/ pzôg, etymologically related to 匋 \* d'ôg </\* bd'ôg, \* diog </\* bdiog (KYSH no 314, p. 384); from this connection and the CC pairing, it is possible to posit 袖 /\*/ bdziôg, opposed to 抉 /\* kmiat~gmiad. 袖 has two variant graphs 蘡 and 荽 (GS 1079), and it is clear that 朶 may play a phonetic role similar to 由 in 袖 and 蘡. The phonetic 朶 (SWKL 3704) is a variant graph of 穂 \* dziwod </\* dziwod (KYSH no 864, p. 1015). 朶 itself is analysed 从爪禾 'suppressed phonetic' \* g'wâ </\* zg'wâd (cf. Notes SM, notes 46, 63; the same reading is also suggested in a downward reading of 爪禾 \* tsôg-g'wâd < \* tsgwâd). Under the reading /\*/ dz'wâd 袖 is connected as a cognate to 墪 \* g'wer </\* dg'wed (KYSH no 50, p. 90); another cognate is 袪 \* k'iab </\* pkiab~tkiab. (On the alternations of final -d, and -b, cf. infra).
- 43. 濯 \* d' $\delta k$ , \* d' $\delta g$  </\* d? (KYSH no 254, p. 313) 'to wash' and 潔 \* g'wân </\* dg'wând (KYSH no 173, p. 843). (SWKL 5093). In Word Families, gr. K-N 238-46 and T-NG 235-49, both the words of this CC pair are listed in a different group of cognates, each of which covers widely differing sorts of semantic fields such as 'wash, pour out, clean, cleanse, rinse, etc. To these two groups comes also T-N 304-307. By following SW a somewhat different grouping can be proposed. (SWKL 5068).

The CC pairs which follow hereafter oppose words with final dentals -n, -d, -t or velars -g, -ng, -k against cognates with final labials -m, -b, -p.

<sup>(17)</sup> A first group, according to SWKL 5084 sq. contains 滌 \*d'iôk, 澡 \*tsog, 浴 \*giuk</\*zgiuk, d´nuk—dgiuk (KYSH no 90, p. 132; Notes SM, chap. 1), and 洒, 洗 \*siən, \*siən. Another group is 涑, 潡 \*suk, 澆 \*kiog</\*\*\* dkiog, nkiog, 沃 \*ok</\*\*\* zok—d'ok) (Notes SM, chain viii), 湔 \*tsian, 灌 \*kwân</\*\* dkwân, 盥 \*kwân. A third group is 淅\*siek, 汰\*d'âd </\*\* d'ad (KYSH no 252, p. 308), 滫\*siôg, 漬\*dz'iĕg and 漚 \*u</\*\* (b)z'ug. 淅 and 汰 form a CC pair in SW.

- 45. 鮨 \*  $dz'i\hat{o}g$ , \*  $ts'i\hat{o}g$  'mudfish' and 鰡 \*  $zi\hat{o}p$  </ri>
  528, p. 632) (SWKL 5230).
- 46. 績 \* tsiek 'twist, spin' and 緝 \* ts'i entsightarrow tsin entsightarrow to 'hem, connect' (SWKL 5907). Probable cognate: 紋 \* <math>ts'i entsightarrow ts'i entsi
- 47. 籥 \* diok, and the dialect word from Ying ch'uan 笘 \* tiap < /\* tniamp (KYSH no 604, p. 715), and 策 \* t'iap < /\* triap < trl- (KYSH no 667, p. 785) 'writing tablet for student'. According to Ts'uan wen 篡文 by Ho Ch'eng-t'ien 何承天 (370–447), 籥 is a dialect word of Kuan hsi 關西 in Chin time.
- 48. 覆 \* $b'i\hat{o}g </*bifog$ , \* $b'i\hat{o}g$ , \*
- 49. 訣 \*diu</\*diug (KYSH no 754, p. 877) 'to flatter' and 諂 \* $\hat{t}'i$ em</r></r>
  (KYSH no 132, p. 179) (SWKL 1026).
- 51. 蘸 \* ngṇǎm </\* dnglǎm (KYSH no 51, p. 85) 'uneven teeth'. SWKL 848 defines 蘸: 鹺, emendated by Tuan Yü-ts'ai into 齹; 鹺 is defined 齒參差, and 齹 is 齒差跌兒 'uneven teeth, ready to fall out'; \* dz'â </\* dzrâg~ dzrâd, \* dz'ia </\* dzr̂ag~dzr̂ad (KYSH no 472, p. 565). Probably 麥 is another variant of the word 蘸,\* sạəm, ts'iəm </\* sr̂əmb, tsr̄amb (KYSH no 606, p. 721; Notes SM, chain v).
- 52. This CC contains more than two words: SWKL 1289 defines  $\mathcal{Q}$   $\hat{d}_{i}u </* d\hat{r}ug < bd\hat{r}$  with a sentence 以投殊人也 'to kill a man by means of of a pike, i. e. to pike'. SWKL 1292 defines  $\mathcal{R}$  /\*  $d\hat{r}ug$  as 軍中土所持殳也 'It is the pike which the officer in the war chariot holds in the hand', furthermore, here may be added (GS 323)  $\mathcal{R}*tw\hat{a}d </*tgw\hat{a}d$  'kind of spear' (殳也) and  $\mathcal{E}*sam </*sam$  'to cut grass, to cut' (SWKL 2385 describes the sound  $\mathcal{E}$  as identical with  $\mathcal{E}$  /\*  $s\hat{r}og/*sam$  (KYSH no 179, p. 226; cf. Notes on SM, note 46).
- 53. 檻  $g'lam </* dl \gamma am$ , \*  $g'lam </* dl \gamma am$  'cage' (KYSH no 131 p. 180) and 櫳 \*  $liung </* dl \gamma ung$  (KYSH no 197, p. 250) (SWKL 2630).
- 54. 馮 \* b'iəng </\* b'iwəm?, defined in SWKL 4324 馬行疾也 and 顯 \* b'wǎm </\* b'lwǎm (KYSH no 417, p. 510) defined 馬疾步也. In the same

55. 信 \*  $s_i$ ěn</br>
 /\* sŕěn (Notes on SM, chain vii), 誠 \*  $d_i$ ěng</br>
 /\* dŕěng (KYSH no 205, p. 267) 'sincere, truthful, reliable'. SWKL 987 gives also 說 \*  $\hat{d}_i$ əm</br>
 /\* dŕəmb (KYSH no 263, p. 889) as a dialect word corresponding to 誠 (cf. FY 1/20); then it is clear that this CC chain also includes 諶: 誠也, \*  $\hat{d}_i$ əm</br>
 /\* dŕəmb.

56. 及 \*  $g'i\partial p </*zg'i\partial p$  (KYSH no 162, p. 207) 'to reach, arrive', 逮 \*  $d'\partial d </*$  (b)ded, \* d'ied </\* ( $b)d\gamma_ied < bd\gamma_i$ ? (KYSH no 775, p. 910 sq), defined 及, and 唐逮 \*  $d'\hat{a}ng$ -d'ed, a binom which shows an alternation - $ng \sim -d$ , besides - $d \sim -p$ . Here may be suggested as cognates: (FY 7/13) 蝎 \*  $g'\hat{a}t </*d\hat{p}'$  and ত  $g'\hat{a}t <-p$ , and  $g'\hat{a}t </*d\hat{p}'$  and  $g'\hat{a}t <-p$ .

57. SWKL 2506-7 is a *CC* chain containing 梠: 楣; 楣 (Ch'in dialect): 楹聯; 檐 (Ch'i), 梍也; 梍: 梠 (Ch'u), all meaning 'eaves'. 楣 \* mior</\* mfod forms one group with 楹聯 \* mian-lian < # \* mlian, 梍 \* b'iet </\* bźrent, \* b'ied </\* bźrend, opposed to 檐 \* diam </\* dram (KYSH no 603, p. 714, Notes SM, note 44) and 梠 \* glio ~/\* dlrog (KYSH no 893, p. 1051).

58. 誕 \*  $d\hat{a}n </* d\hat{r}$  ând (KYSH no 778, p. 914) (SWKL 1053 gives a variant 這 \*  $ng\dot{a}n </* 2ng\dot{a}n$ ?) 'to boast' and 諏 \*  $g\hat{a}n </* dg\hat{a}n$  (KYSH no 57, 9. 95).

59. 哈 \*  $k \in p < /* dk \in p$ , \*  $g' \not = ap < /* dg' \not = ap$ , \*  $k \not = ap < /* dk \not = ap$  (KYSH no 112, p. 157) 'to stumble' and 躓 \*  $t \not = ap$  (Huai-nan  $t \not = ap$  Kao Yu's commentary indicates a Ch'u pronunciation of 躓, identical with 貲 \* d'wod < /\* dgwed). (SWKL 889).

61. 諧  $*g' \in r < /*bzg' \in d$  (KYSH no 15, p. 28. SWKL 1475 lists 皆 under 自  $*bz' \cap i \neq d$ , and analyzes it 从比 (/\* $dz' \cap d$ ) 从白 ( $bz' \cap d$ ), and 詥  $*g' \in p < /*dg' \cap p$  (KYSH no 112, p. 157) 'harmony, harmonious' (SWKL 1003). Compare here another CC pair 皆,defined 俱  $*k_i u < /*k_i ug$  'all, complete', while 偕 /\*/ $bzk \in d$  (SWKL 3532) is defined 具,analyzed 从 升从具省,and which can be read downward  $*p\hat{a}d$ - $k_i ung < \#*pk_i ung \sim bk_i ung (?)$ .

- 63. 横 \* sǎm, \* tsiam 'wedge, peg' and 楔 \* kat </\* zkat, \* siět </\* sfět, siat </\* sfiat~siat. In Hsuan Ying's 玄應 (T'ang time) Yi-ch'ieh ching yin-yi 一切經音義, 楔 is mentioned as the standard word with dialect correspondences from Chiang nan 横, and from the Central areas (中國) 届 \* tṣǎp </\* tsôp, \* ṣǎp </\* sǎp, \* tṣ'iep </\* tsôip (SWKL 2518).
- 64. 拾 \* dzi p < /\* dz f p (KYSH no 112, p. 157) and 撥 \* tw at < /\* tgw at < -nt, \* tiwat < /\* tfwat < -nt 'to pick up, gather' (SWKL 5459).
- 65. 鍥\*k'iat </\* skiat~tkiat 'to cut, cut through, cutting instrument' (KYSH no 79, p. 120) and 鎌\*gliam~/\*dlfam 'sickle' (KYSH no 51, p. 84; Notes SM, chain i) (SWKL 6290). Word Families, gr. K-N 300-321, includes a great number of words from various semantic fields: 'notch, kill, punish, wound, cut, etc' Among them 鉞 and 劌 should be reconstructed in a more complicated form (cf. supra no. 41, 14). Under gr. T-N 15-37 we find equally numerous words meaning 'cut, short, piece, etc.' and various particular ways of 'cutting hair, grass, clothes, wings, grain'. FY 5/30 compares seven different nouns for 'sickle': 鉤 /\*zkug 鉊 /\*tfog, 鍋 /\*lkwâg~lkwâd, 刈 /\*zngiad, 鍥 /\*skiat, and 鎌 /\*dlfam.
- 66. 匣 \* g'ap </\* dg'ap (KYSH no 114, p. 164) 'case, box' and 匱 \* g'iwed </\* dg'iwed (KYSH no 157, p. 203) (SWKL 5736). Other cognates with this CC pair: 龕 \* k'om </\* tkomb, 篋 \* k'iap </\* skiamp, (KYSH no 113, p. 162); 籯 \* dieng </\* die
- 5. Chuan chu pairs explained through binoms.

The CC pairs and chains in SW include some exceptional cases, where either the initials or the finals present special difficulties prohibiting any reconstruction which could show enough phonetic similarity required for a true CC. The explanation of these CC pairs is to be found in the fact that many binomial members have become so closely associated with each other so as

to be considered as CC pairs. It has been shown already that several CC pairs often formed at the same time reduplicative or dimidiative binoms. Besides these cases, there are other binoms which are simply made up through the combination of two synonyms, that can not be reduced to the same original word stem; it may have been a bisyllabic word, which was written by convenient graphs that could be isolated and separately used for the same meaning. Finally, there are cases where the binomial form is a dimidiation of only one of the CC words, while the other CC member is only chosen to write phonetically the first or second syllable of the dimidiated binom, without itself being reducible to the original word.

- 2. 跛 also written 彼 (SWKK 4584) \*  $pw\hat{a} < /* pgw\hat{a}$  'to limp, to be lame', and 蹇 \*  $k_i\check{a}n$  (KYSH no 786, p. 931) (SWKL 894). Binom in Chuang-tzǔ (LMTT 9 yu 242) 跛蹇 \*  $pgw\hat{a}$ - $k_i\check{a}n$  'to limp on one side'.
- 3. 愚 \* ngịu </\* mgịug 'stupid, ignorant', 鸞 \* từng </\* l'từng, \* xung </\* dlxung (KYSH no 831, p. 987) (SWKL 4724). Yen Shih-ku 顏師古 (581-645), commenting on Han shu (TT 298) indicates an old pronunciation 下紺反 \* g'əm </\* dg'əm. Various different writings of the same binom are known 意思 \* t'ong-ngịu < t'ongịug (Li chi), \* śiung-ngịu < śiungiug, 憧恳 \* t'iung-ngu < t'iungiug (Ta Tai Li chi), \* d'ǔng-ngịu < d'ǔngiug, 鸞愚 /\* dg'əm-mgiug < dg'əmgiug (Mo-tzǔ); the latter form is probably the oldest.
- 5. This is a case similar to the previous one. SWKL 2438 楡: 木. 白粉 and 粉: 楡; 枌 \*  $b'i_w$ on </r> <math>
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- 6. 忿 \* p'iwən </\* p'iwən 'anger, angry', and 悁 \* iwan </\* z iwand KYSH no 787, p. 935). Binom in Kuo ts'ê, Ho-kuan-tzǔ 忿悁 /\* p'iwən iwand (LMTT, 4, mao, 221).
- 7. 噴 \* p'wən </\* p'wən 'to spurt', and 吒 \* tag 'to snort, sneeze'. Binom 噴吒 \* p'rwən tag (LMTT 2, ch'ou, 163); compare 噴嚏 /\* p'rwən-tied (Erh ya).
- 9. 締 \* d'ieg 'to knit together' and 結 \* kiet </\* dkiet (Notes SW, chain iii) (SWKL 5820). Binom 締結 \* d'ieg-kiet < # dkiet (LMTT 7 wei 123).
- 10. 沒\*mwət 'to disappear under the water, sink, dive' and 沈\*d'iəm </\*driəm 'to sink, be submerged'. 沈 though defined in SWKL 5028 陸上高水也 "Water flowing over the hill" is probably cognate with 湛 defined 沒(SWKL 5041). 湛\*təm</\*tom,\*d'ǎm</\*driam,\*tsiem</\*tsfem. Binoms 沈沒, 湛沒 (TT 2378; Lü shih ch'-ch') /\*d'iəm-wət~dfǎm-mwət; possibly 沒 goes back to /\*/ d'mwət~dgmwət (KYSH no 234, p. 293).
- 11. 原 \* piwar </\* pźiwad (KYSH no 374, p. 45) 0, and 扇 \* sian 'leaf of door' (SWKL 5300). SWKL analyses 扇: 从戶从發省; 扇 is therefore related to 戶 \* g'o </\* zg'og. FY 5/21 oppses 扇 as a corresponding word to 萐 \* ṣiap, 篓 \* tsiap, ṣap </\* śramp, tsramp, śramp. SM mentions 媝 \* sap as a Ch'i word for 扇. On the strength of the dialect correspondences a a probable reconstruction may be posited for 扇 /\*/ sramb. 扇 is part of the binoms 扇媝 (Wei Chao's comm. to Kuo Yü, PWYF 4237/3) and 扇扉 (Shuiching chu, PWYF 194/2), and the reconstruction -mb seems quite acceptable in the light of a sandhi in 扇扉 /\* sran-pźiwad < sram(b)pźiwad. FY 2/31 lists some words for 'screen', which are probably cognates 翻 \* d'ôg also written 蟿 </\* drôg (SWKL 1505), 翳 \* 'iei </\* d'ied and 幢 \* d'ùng </\* ld'ùng~dl'ùng. Possibly 扇翳 /\* sramb-d'ied is just another variant of 扇扉.
- 12. 軟 \* p'wo </\* p'iwog (KYSH no 388, p. 477) 'to arrange, set forth' and 世 \* śia </\* bśiad (KYSH no 771, p. 903) (SWKL 1330). SWKL 5664 defines 也 as 女陰也 'pudenda muliebria', and analyzes it 从气,气亦聲. 气 is read ie, but also p'iuot (KYSH p. 506), which suggests a reading /\* bśiad. This reconstruction agrees with the binomial form 數也 (LMTT 5 mao 414)

- \* p'iwog-śia </\* pśiad ~ bśiad. Beside this binom there are others of the same structure 杯匜,髲髭,鶩馳(LMTT 10 hai 24) confirming an initial bin 也.<sup>(18)</sup>
- 13. 梅\*mwəg</\*mgwəg, with a variant graph 楳\*mwəg, and 枏\*nəm</\*dnəm (KYSH no 933, p. 1090) with a later variant 楠\*nəm</\*lnəm~dnəm (KYSH no 137, p. 1094). The commentators to SW point out that 梅 has been used for two different trees, the ordinary plum tree, and another one, of unknown species which had an edible fruit, the name of which was however still used as a synonym of 枏 (probably with no edible fruit), sometimes called 栂枏 \*b'iandnəm, 赤梗 \*î'iāk-b'ian (dialect word from Yi chou, according to Sun Yen 孫炎, 3d cent.). As to the CC pair 梅: 枏, it is probably referring to the same tree as the 栂枏, and on the sequence \*b'ian-dnəm, it is was a binom, probably 梅枏 \*mwəgdnəm</\*p>
  \*# mgnəm bdnəm, rather than 枏梅 /\* dnəm-mwəg <#\* dmgwəg, which however is comparable to 赤梗 \*î'iāk-b'ian <#\* db'ian, with alternation of the finals -g~-n.
- 14. 儋 \*  $t\hat{a}m < /* t\hat{a}mb \sim t\hat{a}mb$  (KYSH no 603, p. 714) 'to carry on the shoulder', 檀 \*  $t\hat{a}n < /* t\hat{a}nd$  (KYSH no 216, p. 276) (SWKL 3541), and 何 \*  $g\hat{a} < /* dg\hat{a} < -n$ , -nd (SWKL 1473). SW has the binom 檀何 \*  $t\hat{a}n-g\hat{a}$ , but in literature (TT 1473), one can find 儋何  $/* t\hat{a}m-g\hat{a}$ , a variant of 檀何 \*  $t\hat{a}n-g\hat{a}$ , as well as 何儋 \*  $dg\hat{a}-t\hat{a}mb$  (TT 2190). The double binomial sequence indicates the SW listed here a true CC pair, of which each member is etymologically related and offers a difference in the final  $/* dg\hat{a} /* t\hat{a}nd$ ,  $dg\hat{a}n$  versus  $t\hat{a}mb /*$ .
- 15. 瘞 \*  $\dot{i}$ ad </\* d·lab >-d (KYSH no 113. y. 163) 'to bury', defined in SWKL 445 幽霾也; Sun Yen 孫炎 sets up a paronomastic definition 瘞: 翳 /\* d· $\dot{i}$ ad; the latter character is equated in SM with 殪 /\* d· $\dot{i}$ et. Erh ya (Shih ku I, B, 36) defines 瘞: 微; Chu Chün-sheng rightly takes this as a paronomastic

definition \* bd·lab~/\*  $m\acute{r}wad$ . Erh ya elsewhere (Shih hsiin, II, 105) defines again Erh Erh

16. SWKI. 2568 棚 \* b'ǎng, \* běng and 棧 \* dz'ǎn, \* dz'ạwan, \* dz'an </\*
-nd (KYSH no 518, p. 621) 'shed made of intertwined branches'. Among binoms, 棚棧 is late (PWYF 3027/1), but 板棧 \* pwan-dz'ǎn 'laths, slats' (Hou Han shu, K'M 766/4) may be a variant of the same word, with sandhi change.

18. 蜀 defined in SWKL 6031, 5960 as 葵中蠶也 'the silkworm of the mallow', also written 蠋 \* d'uk </\* bd'uk (Huai-nan-tzŭ, kuan-tzŭ) [Ching-tien shih-wen 經曲釋文 (Ch'eng-chi shu-ts'ung ed. p. 284) defines it 桑蟲也] and 蠶 defined 任絲 '[the worm] that produces (妊) silk thread' \* dz'əm </\* dz'\om m. The binom 蠶蠋 /\* dz'\om bd'ùk appears in Kuan-tzù.

19. 謗 \* pwâng, 誹 \* p'iwər </\* pźiwəd 'to criticize, slander', 諻, 毀 and 鹍 \* xiwǎr </\* bxiwǎd (Notes SM, note 23); alternation between final -ng, -d. The binoms 毁謗 /\* bxiwǎd-pgwâng (TT 2104), 誹謗 /\* pźiwəd-pgwâng (TT 2104) are as early and well attested as 謗毀 \* pwâng-xiwǎd (LMTT 9, yu, 111). The differences in the initials pw-, pź-, bx- are probably not a reason to reject this CC pair of SW as representing true cognates.

驪駕 \* liěg-ka </\* zliěg-ka as against 楷 \* siěng </\* slěngk 'wooden sticks crossed to support the rice wattle'. Other binoms point to the same sequence of initials in the reconstruction: 讀加 \*tseng-ka 'to impress upon, cheat', also written 增加 and 乘架 \* đ'iong-ka (Huai-nan-tzǔ; explained by Kao Yu as 材木相乘架 'the wooden material is set up and fitted together'). 乘架 is probably cognate with 楷 and 驪駕. In all these binoms the final -a is probably enclitic, and the earlier form was /\* slěngk, /\* tsengk, /\* d'iongk. The word for 'flail' 連加 #\* dliangk, 羅加 #\* dlak~dliak, can be compared to 快 \* iang </\* d'iang (SWKL 2235 analyses 央 as 从大门之内 \* d'âd-kiweng <#\*  $dk_i$ weng, and defines it 中央 \*  $\hat{t}_i$ ông- iang <#\* tiang $\sim$ /\*/ diang) and to 度  $*d^2ag$ ,  $d^2ak$ . On the other hand, this word stem 枷, 架 \*ka also appears as /\* dka 'building structure', related to 拖架 \* dia-ka 'clothes stand' (Li chi, Couvreur I, 26) and to 毒 \* kug </\* dkug (Takata, Kochūhen 8/31). FY 5/29 lists several dialect words for 'flail' 僉 /\* tsflam (KYSH no 500, p. 595, Notes SM, chain v), 欇殳 /\* sniap-drug </\* snlamp- and /\* bdrug (KYSH no 308, p. 376), 度 \*  $d'\hat{a}g \sim d'\hat{a}k$ , 快 /\* d'iang, words which all belong to the same stem, and 拂 \* piwət, 桲 \* b'wət, 棓 \* p'əg </\* pzəg (KYSH no 308, p. 464). SM 21/14 explains the equation 枷: 加 with the phrase 加杖於柄頭以檛穗 而出其穀也或曰羅枷互杖而用之,或曰丫. 丫以杖轉於頭. "枷 is 加 'to add'; adding the stick to the end of the handle, in order to beat (撾\*kwå</\*lkwåg~ dkwåg) the ears of the grain and to make the grain come out. It is sometimes called 羅加; they hook together the sticks and use them so; sometimes it is called \( \cdot\) \* ia 'to fork, the forked (stick)'; \( \cdot\) is to make the stick turn on the end [of the handle]." I is a different word based on the stem 'forked object, fork'. The SM expression 加杖 \* ka-diang 'added stick' is a semanticization of an inverted dimidiation binom, derived from 快 /\* diang. There remains 倍 \* p'og </\* pzog, which is probably related to 殳 in 攝殳, /\* bdfug 'beater'; 拂 \* piwat and 桲 \* b'wat could be cognates of 棓 with alternation in the final -t. There is no text example of any binom made from 拂 or 桲 and 枷; yet it seems that the only explanation of the CC pair was a binom 拂枷 \* pɨwət-ka, 桲枷 \* b'wət-ka (rather than the reverse sequence). There are however binoms which could be considered variations of a supposed 拂枷, such as 扑擊 \* p'uk-kiok (TT 2640), with a sandhi which could have wiped out the initial cluster of 擊 /\* dkiok (cf. supra 3. no. 12) or 摳 撾 \* u-kwå </\* bz'ug-dkwåg (TT 2639). (Other binoms, such as 跏趺, show the reverse</p> sequence to be possibte as well). The same diffuclty occurs with the CC pair 柄 \*piǎng</\*pfǎng (KYSH no 393, p. 482) 'handle' and 柯 \*kâ</\*dkând $\sim$ 

lkând (KYSH no 808, p. 697; GS 1, -n in a loan word kân); binom 柄柯 \* pɨặng-kâ?

- 21. 脅 \* xiǎp </\* xlǎmp, \* xiǎm </\* xlǎmb (KYSH no 380, p. 459) and 膀 \* bwâng 'ribs, sides'. (SWKL 1755). Among possible binoms we find only 傍脅 \* b'wâng-xiǎm (-xiǎp) (Shih chi, chap. 112) 'to be around, at the sides', and 迫脅 \* pǎk-xiǎp 'to press close, use pressure against' (TT 2794), which are expressions with verbal meaning derived from the basid meaning of 膀脅 'the ribs, the sides'.
- 22. 排 \* $b'\varepsilon r </*bz\varepsilon d$  (KYSH no 374, p. 450) 'to push' and 擠 \* $tsi\partial r </*tsi\partial d$ , 推 \* $t'w\partial r </*t\gamma w\partial d$ , \* $\hat{t}'iw\partial r </*t\gamma iw\partial d$ . (SWKL 5385). Binom 排擠 \* $b'\varepsilon d$ - $tsi\partial d$ , 排推 \* $b'\varepsilon d$ - $triw\partial d$  (LMTT 4, mao, 299).
- 23. 满 \* mwân 'full' defined in SWKL 4983 by a reduplicative binom 盈 溢 /\* drěng-d·įět (cf. supra 3. no. 9), and 溢 \* 'įět</\* d'įět(?) (SWKL 5083); binom 滿溢 \* mwân d'įět (TT 2345).
- 24. 櫽 \* '¿ən 'bevel' and 栝 \* kwât 'carpenter's square' (SWKL 2582). The commentaries of Hsün-tzǔ, Huai-nan-tzǔ, Yen-t'ieh lun, Ta Tai Li chi, Kui-ku-tzǔ take both syllables as inseparably combined to form one binom 檃 栝 \* '¿əngkwât' 'correcting frame'. In Shu ching (Karlgren, Glosses on the Book of Documents, gl. 1483) 隱 is found alone; everywhere else however, the Han-fei-tzǔ text included(19), we find a binom. Although it is not impossible that 隱 could appear alone in that sense, this occurrence seems suspicious, and it would indicate rather that the reading of the 'Stone Classics' which has 乘 for 繩 'to correct by means of the plumb line' is a preferable reading in Shu ching.
- 25. 炮 \*  $b'\hat{o}g < /* bz\hat{o}g$ , \*  $p'\hat{o}g < /* pz\hat{o}g$  'to bake, to roast' (KYSH no 314, p. 383), and  $\mathfrak{K} * \hat{t}_{\underline{i}}\check{a}k < /* t\hat{r}\check{a}k$ ,  $\hat{t}_{\underline{i}}\check{a}g < /* t\hat{r}\check{a}g$  (KYSH no 613, p. 730) 'to roast, to broil'. There is no indication of a labial initial in  $\mathfrak{K}$ . The explanation of this CC pairing (SWKL 4475, 4547) is found in the SW definition of  $\mathfrak{b}$  by a binomial expression  $\mathfrak{T}_{\mathfrak{K}} * mog-\hat{t}_{\underline{i}}\check{a}k$  ( $\sim -\hat{t}_{\underline{i}}\check{a}g$ )  $\Leftrightarrow mt\hat{r}_{\underline{i}}\check{a}k$ ,  $mt\hat{r}\check{a}g$  (LMTT 5, ch'en, 318 quotes  $Chou\ li\ \mathfrak{T}_{\mathfrak{b}} * mog-\hat{t}_{\underline{i}}\check{a}k$  ( $\sim bz\hat{o}g \sim pz\hat{o}g \sim bd'\hat{o}g$  and the binomial form  $\mathfrak{T}_{\mathfrak{K}} * mog-\hat{t}_{\underline{i}}\check{a}g < \mathfrak{T}_{\mathfrak{K}} * mt\hat{r}\check{a}g$ .
- 26. 極 \* g'iok 'ridge of roof' and 棟 \* tung 'ridge pole' (SWKL 2494). The phonetic of 極 is 亟, analysed in SWKL as 从人从口从又从二. But Wang Hsü 王煦 T. Fen-yüan 汾原, H. K'ung-t'ung 空桐 (Ch'ing) in SW wu-yi 設文五.

<sup>(19)</sup> Cf. Ch'en Ch'i-t'ien 陳啓天, Han-fei-tzù chiao-shih 韓非子校釋, Chung hua ed. 1940, p. 18-20.

翼 corrects this into 从二铍 (聲), which is confirmed by the early graphs (GS 910, Kuo Mo-jo 郭沫若, Liang-chou chin-wen-tzǔ k'ao-shih ta-hsi 兩周金文字考釋大系 1935, inscr. 15, 班段). The reconstruction of 極 must follow the same pattern as 備 above, /\* bg'iok. Yet 棟 has no labial initial (KYSH no 197, p. 45) and the solution is to be found in the definition of 桴 (SWKL 2492) 棟也; this is corrected by Tuan Yü-ts'ai into 眉棟 (following Erh ya, Shih kung, 5/11,4) \* mior-tung<\*\* mtung. From this binom 棟 was isolated and defined 極 and vice versa. This way the cognates to which the CC of SW refers are 桴 \* b'iôg</\* bźiôg, \* p'iug</\* pźiug, 極 /\* bg'iok, and 眉棟 ‡\* mtung.

27. 噬 \* âiad 'to bite' and 啗 \* d'âm</\* drâmb (KYSH no 132, p, 178) (SWKL 566). However, 啗 is not defined 噬 but 食, which is in its turn defined (SWKL 2177) as △米 'to collect, gather rice grains'; this is a rather unusual definition, unless it was also meant as a description of the sound /\* dz'iəp-miəd (KYSH no 353, p. 428)<\*\* dz'miəd. 噬 is a phonetic derivate of 筮, defined in SWKL 1925 by a sentence 易卦用蓍也 "The Yi [ching] divination uses the milfoil";\* 蓍 ŝiər</\* srəd (KYSH 386, p. 467), and suggests a reading 噬 /\* drad. It is legitimate to set up as cognates: 食 /\* driək, dzrəg, 噬 /\* drad, 啗 /\* drâmb. The binoms 噬啗 \* âiadrâmb (PWYF 4173/1) and 噬食 \* âiad-d'iək (PWYF 4060/2; Hou Han shu) explain this CC.

28. 餓 \* ngâ</\* zngâd (SM 義: 宜: 宜 has phon. 多; SWKL 3243, SWKL 5702 defines 我: 自謂 #\* dzgiwad), and 飢 \* kier 'hungry, to starve' (SWKL 2214). Other cognates are: 饉 \* g'ien</\* dg'ien (KYSH no 151, p. 195), 饑 kiər, and 餧 'iwǎr </\* zn'iwad (KYSH no 844, p. 995). The binom 飢餓 \* kied-zngâd is early and frequent.

29. 與 \* diu</\* dfug, defined in SWKL 6048 束縛摔抴為與 (phrase completed by Tuan Yü-ts'ai who writes 與鬼) 'to bundle together and to pull and drag is 與鬼'. 摔抴 \* dz'wət-ziad (~-ziat) is a reduplicative binom of the same nature as 拕鬼 \* t'â-ziad. 抴 is a variant of 拽, which is an enlargement of 曳 \* ziad</\* zfad, \* ziat</\* zfat (KYSH no 667, p. 783; Notes SM, chain ii). 曳, 拽 is equally \* ziad</\* zfad (KYSH no 778, p. 914). The binom 臾曳 \* drug-zfad (~-t) is a another reduplicative binom, where the CC pair shows an alternation -g: -d.

In the SW analysis of 臾,从申从气 an etymological relation is implied between 臾 and 申 \*  $s_i \not\in n < /*$  sf $\not\in n$  (Notes SM, chain vii). 申 is explained in SWKL 6644 by the phrase 七月陰气成體自申束 "In the 7th month the female vapours are formed, the bodies stretch and contract themselves". 申 /\* sf $\not\in n$  and  $\not\in s_i \not\in s$ 

自申東也 'to stretch and contract itself' (/\* srěn-siuk). This leads to another interesting CC pair 申 and 束.

- 30. SWKL 2710 束 \* śiuk 縛也; SWKL 5821 縛 \* b'wâg, b'iwak, b'iak. In spite of the fact that these two characters do not appear in the same division of SW, they are regular CC pairs. The meaning of these characters is not only 'to tie, bind, bundle' but also 'strap, cord to tie up, strings'. Li chi (Couvreur I, p. 184-5) has the expression 棺束 'coffin straps', to which according to Cheng Hsüan corresponds a Ch'i dialect word 緘. Probably, already in Han time, there was a character 練, though it is now known only from Yü p'ien (紡麤絲 'to spin a coarse thread') and from Kuang yün (練葛 'fiber plant') for the nominal sense corresponding to 東 'to tie'. A cognate to 縛 is 繃 \* peng, defined and explained in SW by a quotation from Mo-tzй 葛以繃之 "With straps of coarse fiber cloth they tied his body" (Mo-tzǔ text has 緘, the Ch'i word for 束 'strap for coffin'~'to strap'). Yet, the reconstruction 束 \* śiuk, 捕 \*  $b'w\hat{a}g$ , 繃 \*  $p \in ng$  do not show the phonetic similarity required for a CC pair. SW (s. v. 與, cf. supra) has the binom 束縛 \*  $\hat{s}_iuk$ - $\hat{b}'w\hat{a}g$ , but SM 5/50 has the binom 偪束 \* piok-śiuk< #\* pśiuk 'leg strap', explaining the word 幅 \* piok</\* pzok~prok. 縛束 \* b'iwak-siuk</\* bsiuk exists also (Hou Han shu, Chap. 46). The CC pair and the binoms combined in both sequences indicate the existence of a variant of the stem # /\*  $b\hat{r}$ - $b\hat{z}$ - but also # \*  $\hat{s}_iuk < /*/$ bs- or ms-. The relation between 縛 and 繃 is paralleled by the case 棚 b'ang, \* p'ang 'assistant, adherent' and # \* b'iwo</\* -g, (SWKL 3515), a perfect CC pair since 輔 and 铺 \* p'iwog~piwog are the same (SWKL 3535). The reconstructions in the series 朋 (KYSH no 334, p. 408) may well have a dental or velar element in the initial: 繃 /\*/ p'ɛng, 伽 \* b'əng~p'əng</\*/ bγ-, pγ-, pz-, bz. Some binoms like 鬅鬱, 惻愴, 朋黨, 铏環 suggest such an initial cluster (cf. supra no 16)(20).
- 31. 棱 \* ləng</\* d'ləng (KYSH no 887, p. 1046) and 柧 \* kwå 'sharp angle, corner' (SWKL 2619 quotes the binom 棱似).
- 32. 諷 \* pṣum</\* plum~plwəm (KYSH no 417, p. 510), 誦 \* dzṣung, also written 訟, 詢, </\* dźrung 'to recite, chant'. Binom in Chou li, Ta Tai Li chi, Lü shih ch'-ch': 諷誦.
- 33. 目 \*  $m_i \hat{o} k < / *$   $m \hat{f} \hat{o} k$  (Notes SM, chain xi), and 眼 \*  $ng \in n < / *$   $dng \in n$  (KYSH no 83, p. 127). These two CC words seem to be phonetically irreducible

<sup>(20)</sup> 朋 is related to 風, 鳳 and 飛藤 \*p'iwər-gliam<#\*\*pgliam~pliam. cf. SWKL 1585, 6955. Ssǔ Wei-chih 斯維至,The Character 風. An Etymological and Mythological Study. Bulletin of Chinese Studies, VIII, 1948. Kuo Mo-jo 郭洙若, Chin-wen ts'ung-k'ao 金文叢考, 1952, p. 154.

to one word stem, yet the binom 眼目 (PWYF 3407/3) is a late one. 眼 \* ngən is used as a loan word for 'to protrude as a knob'; it probably is only a semantic shift 'eye', 'protruding like the eye'. The etymology 'protruding eye'—'watching, inspecting, glaring' suggests that 眼目 could have existed much earlier, together with other variant binoms, used in a verbal rather than nominal sense, like 瞋目 \*  $\hat{t}'\dot{z}$ en- $m\dot{z}$ ok</r/>
/\*  $tf\dot{z}$ en- $m\dot{z}$ ok 'glare' (TT 2204), and 暗望 \*  $x\dot{z}$ er- $m\dot{z}$ wang 'inspect, supervise' (LMTT 7 wu 186,  $L\ddot{u}$  Shih ch'-ch').

34. SWKL 1197-9 pairs two characters as CC 鬻 and 鬻. The first is written with various graphs that give some indications as to the reconstructions; 箭 \*  $\hat{t}_i$ an</br>
「\*  $\hat{t}_i$ an<br/>
(KYSH no 769, p. 899), 許 \*  $\hat{k}_i$ an<br/>
「\*  $\hat{t}_i$ an<br/>
(KYSH no 125, p. 175), 键 \*  $\hat{k}_i$ an<br/>
(\*  $\hat{t}_i$ an<br/>
"rice gruel". The other character 鬻 \*  $\hat{t}_i$ ôk may go back to /\* ( $\hat{b}$ ) $\hat{t}$ ôk, since this reading of 鬻 is based on the chia-chieh usage for 賣 'to sell' in Chou li, Li chi and Tso chuan<br/>
(\*  $\hat{d}_i$ ôk</br>
(\*  $\hat{d}_i$ ôk</br>
(\*  $\hat{b}$ ôk). The  $\hat{c}$ C pairing can be explained through the binom 計 \*  $\hat{t}$ \*  $\hat{$ 

35. SWKL 1842-3 pairs as *CC* the characters 剝 and 割. The *SW* text under 剝 says: 裂也. 从刀从录. 录刻害也. 录亦聲 "剝 means 裂 (SWKL 3736 裂: 繒餘也 'left over piece of silk, silk rag'. May be 裂 stands for 列 'split, divide, burst'); from 刀 and 录. 录刻 (\* luk-k'ok</\* b'luk-k'ok < #\* blk'ok) means 割 'to cut'; 录 is also phonetic". 割 \* kôt</\* zkôt (KYSH no 79, p. 121) is simply defined 剥 \* pùk</\* l'pùk (KYSH no 917, p. 1071). The binom and the definition in *SW* indicate a connection of 剥 with 割 and 刻 \* k'ok (KYSH no 854, p. 1012), which reappears in the definition of 录 (SWKL 3066) 剥木录录也 'to cut a tree \* luk-luk',</\* b'luk-(b')luk. 刻 itself is defined in SWKL 1836 鏤 'to inscribe, carve' /\* bglug (KYSH no 872, p. 1024). The *CC* pairing of 剝 and 割 however can only explained through a binom 剝割 \* pùk-kôt</\* l'pùk-(z)kôt (Hou Han shu, PWYF 3718/1), comparable to 剝裂 \* pùk-liat</\* l'pùk-(d)lîat, and with alternation in the final of the second member of the binom: 录刻 /\* b'luk-k'ok, 录录 /\* b'luk-(b')luk, 剥落 /\* l'pǔk-līak (Han shu) and 暴樂 /\* b'ôg (~b'uk)-līak (Chou li) 'broken up, split up' (TT 2703).

## ald out seri vilable today and IV. CONCLUSION and I

In this study a complete survey is given of all the CC pairs and chains that fulfill the strict requirements of the SW definition of a CC. Besides this,

a number of cases was discussed where the CC pairs are listed in different and distant divisions of SW and others that need to be explained in the light of the binomial forms connected with the characters of the CC words. Among the latter ones, some of the binoms used to explain the CC, are dimidiations of only one of the members of the CC pair, while the other character merely served to write the sound of a syllable in the binom, without any true etymological relation, deducible from the phonetic structure of the reconstructed forms. Other binoms however could easily be explained as reduplicatives, i. e. made up of two elements, each of which independently proves to be a true CC pair and a variant of the same word stem.

The study of the *CC* pairs in *SW* sheds an entirely new light on the problem of the cognates in the Chinese language of Han time. The criterion mostly used for the selection and comparison of cognate words was extremely subject to the danger of subjective interpretation, as it was solely based on the possible semantic connections and the phonetic similarities that could be observed, according to our present methods and results of reconstructions. The first assumption—semantic connection or unity—is a very subjective criterion. It can not be established *a priori* in what way a language will direct and realize its various possibilities of word derivation, nor can it be established that any language will show the same semantic connections between words that are observed in another language.

Even when one limits oneself to the words of one and the same phonetic series in Chinese, this danger of subjectivity remains. The reconstruction of the Archaic Chinese language is not yet sufficiently well established in all details, to be taken as a certain and fully reliable criterion of comparison and determination of cognate words. Though there is no doubt that  $\mathcal{D}*piwon$  'to divide' and \* b'iwon 'part, share' are cognates, it appears from SW that this is not the whole picture, for there is also  $\Lambda$  and  $\mathcal{B}$ . (cf. supra 2. no 29). It could further be asked whether e.g. cases like  $2 \times d'\delta k$  'to wash' and \*  $d'\delta g$  'to wash clothes' are more than just slight variations of one and the same word (they are readings of one and the same character with fundamentally the same meaning), while the CC pairs of SW connect more profound alternations of derivates and cognate words, e.g.  $2 \times d'\delta k$  and  $2 \times d'wan$  (cf. supra 4. no 43). When the two words are not two different readings of the same character, but are represented by two characters belonging to the same phonetic series,

having different radicals, it is already more difficult to obtain certainty that in a given case the Hsh derivates are real cognates. Some cases may seem clear and obvious, but it is always because the semantic shift seems natural to our linguistic feeling, and some cases may be doubted; e.g. 抽 \*  $t'i\hat{o}g$  'to take out, pull out'—胃 \*  $d'i\hat{o}g$  'the outcome—descendants'—由 \*  $di\hat{o}g$  'to come out from' seems a very natural derivation of words, and we can immediately share this feeling by examples from other languages, but one can not be certain, and examples like  $\mathbf{R} * mior$  'margin of the eye' eyebrow'— $\mathbf{R}$  'margin of a stream' may seem good enough to some, but unnatural to others.

Furthermore if one remains strictly within the same phonetic series, it is impossible to establish any other sort of cognates but those that show the same range of variation in phonetism as found in the phonetic series. It appears then that the principle of the phonetic series in Chinese becomes of the highest importance. In Karlgren's article Cognate Words in the Chinese Phonetic Series (BMFEA no 28, 1956) the phonetic series from which the cognates have been selected are the same as those in GS. Yet, no objective criterion has been proposed by which the Hsh series can be made up, separated or combined, except the SW analysis, which in principle must be accepted throughout or rejected. If one follows the SW analysis to establish the Hsh series, and then compares the range of sound variations in the phonetic series, with the variations seen between the various CC pairs of SW, one can conclude that there is no variation between the reconstructed forms of CC words which is not found as well among the derivates of the Hsh series of SW. However the CC variations show a wider range, in the sense that some variations of the CC words, which occur repeatedly, are found in the Hsh series as exceptional and rare. This reflects an aspect in the language which is normal and regular. The CC are groups of two or more words, which were felt as cognates, derivates of the same stem, by the speakers and scholars of Han time. Such words were not only of the type 'bind-bond', 'lose-lost', where the phonetic similarity remains close and easily recognizable, but also of the type where the variations go beyond the limit of finals and initials of the same series of articulation, such as 'work-wrought-wright', 'bring-brought', or even where monosyllabic forms are related with bisyllabic ones 'wagon-wain', etc. Such cognate forms, still clearly recognized by the speakers, may nevertheless have become obsolete, and reach back to more archaic forms, no longer productive in the language,

and to phonetic structures no longer considered similar enough to be analyzed as belonging to the same *Hsh* series, but for some exceptional cases.

Finally, when the application of semantic connection and phonetic similarity is not limited to the words of the same phonetic series, but is extended to all words that may be possible cognates, as was done by Karlgren in Word Families in Chinese, the degree to which subjective impressions may influence the study of the cognates becomes still greater. The phonetic similarity may change in any important detail whenever a different kind of Hsh series or graph analysis is followed; and an entirely new grouping of cognates can be proposed whenever another phonetic reconstruction is derived from the Hsh series to which a given character is supposed to belong. Furthermore, even in the supposition that such a group of words can be taken as real cognates, and not mere accidentally resembling sounds of words with some kind of semantic connection, the number of possible cognates rises so high, that it should be possible to distinguish subgroups of words which are closer to each other within the general group. Without any ancient source that would guide us in such a study, there are great risks of subjective feeling vitiating the whole process in the grouping of cognates.

The CC words of SW offer cases where the subjective element from our part is excluded. They represent the feeling and understanding of the speakers and scholars of Han time concerning the etymological relations between some words of a linguistic period of great importance in the history of the Chinese language. Their feeling and understanding may occasionally be mistaken too, but, in all respects, their contemporary analysis of the language is preferable to our guesses. Since the range of alternations and variations between the sounds of the CC words still remains in full agreement with the phonetic analysis of the graphs in SW and with the dialect differences shown in FY and other sources of the same period, there is a solid certitude that the majority of the cognates thus obtained through the CC pairs of SW are entirely reliable and valid. In the study of theco gnates and derivations morein the Chinese language, the CC form the first objective foundation from where more elaborate studies can be started.